

University of Groningen

Testing formation mechanisms of the Milky Way's thick disc with RAVE

Wilson, Michelle L.; Helmi, Amina; Morrison, Heather L.; Breddels, Maarten A.; Bienayme, O.; Binney, J.; Bland-Hawthorn, J.; Campbell, R.; Freeman, K. C.; Fulbright, J. P.

Published in:
Monthly Notices of the Royal Astronomical Society

DOI:
[10.1111/j.1365-2966.2011.18298.x](https://doi.org/10.1111/j.1365-2966.2011.18298.x)

IMPORTANT NOTE: You are advised to consult the publisher's version (publisher's PDF) if you wish to cite from it. Please check the document version below.

Document Version
Publisher's PDF, also known as Version of record

Publication date:
2011

[Link to publication in University of Groningen/UMCG research database](#)

Citation for published version (APA):

Wilson, M. L., Helmi, A., Morrison, H. L., Breddels, M. A., Bienayme, O., Binney, J., Bland-Hawthorn, J., Campbell, R., Freeman, K. C., Fulbright, J. P., Gibson, B. K., Gilmore, G., Grebel, E. K., Munari, U., Navarro, J. F., Parker, Q. A., Reid, W., Seabroke, G., Siebert, A., ... Zwitter, T. (2011). Testing formation mechanisms of the Milky Way's thick disc with RAVE. *Monthly Notices of the Royal Astronomical Society*, 413(3), 2235-2241. <https://doi.org/10.1111/j.1365-2966.2011.18298.x>

Copyright

Other than for strictly personal use, it is not permitted to download or to forward/distribute the text or part of it without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license (like Creative Commons).

The publication may also be distributed here under the terms of Article 25fa of the Dutch Copyright Act, indicated by the "Taverne" license. More information can be found on the University of Groningen website: <https://www.rug.nl/library/open-access/self-archiving-pure/taverne-amendment>.

Take-down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

Downloaded from the University of Groningen/UMCG research database (Pure): <http://www.rug.nl/research/portal>. For technical reasons the number of authors shown on this cover page is limited to 10 maximum.

Testing formation mechanisms of the Milky Way's thick disc with RAVE

Michelle L. Wilson,^{1*} Amina Helmi,^{2*} Heather L. Morrison,¹ Maarten A. Breddels,² O. Bienaymé,³ J. Binney,⁴ J. Bland-Hawthorn,⁵ R. Campbell,^{6,7} K. C. Freeman,⁸ J. P. Fulbright,⁹ B. K. Gibson,¹⁰ G. Gilmore,¹¹ E. K. Grebel,¹² U. Munari,¹³ J. F. Navarro,¹⁴ Q. A. Parker,^{5,7} W. Reid,⁷ G. Seabroke,¹⁵ A. Siebert,³ A. Siviero,^{6,13} M. Steinmetz,⁶ M. E. K. Williams,⁶ R. F. G. Wyse⁹ and T. Zwitter^{16,17}

¹Department of Astronomy, Case Western University, Cleveland, OH 44106, USA

²Kapteyn Astronomical Institute, PO Box 800 Groningen, the Netherlands

³Université de Strasbourg, Observatoire Astronomique, 67000 Strasbourg, France

⁴Rudolf Peierls Centre for Theoretical Physics, Oxford OX1 3NP

⁵Anglo-Australian Observatory, Sydney, Australia

⁶Astrophysikalisches Institut Potsdam, An der Sternwarte 16, 14482 Potsdam, Germany

⁷Macquary University, Sydney, NSW 2109, Australia

⁸RSAA Australian National University, Mount Stromlo Observatory, Cotter Road, Weston Creek, Canberra, ACT 72611, Australia

⁹Johns Hopkins University, 3400 N Charles Street, Baltimore, MD 21218, USA

¹⁰Jeremiah Horrocks Institute for Astrophysics & Supercomputing, University of Central Lancashire, Preston PR1 2HE

¹¹Institute of Astronomy, University of Cambridge, Madingley Road, Cambridge CB3 0HA

¹²Astronomisches Rechen-Institut, Zentrum für Astronomie der Universität Heidelberg, D-69120 Heidelberg, Germany

¹³INAF Astronomical Observatory of Padova, 36012 Asiago, Italy

¹⁴University of Victoria, PO Box 3055, Station CSC, Victoria, BC V8W 3P6, Canada

¹⁵Mullard Space Science Laboratory, University College London, Holmbury St Mary, Dorking RH5 6NT

¹⁶Faculty of Mathematics and Physics, University of Ljubljana, Slovenia

¹⁷Center of excellence SPACE-SI, Ljubljana, Slovenia

Accepted 2011 January 5. Received 2010 December 12; in original form 2010 September 10

ABSTRACT

We study the eccentricity distribution of a thick-disc sample of stars (defined as those with $V_y > 50 \text{ km s}^{-1}$ and $1 < |z|/\text{kpc} < 3$) observed in the Radial Velocity Experiment (RAVE). We compare this distribution with those obtained in four simulations of galaxy formation taken from the literature as compiled by Sales et al. Each simulation emphasizes different scenarios for the origin of such stars (satellite accretion, heating of a pre-existing thin disc during a merger, radial migration, and gas-rich mergers). We find that the observed distribution peaks at low eccentricities and falls off smoothly and rather steeply to high eccentricities. This finding is fairly robust to changes in distances and to plausible assumptions about thin-disc contamination. Our results favour models where the majority of stars formed in the Galaxy itself on orbits of modest eccentricity and disfavour the pure satellite accretion case. A gas-rich merger origin where most of the stars form ‘*in situ*’ appears to be the most consistent with our data.

Key words: Galaxy: disc – Galaxy: formation – solar neighbourhood – Galaxy: structure.

