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Surface thermodynamic homeostasis of salivary conditioning films through polar–apolar layering

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Abstract Salivary conditioning films (SCFs) form on all surfaces exposed to the oral cavity and control diverse oral surface phenomena. Oral chemotherapeutics and dietary components present perturbations to SCFs. Here we determine the surface energetics of SCFs through contact angle measurements with various liquids on SCFs following perturbations with a variety of chemotherapeutics as well as after renewed SCF formation. Sixteen-hour SCFs on polished enamel surfaces were treated with a variety of chemotherapeutics, including toothpastes and mouthrinses. After treatment with chemotherapeutics, a SCF was applied again for 3 h. Contact angles with four different liquids on untreated and treated SCF-coated enamel surfaces were measured and surface free energies were calculated. Perturbations either caused the SCF to become more polar or more apolar, but in all cases, renewed SCF formation compensated these changes. Thus, a polar SCF attracts

different salivary proteins or adsorbs proteins in a different conformation to create a more apolar SCF surface after renewed SCF formation and vice versa for apolar SCFs. This polar–apolar layering in SCF formation presents a powerful mechanism in the oral cavity to maintain surface thermodynamic homeostasis—defining oral surface properties within a narrow, biological range and influencing chemotherapeutic strategies. Surface chemical changes brought about by dietary or chemotherapeutic perturbations to SCFs make it more polar or apolar, but new SCFs are rapidly formed compensating for changes in surface energetics.

Keywords Salivary protein adsorption · Surface free energy · Acid–base interactions · Conditioning film formation · Contact angles · Oral microbiome

Introduction

Saliva is critical to human physiology. Without it, speech and swallowing are difficult if not impossible and we would find ourselves prone to various oral and systemic diseases. The glandular secretions, which constitute saliva, serve a variety of functions affecting lubrication, taste, the early stages of digestion, and the protection of soft and hard tissues against environmental insults [1, 2]. The functional characteristics of saliva include effects of soluble inorganic components, soluble enzymes, antimicrobial peptides, and a variety of low molecular weight and macromolecular glycoproteins, contributing to the formation of so-called conditioning films [3, 4]. These salivary conditioning films (SCFs) are known to form on all surfaces exposed to the oral cavity,

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and in addition to functional benefits for the host, SCFs constitute the interface at which the oral microbiome is acquired.

One important characteristic of SCFs is their influence on the thermodynamic properties of oral surfaces. Wu and Nancollas [5] have demonstrated that a relationship exists between surface free energy and kinetics of mineralization and demineralization of dental hard tissues. Likewise, it is generally known that the surface energy of teeth and other substrata influence the adhesion and growth of oral bacteria and development of a more complex oral microbiome [6]. In our laboratories, we have for 8 years been exploring the interaction chemistries of SCFs with both diet [7] and hygiene variations, including various chemistries applied in oral chemotherapeutics [8–10]. In this paper, we describe unique observations on the surface energetics of SCFs on oral hard tissues both before and after environmental perturbations. Results directly support that salivary conditioning films control surface homeostasis at the thermodynamic level.

Materials and methods

Surface conditioning film formation and treatment

SCFs were developed in a standardized fashion from reconstituted whole human saliva on enamel surfaces. Human whole saliva from 20 healthy volunteers, equally divided over both sexes (average age 30 ± 8 years) was collected into ice-chilled cups after stimulation of salivary flow by chewing Parafilm®. The medical ethical committee approved collection of human saliva, and patients gave their informed consent. After the saliva was pooled and centrifuged at 12,000 g for 15 min at 4°C, phenylmethylsulfonylfluoride was added to a final concentration of 1 mM as a protease inhibitor. The solution was again centrifuged, dialyzed for 24 h at 4°C against demineralized water, and freeze-dried for storage in order to provide for a stock. Finally, a lyophilized stock was prepared by mixing freeze-dried material originating from a total of 2 l of saliva. Reconstituted human whole saliva was prepared from the lyophilized stock by dissolution of 1.5 mg/ml in buffer (2 mM potassium phosphate, 1 mM CaCl₂, 50 mM KCl, pH 6.8). Note that recently, it has been shown that freeze-thawing does not alter a saliva which has been stored at -80°C for a period of 6 months [11].