1 INTRODUCTION

The thick disc has been a known component of the Milky Way for over 20 years (Yoshii 1982; Gilmore & Reid 1983). Analogous components have been identified in external galaxies, revealing that thick discs may be quite generic features (Burstein 1979; van der Kruit & Searle 1981; Yoachim & Dalcanton 2006). Most of the thick-disc formation scenarios that have been proposed fall into

one of the following four categories: accretion, heating via a minor merger, intense star formation in a gas-rich turbulent environment and radial migration.

In the accretion model, satellites infall on coplanar orbits and form the thick disc as they are disrupted and incorporated into the main galaxy; in this case, the thick disc would thus consist of stars originating largely (more than 70 per cent in the simulations of Abadi et al. 2003) in several disrupted satellites.

The most often discussed scenario for the formation of a thick disc is the accretion of a massive satellite by a pre-existing disc galaxy, which is thus heated dynamically. The resulting thick disc

*E-mail: mlw36@case.edu (MLW); ahelmi@astro.rug.nl (AH)

is mainly made from stars that originated in the primary galaxy's primordial thin disc rather than the merging satellite. For example, in the simulations by Villalobos & Helmi (2009), only 10–20 per cent of the stars in the remnant thick disc at the 'solar' radius are accreted.

During a turbulent gas-rich phase, stars may also form in a thick disc. This can happen not only in massive clumps in a rotationally supported component, as in the simulations of Elmegreen & Elmegreen (2006) and Bournaud, Elmegreen & Martig (2009), but also during gas-rich mergers as shown by Brook et al. (2004). In the latter case, the resulting thick-disc stars would have been formed in the galaxy itself (*in situ* as opposed to externally) and with relatively hot kinematics.

Merging events of any variety might be unnecessary to explain the phenomena, however. Stars may migrate radially from the inner parts of a galaxy to the outer regions due to resonant interactions with spiral arms (Sellwood & Binney 2002) and a bar (Minchev & Famaey 2010). Although the migration process itself does not heat the disc, a greater vertical velocity in the higher surface brightness central regions results in greater heights above the plane being reached in the outer regions where the surface brightness is lower (Kregel et al. 2005). Migration can thus result in the formation of a thick disc from thin-disc stars without any external stimulus (Roškar et al. 2008; Schönrich & Binney 2009).

Recently, Sales et al. (2009) suggested a dynamical test that could differentiate between the formation models and be applied to data to disentangle which is the most likely to have occurred in our galaxy: they propose using the eccentricities of thick-disc stars as a discriminant, as populations formed in the Milky Way itself are likely to move on low-eccentricity orbits, while those accreted can have any eccentricity, but will typically be biased towards higher values. As kinematic data for thick-disc stars become available from surveys such as the Radial Velocity Experiment (RAVE; Steinmetz et al. 2006), Sloan Extension for Galactic Understanding and Exploration (SEGUE; Yanny et al. 2009) and eventually *Gaia*, we can apply these tests to see what they can reveal about thick-disc formation.

In this paper, we investigate and constrain the dynamics of a sample of Milky Way thick-disc stars and compare the resulting eccentricity distribution to what is expected for the above four models. We use data from the RAVE survey and distances calculated in the manner of Breddels et al. (2010) but with modifications described in Section 2. In Section 3, we derive the eccentricity distribution of the stars, and in Section 4, we examine this in light of the accretion, heating, merger and migration simulations discussed in Sales et al. (2009).

2 DATA

2.1 The RAVE survey

The RAVE¹ program measures radial velocities and stellar atmospheric parameters from spectra using the 6dF multiobject spectrometer on the Anglo-Australian Observatory's 1.2-m UK Schmidt Telescope. The survey looks in the Ca-triplet region (8410–8795 Å), has a resolution of ~ 7500 and is magnitude limited. The targets chosen are Southern hemisphere stars taken from the Tycho-2, SuperCOSMOS and DENIS surveys with *I*-band magnitudes between 9 and 13. The average internal errors in radial velocity (RV) are

$\sim 2 \text{ km s}^{-1}$, and the approximate RV offset between the RAVE and the literature is smaller than $\sim 1 \text{ km s}^{-1}$. The catalogue also includes Two Micron All Sky Survey (2MASS) photometry and proper motions from Starnet 2.0, Tycho-2, SuperCOSMOS and UCAC2. For more information about the RAVE, see Zwitter et al. (2008).

2.2 Distances

Distances were calculated along the lines of Breddels et al. (2010). We briefly sketch the method here and refer the reader to Breddels et al. (2010) for more details.

The stars are fitted using the Y^2 (Yonsei–Yale) isochrones (Demarque et al. 2004) and their measured characteristics. The measured quantities of the stars (T_{eff} , $\log(g)$, $[M/H]$, J and $J - K_s$) from the RAVE pipeline (Zwitter et al. 2008) and 2MASS are used to minimize the χ^2 statistic to find the closest model star for each observed star. Then, the errors of the observed quantities, which are assumed to be Gaussian, are utilized in a Monte Carlo simulation, from which the absolute magnitude and its error are determined from the resulting probability distribution function. Stars for which none of the isochrones provide adequate matches are discarded. Since the Y^2 isochrones do not extend past the red giant branch (RGB) tip, clump stars may result in poor fits; in addition, we have explicitly removed the clump stars that should remain in the data set (see Section 2.3).

The effectiveness of this distance method was examined in detail in Breddels et al. (2010), but the main points will be mentioned here. Comparison to main-sequence stars observed by *Hipparcos* showed good agreement in the measured parallaxes. To test the distances for giants, Breddels et al. (2010) used the members of M67, an old open cluster. The mean of the calculated distances was $1.48 \pm 0.36 \text{ kpc}$, compared to 0.78 kpc from VandenBerg et al. (2007). This discrepancy is related to the fact that when age is left as a variable, stars on the giant branch are sometimes fitted better by (unrealistically) younger isochrones. However, when using the 4-Gyr isochrones, which corresponds to the accepted age for the cluster, the distances agreed with those in the literature within error (see Fig. 1).