For substrates, bovine enamel blocks were sectioned, mounted, and polished to a 0.3- μ m mirror finish. In order to obtain enamel surfaces, without contamination from polishing, the final polishing step was done in a slurry of

Al₂O₃ particles (0.05 μ m) in water, after which they were sonicated in demineralized water in order to remove the Al₂O₃ particles. X-ray photoelectron spectroscopy (XPS) demonstrated no residual aluminium on such polished surfaces, nor an inexplicably high carbon content, while water contact angles on thus prepared enamel surfaces were consistent with literature values [12]. SCFs were applied to all enamel blocks by 16-h immersion in reconstituted saliva at room temperature. SCF-coated enamel surfaces were treated with a variety of chemotherapeutics including toothpastes and mouthrinses (see Table 1), which acted as strong environmental perturbations to the surfaces. For treatments, 25% w/w toothpaste slurries in water (application time 60 s) or full-strength mouthwashes (application time 30 s) were used and samples were washed afterwards by twice dipping in water. A total of 25 formulations and 3 combinations of a toothpaste and mouthrinse were used with widely different chemical components, such as sodium fluoride, stannous fluoride, hexametaphosphate, pyrophosphate, triclosan, cetylpyridinium chloride, baking soda, sodium lauryl sulphate, poloxamer 407, and polyvinyl methylether maleic acid (see Table 1). Over the years, the untreated SCF was measured multiple times and two averages, over the first and second half of the experimental 8-year period, were employed as separate data points, while a fluoridated toothpaste frequently used as a control (Crest Cavity Protection) was included as three data points. Two commercial mouthrinses were also used twice.

The key feature of these treatments for the context of this paper is their ability to perturb the baseline hydrophobicity of SCFs to either the hydrophilic or hydrophobic side. Six to ten enamel blocks were treated with the same chemotherapeutic and subsequent to treatment, half of the blocks were directly measured for surface free energy changes, while the other half of the blocks were exposed again to reconstituted saliva for 3 h, prior to surface free energy assessment.

Surface free energy assessment through contact angle measurements

Surface free energies are best determined through a series of contact angles with different liquids, as can only be measured on SCFs that have been dried under rigorously controlled and constant conditions. To this end, contact angles with water on the SCF-coated enamel surfaces were measured after air drying to a so called “plateau level” of constant surface dryness [13], as monitored by water contact angle measurements over time. As soon as a plateau level of constant surface dryness was achieved, contact angles were also measured with a second polar liquid (formamide) and two apolar liquids (diiodomethane and α -bromonaphthalene). Contact angles were measured in

Table 1 Toothpastes (TP) and mouthrinses (MR) evaluated together with their main active components and manufacturer

Product	Main active components	Manufacturer	Reference
Commercial available toothpaste			
Crest cavity protection	Sodium fluoride, SLS	Procter & Gamble, Cincinnati, USA	[7]
Crest vivid white	Sodium fluoride, sodium hexametaphosphate, poloxamer	Procter & Gamble, Cincinnati, USA	
Crest pro health	Stannous fluoride, sodium hexametaphosphate, SLS	Procter & Gamble, Cincinnati, USA	
Crest tartar protection	Sodium fluoride, pyrophosphate, SLS	Procter & Gamble, Cincinnati, USA	
Crest baking soda	Sodium fluoride, sodium bicarbonate, SLS	Procter & Gamble, Cincinnati, USA	
Crest gum care	Stannous fluoride, SLS	Procter & Gamble, Cincinnati, USA	[7]
Crest clean mint	Sodium fluoride, SLS	Procter & Gamble, Cincinnati, USA	
Crest multicare	Sodium fluoride, SLS	Procter & Gamble, Cincinnati, USA	
Colgate total®	Triclosan, polyvinyl methylether maleic acid, sodium fluoride, SLS	Colgate–Palmolive Company, Piscataway, USA	
Mentadent baking soda	Sodium fluoride, sodium bicarbonate, hydrogen peroxide, poloxamer, SLS	Church and Dwight Company, Princeton, NJ, USA	
Listerine	Eucalyptol, menthol, methyl salicylate, thymol, sodium monofluorophosphate, SLS	Warner–Lambert Consumer Healthcare, Morris Plains, USA	
Blendamed	Sodium fluoride, SLS	Procter & Gamble, Cincinnati, Ohio, USA	
Experimental toothpastes			
Experimental TP I	Sodium fluoride, SLS, surface conditioners		
Experimental TP II	Sodium fluoride, SLS, surface conditioners		
Experimental TP III	Sodium fluoride, SLS, surface conditioners		
Experimental TP IV	Sodium fluoride, SLS, surface conditioners		
Experimental TP V	Stannous chloride, sodium fluoride, SLS		
Experimental TP VI	Sodium fluoride, sodium hexametaphosphate, SLS		
Experimental TP VII	Sodium fluoride, SLS, surface conditioners		
Experimental TP VIII	Sodium fluoride, SLS, surface conditioners		
Commercially available mouthrinses			
Scope	Cetylpyridinium chloride	Procter & Gamble, Cincinnati, USA	[9]
Viadent	Cetylpyridinium chloride	Colgate Oral Pharmaceuticals Inc., Canton, USA	[9]
Experimental mouthrinses			
Experimental MR I	Cetylpyridinium chloride		
Experimental MR II	Cetylpyridinium chloride		
Experimental MR III	Surface conditioner		
Combined toothpaste and mouthrinse			
Crest cavity protection + Scope	See above	See above	
Crest cavity protection + Viadent	See above	See above	
Crest cavity protection + Crest pro health	See above	See above	

triplicate with a camera–goniometer system on three to five differently prepared enamel blocks, immediately after treatment with an oral health care product and after subsequent SCF formation.

The total SCF surface free energy and its Lifshitz–Van der Waals (γ_s^{LW}), acid–base (γ_s^{AB}) components along with its electron acceptor (γ_s^+) and electron donor (γ_s^-) parameters were subsequently calculated according to the

Lifshitz-Van der Waals/acid–base (LW-AB) approach [14] by solving the matrix presented in Eq. 1 and using the standard liquid properties [15]

$$\begin{bmatrix} \sqrt{\gamma_{\text{water}}^{\text{LW}}} & \sqrt{\gamma_{\text{water}}^+} & \sqrt{\gamma_{\text{water}}^-} \\ \sqrt{\gamma_{\text{formamide}}^{\text{LW}}} & \sqrt{\gamma_{\text{formamide}}^+} & \sqrt{\gamma_{\text{formamide}}^-} \\ \sqrt{\gamma_{\text{diiodomethane}}^{\text{LW}}} & \sqrt{\gamma_{\text{diiodomethane}}^+} & \sqrt{\gamma_{\text{diiodomethane}}^-} \end{bmatrix} \begin{bmatrix} \sqrt{\gamma_s^{\text{LW}}} \\ \sqrt{\gamma_s^-} \\ \sqrt{\gamma_s^+} \end{bmatrix} = \begin{bmatrix} (1 + \cos \theta_{\text{water}}) \times \gamma_{\text{water}}/2 \\ (1 + \cos \theta_{\text{formamide}}) \times \gamma_{\text{formamide}}/2 \\ (1 + \cos \theta_{\text{diiodomethane}}) \times \gamma_{\text{diiodomethane}}/2 \end{bmatrix} \quad (1)$$

in which γ^{LW} , γ^+ , and γ^- are Lifshitz-Van der Waals, electron-accepting, and electron-donating surface free energies of the various liquids (see subscripts), γ_s is the surface free energy of the solid surface, and θ is the measured contact angle (see subscripts for liquid). Note that whereas Eq. 1 is set up for the use of diiodomethane as an apolar liquid, an analogue equation can be set up for α -bromonaphthalene. Since the use of different apolar liquids, may yield two slightly different outcomes in Lifshitz-Van der Waals surface free energy component (γ_s^{LW}), all values for γ_s^{LW} represent the average outcome for both apolar liquids.