This has motivated us to calculate distances for all stars setting the age at 10 Gyr, which is the characteristic age of the thick disc (Edvardsson et al. 1993). This implies that our method will assign incorrect distances to younger thin-disc stars, but since younger giant branches are brighter than older ones, this assumption results in the assigned distances to younger stars being slightly smaller than they actually are. As discussed in Section 2.3, we will be selecting stars with $1 \text{ kpc} \leq |z| \leq 3 \text{ kpc}$, so as to isolate a thick-disc sample. The consequence of using the 'wrong' isochrone for young stars is to reduce their $|z|$ and to move many from lower $|z|$ out of our sample rather than scattering thin-disc stars up into it. Even so, we investigated what effect that would have on the distances and the level of thin-disc contamination more quantitatively in Section 3.2.2.

2.3 Sample selection

We first cleaned the data set by discarding any stars with distance errors > 40 per cent, proper motion errors in either RA or Dec. $> 10 \text{ mas yr}^{-1}$ or RV errors $> 5 \text{ km s}^{-1}$. Once the age was set at 10 Gyr, the clump stars were not fitted well by any of the isochrones, so most of them should have been discarded on that basis. To ensure all clump stars were indeed removed, however, we threw out stars with $\log(g) > 1.5$ and $J - K_s < 0.75$.

¹ We use the 2008 August 30 internal data release, which consists of 135 338 stars.

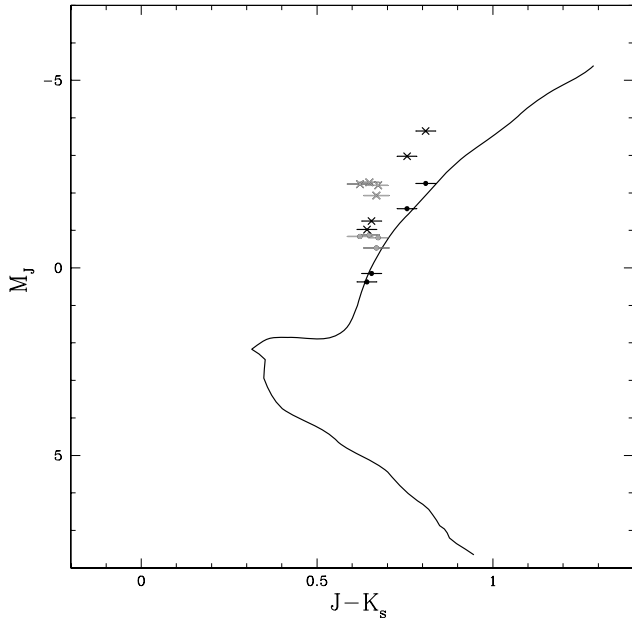


Figure 1. The theoretical solar metallicity, 4-Gyr isochrone with the colour-magnitude diagram of M67 giants. Points with crosses are the M67 stars transformed to absolute magnitudes using the Breddels et al. (2010) distance calculated after excluding the clump stars of 1.48 kpc; filled points use the distance of 0.78 kpc calculated assuming an age of 4 Gyr. Grey points are stars identified as belonging to the red clump.

In order to isolate a sample of thick-disc stars, we chose the remaining stars with $|z|$ between one and three thick-disc scaleheights, which corresponds to the range 1–3 kpc (Veltz et al. 2008). The decision to make our thick-disc selection based only on $|z|$ rather than including a metallicity criterion was motivated not only by uncertainties in the RAVE’s metallicity pipeline², but also because this mimics more closely the selection by Sales et al. (2009). We further clipped our sample by discarding all stars with $V_y < 50 \text{ km s}^{-1}$ to minimize contamination from the halo and by including only stars within a heliocentric cylinder with a radius of 3 kpc, so the data was in a form best suited for comparison with the eccentricity distributions of models as illustrated by Sales et al. (2009). The final sample consisted of 1273 stars. The velocity distributions are given in Fig. 2. The velocity dispersions are in agreement with the values recently derived by Casetti-Dinescu et al. (2011) at $|z| \sim 1 \text{ kpc}$, especially σ_x and σ_y , while σ_z is slightly higher by approximately 20 km s^{-1} , but this is consistent with the larger z range and volume probed by our sample.

3 RESULTS

3.1 Eccentricity distribution

To calculate the eccentricities of the RAVE stars in our thick-disc sample, we integrated their orbits in a Galactic potential. This consisted of a Miyamoto & Nagai (1975) disc, a Hernquist (1990a) bulge and a spherical logarithmic halo. In this model, the characteristic parameters used were $M_{\text{disc}} = 8.0 \times 10^{10}$, $M_{\text{bulge}} = 2.5 \times 10^{10}$, $v_{\text{halo}} = 164.3$, $a = 6.5$, $b = 0.26$, $c = 0.7$ and $d = 12.0$, with

² For completeness, we have tested that our results do not change appreciably when we focus only on the subset of stars with high signal-to-noise ratio (S/N) spectra which according to their $[M/H]$ belong to the thick disc.

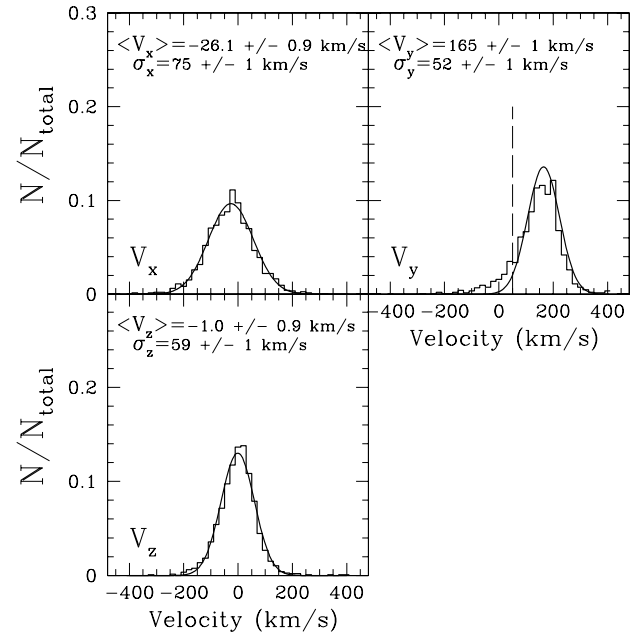


Figure 2. Velocity distributions of our thick-disc sample (1273 stars) computed in a right-handed reference frame at rest with respect to the Galactic Centre. Mean velocity values and dispersions for each component are given in each panel. These have been derived from the measured velocity dispersions after subtraction in quadrature of the velocity errors. Stars to the left of the dashed line in V_y were discarded when calculating the means and dispersions.

masses in M_{\odot} , velocities in km s^{-1} and lengths in kpc, which produce a circular velocity of $\sim 220 \text{ km s}^{-1}$ at 8 kpc from the Galactic Centre. The eccentricities of the stars were defined as $(r_{\text{apo}} - r_{\text{peri}})/(r_{\text{apo}} + r_{\text{peri}})$, where r_{apo} (r_{peri}) is the maximum (minimum) distance reached by the star in its orbit. Fig. 3 shows the eccentricity distribution obtained for our sample. The main features of this distribution are an asymmetric peak at a fairly low eccentricity value with a relatively (though not entirely) smooth falloff towards higher eccentricities. This peak at low eccentricity suggests that the thick-disc stars were formed primarily in the Milky Way itself (see Section 4.2).

3.2 Robustness of the eccentricity distribution

3.2.1 Systematic errors

We expect that the largest contribution to systematic errors would be due to the distances. Distance overestimation would result in larger heliocentric velocities because the observed proper motions would be placed at larger distances. Thus, the distance overestimation should result in a peak at a higher eccentricity than more accurate distances would reveal, not a peak at lower eccentricities, suggesting that the calculated distribution would not likely be a result of distance overestimation. On the other hand, distance underestimation should result in smaller heliocentric velocities and more circular orbits, and thus could cause an artificially strong peak at low eccentricity.

To explore more quantitatively how errors in distance could affect the final eccentricity distribution, we calculated eccentricities for the thick-disc sample using distances that were larger and smaller by 20 per cent. This value was chosen because nearly all the stars in the sample had distance errors smaller than 20 per cent, with

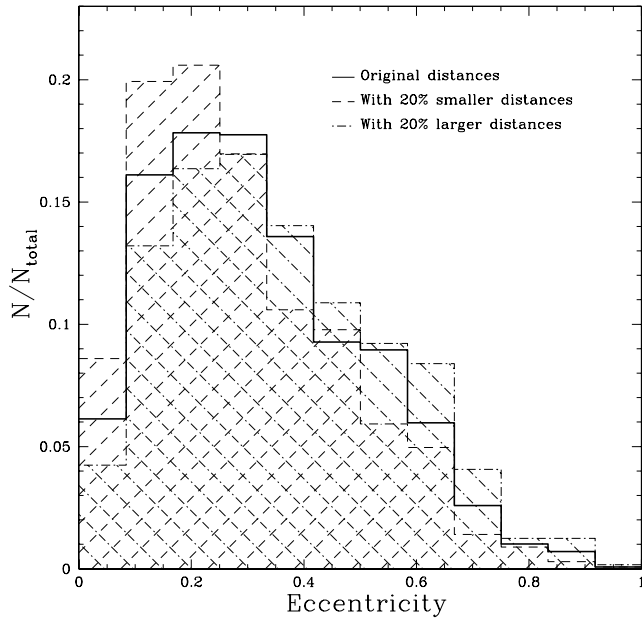


Figure 3. Eccentricity distribution of the thick-disc sample using the original distances (1273 stars, solid histogram) and distances that are 20 per cent smaller (1350 stars, dashed histogram) and larger (1204 stars, dot-dashed histogram). The differing numbers of stars are a result of discarding stars with low rotational velocity, since this number depends on what distance is assumed.

a peak at 10 per cent, making this figure conservative. As Fig. 3 shows, the distribution with smaller distances has a slightly higher peak at a slightly smaller eccentricity than the original distribution (the average decrease is 16 per cent), and that with larger distances has a slightly lower peak at a slightly larger eccentricity (average increase is 12 per cent), as expected. However, both distributions remain reasonably close to the original one, which suggests that a systematic distance error of 20 per cent would not result in a substantial change in the thick disc’s eccentricity distribution. We have found that even with up to 40 per cent larger distances, the peak in eccentricity remains below 0.4, and the generally triangular shape does not change.

We have also tested how our proper motion errors independently affect the eccentricity distribution. We found that the main effect is to slightly lower the amplitude of the peak at eccentricity ~ 0.2 and to marginally increase the number of stars with high eccentricity. Therefore, we conclude that the shape of the eccentricity distribution is generally robust to the estimated uncertainties in our observables.

3.2.2 Investigation of possible thin-disc contamination

Since the thin disc characteristically has stars on fairly circular orbits, there is a possibility that the peak at low eccentricity is caused partially by thin-disc contamination in our sample.