X-ray photoelectron spectroscopy and thickness calculation

In addition to surface free energy, the amount of adsorbed material in SCFs was estimated from their dehydrated film thicknesses using an X-ray photoelectron spectrometer (S-Probe spectrometer; Surface Science Instruments, Mountain View, CA, USA) equipped with an aluminium anode (10 kV, 22 mA) and a quartz monochromator. XPS was conducted at a photoelectron collection angle of 55° with the sample and an electron flood gun setting of 10 eV. Elemental compositions were also calculated from overall scans in the binding energy range of 1–1,100 eV with a 1,000×250- μm spot and a pass energy of 150 eV accounting for instrumental sensitivity factors. The thickness of the SCFs was calculated using an overlayer model based upon the attenuation of the Ca_{2p} signal [16]. The XPS analyses were done on three separately prepared enamel blocks per treatment.

Results

The Lifshitz-Van der Waals surface free energy component γ_s^{LW} of 16-h-old SCFs on enamel surfaces measures 36 mJ/m^2 . Treatment with topical chemotherapeutics produced variable responses ranging from a reduction of γ_s^{LW} to almost 25 mJ/m^2 , to an increase to nearly 43 mJ/m^2 (Fig. 1).

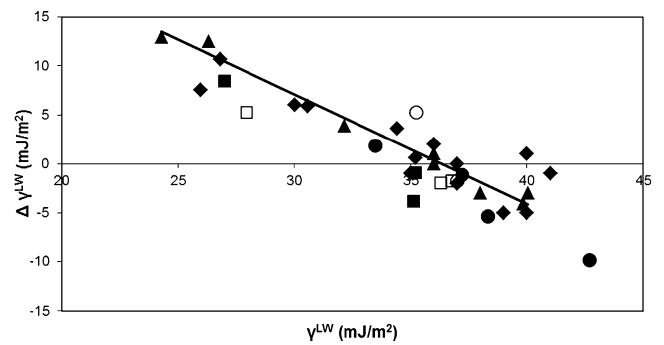


Fig. 1 The change in Lifshitz-Van der Waals surface free energy components $\Delta\gamma_s^{\text{LW}}$ of SCFs with and without exposure to a toothpaste slurry or mouthrinse upon renewed exposure to saliva as a function of its Lifshitz-Van der Waals surface free energy component γ_s^{LW} immediately after treatment with a toothpaste slurry or mouthrinse. All data points represent measurements over three separately prepared samples, with an average SD of 2.3 mJ/m^2 . Linear correlation coefficient equals 0.91. Symbols denote: *empty circle*, untreated SCF (“pellicle”); *shaded diamond*, commercially available toothpastes; *shaded triangle*, experimental toothpastes; *shaded circle*, commercially available mouthrinses; *empty square*, experimental mouthrinses; *shaded square*, exposure to a toothpaste slurry followed by a mouthrinse treatment

Renewed SCF formation, however, yielded a positive change in γ_s^{LW} when treatment caused a reduction, while vice versa for treatments that had caused an increase in γ_s^{LW} . Thus, SCF formation after treatment with surface active oral chemotherapeutic proceeds to compensate for any changes brought about in γ_s^{LW} . As can also be seen in Fig. 1, treated SCFs with a γ_s^{LW} of 36 mJ/m^2 remain essentially unaffected by renewed SCF formation.