To give a quantitative estimate of this contamination, we formulated a simple model. Using the Padova isochrones (Marigo et al. 2008) and the Chabrier (2001) initial mass function (IMF), we generated two samples of stars. A thin-disc population was created from a solar metallicity, 5-Gyr isochrone, and a thick-disc population was created from an isochrone with $[\text{Fe}/\text{H}] = -0.6$ dex and an age of 10 Gyr. The relative fraction of thin-disc to thick-disc stars $f_{\text{thin2thick}}$ was calculated using the expression

$$f_{\text{thin2thick}} = f_{\text{norm}} \times e^{-|z|/z_{\text{thin}} + |z|/z_{\text{thick}}},$$

where f_{norm} is the relative fraction at the Sun and z_{thin} and z_{thick} are the thin-disc and thick-disc scale heights, respectively. We assumed $z_{\text{thin}} = 225$ pc and $z_{\text{thick}} = 1048$ pc, as estimated by Veltz et al. (2008) for the RAVE sample. The local normalization f_{norm} was calculated using the Veltz et al. (2008) ratio of thin-disc to thick-disc dwarfs and the Padova isochrones to determine the fraction of dwarfs for the thin-disc and thick-disc samples. We assigned z from 1050 to 2950 pc in increments of 100 pc and selected the model stars that would have been observed by the RAVE ($9 < I < 13$). To synthesize the effect of calculating distances assuming an age of 10 Gyr on the younger thin-disc population, we found the absolute magnitude on the giant branch that would have been assigned to each thin-disc star using a solar metallicity, 10-Gyr isochrone based on their effective temperatures. New distances were calculated for the stars with the new absolute magnitudes and the original apparent magnitudes and then rebinned based on these new distances.

Ratios of the number of observed thin-disc stars (both using the 5 and 10 Gyr ages) to observed thick-disc stars were then computed for each bin in distance and overall. In the lowest z bin, the thin-disc contamination was as large as 30 per cent, but it dropped to about 10 per cent for the 10-Gyr thin disc by $z = 1250$ pc and by $z = 1350$ pc for the 5-Gyr thin disc. Since the effect of calculating the distances of younger stars with 10-Gyr isochrones is to underestimate their distances, the thin-disc contamination was lower at most z intervals for the 10-Gyr thin-disc ratios, since moving the stars down in z took many out of the z range considered. The overall thin-disc contamination for the 5- and 10-Gyr thin discs was, respectively, 4.7 and 3.1 per cent.

Since those ratios suggest that there could be significant contamination in the portion of our sample that is closest to the plane of the disc, we took our thick-disc sample and divided it into a low $|z|$ and a higher $|z|$ portions to see how robust the shape of the eccentricity distribution was to thin-disc contamination. Two separate trials were performed. In the first, the division was placed at $|z| = 1.3$ kpc because the simple model suggests that the contamination levels have dropped below 10 per cent by that height when the stars all were assigned 10 Gyr ages, as is the case for the data set. The resulting eccentricity distributions for each subsection were then calculated and are plotted in Fig. 4. The distribution of the lower $|z|$ portion is strongly peaked at low eccentricity with fewer stars at higher eccentricities, which would be expected for a sample contaminated by thin-disc stars, which have predominantly circular orbits. The higher $|z|$ sample retained the roughly triangular shape of the original sample, however. The peak did shift slightly to higher eccentricity but not significantly.

We also performed another trial and cut the sample at $|z| = 1.5$ kpc. The resulting eccentricity distributions of the two subsamples were similar to those of the first trial. The distribution of stars in the higher $|z|$ subsample was lumpier, since there were fewer stars in it, and a slightly increased amount of higher eccentricity stars was notable. These trends are natural consequences of increased contamination by halo stars. Overall, the higher $|z|$ distribution retained the general properties of the original distribution. Thus, we conclude that the thin-disc contamination is not likely to have a large effect on the overall shape of the eccentricity distribution.

3.2.3 Comparison of the original eccentricity distribution with those using different thick-disc samples

Since the distances are a key component in calculating the eccentricity distribution, we also used another set of distances. Zwitter

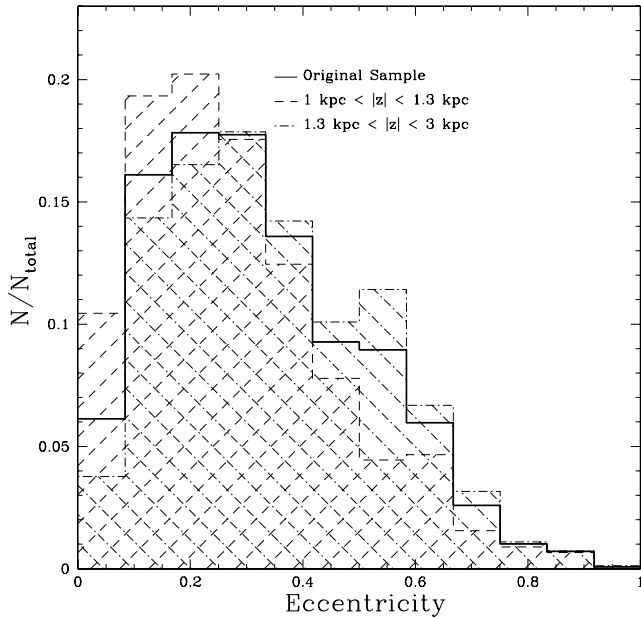


Figure 4. Eccentricity distributions of the original sample (solid), the subsample of stars with $1 < |z| < 1.3$ kpc (dashed histogram, 450 stars) and the subsample with $1.3 < |z| < 3$ kpc (dot-dashed histogram, 823 stars).

et al. (2010) calculated distances for a RAVE data set (based on a later internal data release consisting of 332 747 stars) by means of a photometric parallax method based on that of Breddels et al. (2010) but using the Y^2 , Dartmouth (Dotter et al. 2008) and Padova isochrones uniformly, rather than logarithmically spaced in time and, when picking a best match model star, weighting the model star picked based on mass. No age constraint to tailor the distances to a thick-disc sample was applied in this set of distances. Using the same cleaning and thick-disc selection criteria as before, we selected a sample from this catalogue (which was based on the Y^2 isochrones) and calculated its eccentricity distribution. This thick-disc sample consists of 6173 stars. See Fig. 5 for the velocity distributions.

In order to have a more independent check on the eccentricity distribution, since the above two methods of calculating distances are quite similar, we selected a thick-disc sample of clump stars from the RAVE. To choose this sample, we used the same cleaning criteria on the full data release but did not impose the restrictions aimed at eliminating the clump stars. We identified the clump by colour and gravity, taking it to have $0.6 < J - K_s < 0.7$ and $1.5 < \log(g) < 2.7$. Then, we calculated the distances using the apparent magnitudes and assuming an absolute clump magnitude of $M_K = -1.61$, as in Alves & Sarajedini (1999). Finally, we imposed the same restrictions in $|z|$, volume and velocity as before and calculated the eccentricity distribution. The resulting sample includes 3573 stars, and the velocity distributions are given in Fig. 5.

The velocity distributions in Fig. 5 show good agreement with those based on the Breddels et al. (2010) distances. As expected, the velocity dispersions for the red clump sample are slightly lower, since this sample should be devoid of halo contamination (as red clump stars are not present in low-metallicity old populations). Fig. 6 shows that also the thick-disc eccentricity distributions agree well with each other. All are strongly peaked at low eccentricities, have a generally triangular shape and do not have a secondary peak at high eccentricity. The sample based on Breddels et al. (2010) falls off at high eccentricities less smoothly than the other two, but

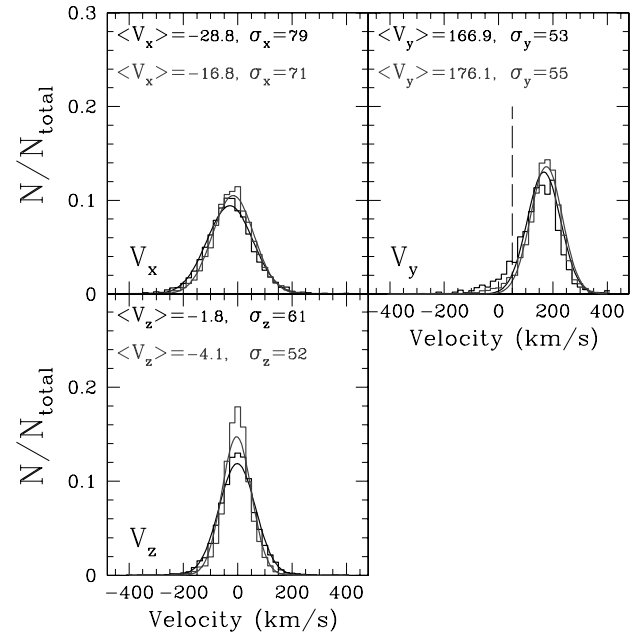


Figure 5. Velocity distributions of the thick-disc sample using the distances of Zwitter et al. (2000; black) and the clump thick-disc sample (grey). The mean velocity values and dispersions (in km s^{-1}) after removing stars with $V_y < 50 \text{ km s}^{-1}$ are given in each panel, and these have been obtained after subtraction in quadrature of the velocity errors. The Gaussians plotted have the same mean and dispersion as the data.

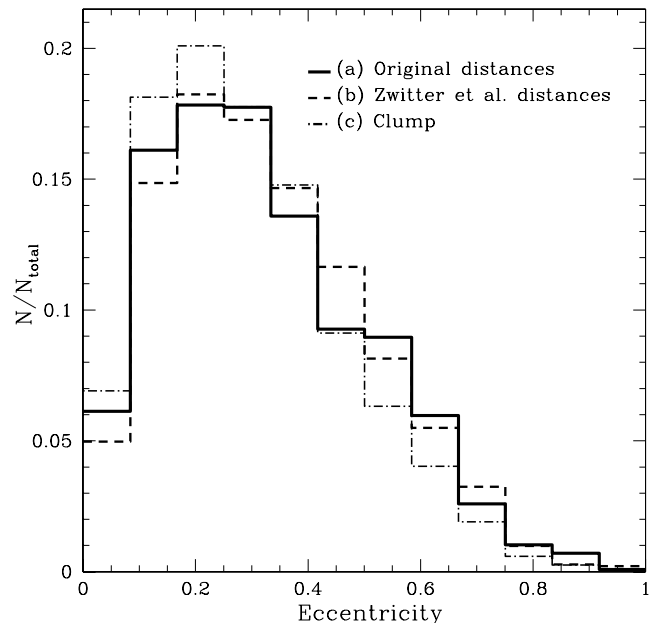


Figure 6. Eccentricity distributions of thick-disc samples using (a) the original distances, (b) the Zwitter et al. distances and (c) the clump sample.

that could be due to statistical fluctuations in this much smaller data set. On the other hand, the clump sample has its peak at a lower eccentricity and contains a smaller number of stars at higher eccentricities as expected, since this region is populated mainly by halo stars which are only present in the red giant samples. So while the three distributions are not identical, they agree fairly closely. Results of the application of Kolmogorov–Smirnov (KS) tests show that all three are consistent with being drawn from the same

distribution. This leads us to conclude that the eccentricity distribution is reasonably robust to the exact thick-disc sample selection.

4 COMPARISON WITH THEORETICAL MODELS

4.1 Discussion of the models

We now compare our calculated eccentricity distribution to those computed by Sales et al. (2009) from the simulations of Abadi et al. (2003), Villalobos & Helmi (2008), Roškar et al. (2008) and Brook et al. (2004). These simulations have been discussed in the literature, so we will only briefly describe them here. For details, we refer the reader to the literature and to table 1 of Sales et al. (2009), which summarizes their main parameters.

Abadi et al. (2003) demonstrate the formation of the thick disc through accretion of satellites during the hierarchical formation of a Milky Way like galaxy in the Λ cold dark matter paradigm and using cosmological N -body/smoothed particle hydrodynamics (SPH) simulations.

In the heating scenario simulation of Villalobos & Helmi (2009), a satellite merges with a primary thin disc (of comparable mass) on a prograde orbit inclined 30° . The subsequent formation of a new thin disc from cooling gas and any changes that might cause in the thick disc were not modelled in this simulation.

The simulation of Roškar et al. (2008) models the formation of a galactic disc by starting with a dark matter halo and a hot gas halo which over 10 Gyr cools and forms stars in a disc which migrate as transient spiral structure forms.

Brook et al. (2004) model the formation of a disc in a semicosmological N -body/SPH simulation which incorporates gas heating and cooling, star formation, feedback and chemical enrichment. Their thick disc develops during gas-rich mergers.

Sales et al. (2009) found that the location of the peak and the shape of the eccentricity distributions are driven by what kind of stars make up the thick disc in each model, as shown in Fig. 7. In the accretion scenario, the thick disc is composed mostly of accreted material; since satellites tend to be on radial orbits, the resulting eccentricity distribution is relatively broad and peaks in the mid to high eccentricities. The other three scenarios involve a thick disc being composed primarily from stars formed *in situ* (in the galaxy itself). Such stars have more circular orbits, which produce a peak at low values of the eccentricity. The heating and the gas-rich merger simulations have a contribution of accreted stars to the thick disc, which show up in the eccentricity distribution as a distinct second but much smaller peak at high eccentricity in the heating scenario and a lumpiness at mid to high eccentricities in the merger case.

4.2 Comparison with the models

A comparison of Figs 6 and 7 shows that the eccentricity distribution of our sample does not support the accretion model. The triangular shape with a peak at low eccentricities of our distribution does not resemble the broad mound peaked at middling eccentricity that characterizes the accretion scenario.

The eccentricity distribution of our thick-disc sample with its prominent peak at low eccentricities is consistent with the distribution displayed by the heating, migration and merger scenarios. However, our distribution does not exhibit a prominent secondary peak at high eccentricities like that evident in the heating model. While the distributions from the migration and merger models are not identical to the distribution of our sample, they do show some

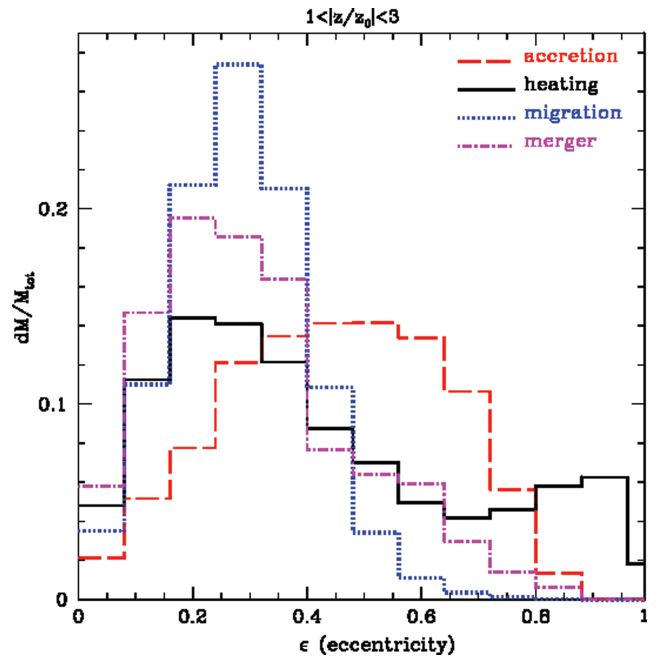


Figure 7. Comparison of the eccentricity distributions of each thick-disc formation model for stars in the range $1 < |z/z_0| < 3$ (thick-disc) scaleheights and cylindrical distance $2 < R/R_d < 3$.

resemblance and have no features that seem to count against either being possible. The gas-rich merger's distribution even displays an asymmetric peak like our distribution, making this scenario the most consistent with our data. The migration distribution exhibits a symmetry about the peak (is more Gaussian like) until it gets down into the high-eccentricity tail that is not displayed in our distribution, making this particular realization of the migration scenario somewhat less consistent with our data.

There are several reasons for the models not being a perfect match to the data. First, random and systematic errors in the data, while not significantly affecting the overall characteristics of the distribution, can alter the exact shape especially at high eccentricities, preventing robust conclusions regarding the presence of small amounts of accreted stars. None the less, such errors are unlikely to modify this shape to the extent of bringing it more in line with the pure accretion scenario.

Secondly, since the simulations did not attempt to duplicate the Milky Way exactly, they might not be similar enough to the Galaxy to precisely mimic the eccentricity distribution even if the formation mechanism is correct. For example, in the case of the heating model, the location of the second eccentricity peak depends on the initial orbital configuration of the accreted satellite. If the initial conditions of the Villalobos & Helmi simulations were changed to produce a secondary peak at middling eccentricities, this could probably be obscured in the data by the larger fraction of *in situ* stars.

It is also plausible that the thick disc was formed by a combination of processes. Radial migration has been shown to be dynamically possible in a galaxy such as our own; mergers, including gas-rich ones, are known to occur. Thus, the nature of the measured eccentricity distribution could be indicating that both radial migration and gas-rich mergers contributed to its formation.

One possible way to investigate which model is the most likely would be to compute the eccentricity distributions of the models and the data for different locations along the Galactic disc, as one may expect the contribution of accreted populations to change with

distance and become more dominant in the Galaxy's outskirts. Undoubtedly, data from *Gaia*, when it becomes available, will aid in clarifying how exactly the Galactic thick disc formed.

5 SUMMARY AND CONCLUSIONS

We have isolated a sample of thick-disc stars from the RAVE survey data, calculated its eccentricity distribution and determined that the distribution is fairly robust to changes in distances, thin-disc contamination and the specific thick-disc sample used. Our eccentricity distribution is fairly triangular in shape, depicting a dominant peak at low eccentricity and a relatively smooth falloff at high values.

We have compared this finding with the eccentricity distributions in Sales et al. (2009) presented for simulated thick discs formed via accretion, heating via a minor merger, radial migration and gas-rich mergers. The broad peak at moderately high eccentricities of the accretion model is not consistent with the relatively narrow peak at low eccentricity displayed by our sample. This indicates that the Galactic thick disc formed predominantly *in situ* (as opposed to externally). A lack of a distinguishable secondary peak at high eccentricity further suggests that if any of the thick disc was accreted, its direct contribution of stars in the solar neighbourhood was minimal. The gas-rich mergers simulation and, to a somewhat lesser extent, the radial migration model are consistent with the distribution of our sample. This suggests that these formation mechanisms could have had some role in the formation of the Milky Way's thick disc.

One important caveat is that some of the simulations used in this comparison were not tuned to match the properties of the Milky Way disc(s), and therefore their predictions could in principle change in future work. Exploring all possible variations of such models is beyond the scope of this paper. Still, we note that populating the regions high above the plane with low-eccentricity stars is likely to present a difficult challenge for accretion models, or for any models where the majority of the stars did not form '*in situ*', i.e. in the Galaxy itself.

ACKNOWLEDGMENTS

We are indebted to Laura V. Sales for producing Fig. 7 of this Paper. We thank the anonymous referee for valuable suggestions. AH acknowledges financial support from the European Research Council under ERC-Starting Grant GALACTICA-240271. HLM has been supported by the NSF through grant AST-0098435.

Funding for the RAVE has been provided by the Anglo-Australian Observatory, the Astrophysical Institute Potsdam, the Australian National University, the Australian Research Council, the French National Research Agency, the German Research foundation, the Istituto Nazionale di Astrofisica at Padova, the Johns Hopkins University, the National Science Foundation of the USA (AST-0908326),

the W. M. Keck foundation, the Macquarie University, the Netherlands Research School for Astronomy, the Netherlands Organization for Scientific Research, the Natural Sciences and Engineering Research Council of Canada, the Slovenian Research Agency, the Swiss National Science Foundation, the Science & Technology Facilities Council of the UK, Opticon, Strasbourg Observatory and the Universities of Groningen, Heidelberg and Sydney. The RAVE website is at <http://www.rave-survey.org>.

REFERENCES

- Abadi M. G., Navarro J. F., Steinmetz M., Eke V. R., 2003, *ApJ*, 597, 21
 Alves D. R., Sarajedini A., 1999, *ApJ*, 511, 225
 Bournaud F., Elmegreen B. G., Martig M., 2009, *ApJ*, 707, L1
 Breddels M. A. et al., 2010, *A&A*, 511, A90
 Brook C. B., Kawata D., Gibson B. K., Freeman K. C., 2004, *ApJ*, 612, 894
 Burstein D., 1979, *ApJ*, 234, 829
 Chabrier G., 2001, *ApJ*, 554, 1274
 Casetti-Dinescu D. I., Girard T. M., Korchagin V. I., van Altena W. F., 2011, *ApJ*, 728, 7
 Demarque P., Woo J.-H., Kim Y.-C., Yi S. K., 2004, *ApJS*, 155, 667
 Dotter A., Chaboyer B., Jevremović D., Kostov V., Baron E., Ferguson J. W., 2008, *ApJS*, 178, 89
 Edvardsson B., Andersen J., Gustafsson B., Lambert D. L., Nissen P. E., Tomkin J., 1993, *A&A*, 275, 101
 Elmegreen D. M., Elmegreen B. G., 2006, *ApJ*, 651, 676
 Gilmore G., Reid N., 1983, *MNRAS*, 202, 1025
 Hernquist L., 1990, *ApJ*, 356, 359
 Kregel M., van der Kruit P. C., Freeman K. C., 2005, *MNRAS*, 358, 503
 Marigo P., Girardi L., Bressan A., Groenewegen M. A. T., Silva L., Granato G. L., 2008, *A&A*, 482, 883
 Minchev I., Famaey B., 2010, *ApJ*, 722, 112
 Miyamoto M., Nagai R., 1975, *PASJ*, 27, 533
 Roškar R., Debattista V. P., Stinson G. S., Quinn T. R., Kaufmann T., Wadsley J., 2008, *ApJ*, 675, L65
 Sales L. V. et al., 2009, *MNRAS*, 400, L61
 Schönrich R., Binney J., 2009, *MNRAS*, 399, 1145
 Sellwood J. A., Binney J. J., 2002, *MNRAS*, 336, 785
 Steinmetz M. et al., 2006, *AJ*, 132, 1645
 van der Kruit P. C., Searle L., 1981, *A&A*, 95, 105
 VandenBerg D. A., Gustafsson B., Edvardsson B., Eriksson K., Ferguson J., 2007, *ApJ*, 666, L105
 Veltz L. et al., 2008, *A&A*, 480, 753
 Villalobos Á., Helmi A., 2008, *MNRAS*, 391, 1806
 Villalobos Á., Helmi A., 2009, *MNRAS*, 399, 166
 Yanny B. et al., 2009, *AJ*, 137, 4377
 Yoachim P., Dalcanton J. J., 2006, *AJ*, 131, 226
 Yoshii Y., 1982, *PASJ*, 34, 365
 Zwitter T. et al., 2008, *AJ*, 136, 421
 Zwitter T. et al., 2010, *A&A*, 522, A54

This paper has been typeset from a $\text{\TeX}/\text{\LaTeX}$ file prepared by the author.