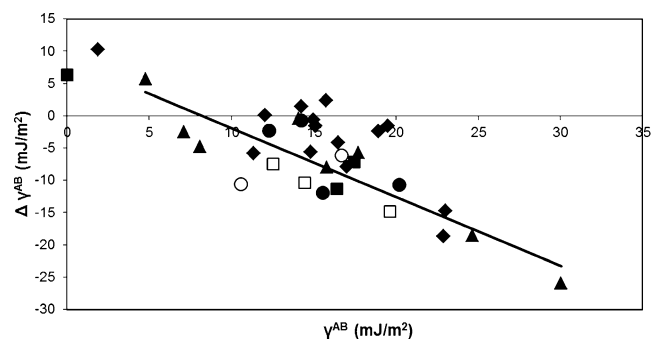


Fig. 2 The change in acid–base surface free energy components $\Delta\gamma_s^{\text{AB}}$ of SCFs with and without exposure to a toothpaste slurry or mouthrinse upon renewed exposure to saliva as a function of the SCF acid–base surface free energy component γ_s^{AB} immediately after exposure to a toothpaste slurry or mouthrinse. All data points represent measurements over three separately prepared samples, with an average SD of 3.4 mJ/m^2 . Linear correlation coefficient equals 0.79. Symbols denote: *empty circle*, untreated SCF (“pellicle”); *shaded diamond*, commercially available toothpastes; *shaded triangle*, experimental toothpastes; *shaded circle*, commercially available mouthrinses; *empty square*, experimental mouthrinses; *shaded square*, exposure to a toothpaste slurry followed by a mouthrinse treatment

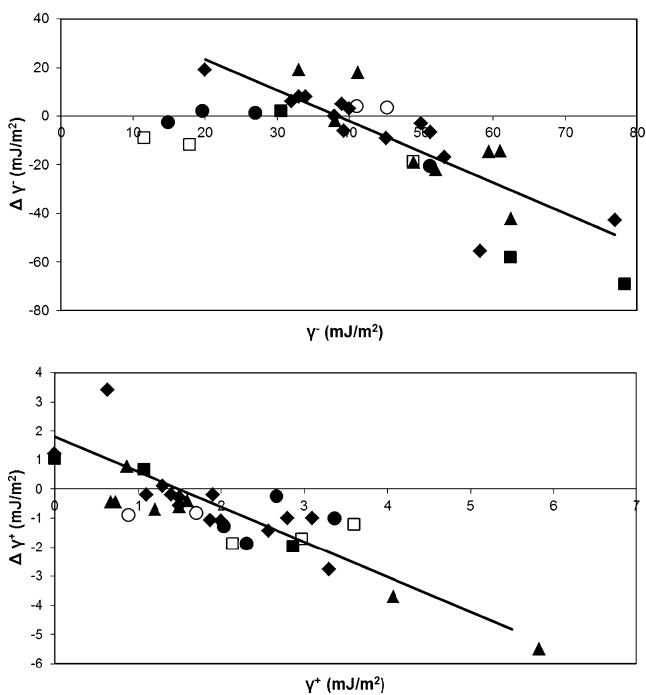


Fig. 3 The changes in electron-donating $\Delta\gamma_s^-$ (top) and electron-accepting $\Delta\gamma_s^+$ (bottom) surface free energy parameters of SCFs with and without exposure to a toothpaste slurry or mouthrinse upon renewed exposure to saliva as a function of the SCF surface free energy parameters γ_s^- and γ_s^+ immediately after exposure to a toothpaste slurry or mouthrinse. All data points represent measurements over three separately prepared samples, with an average SD of 6.9 and 0.6 mJ/m² for the electron-donating and accepting parameters, respectively. Linear correlation coefficients equal 0.83 and 0.73, for electron-donating and accepting parameters, respectively. Symbols denote: empty circle, untreated SCF (“pellicle”); shaded diamond, commercially available toothpastes; shaded triangle, experimental toothpastes; shaded circle, commercially available mouthrinses; empty square, experimental mouthrinses; shaded square, exposure to a toothpaste slurry followed by a mouthrinse treatment

Figures 2 and 3 present similar data for the acid–base surface free energy component γ_s^{AB} (Fig. 2) and its electron-donating γ_s^- and electron-accepting γ_s^+ parameters (Fig. 3). γ_s^{AB} , γ_s^- , and γ_s^+ of untreated SCFs amount 14.0, 43.1, and 1.3 mJ/m², respectively and from Figs. 2 and 3, it can be seen that SCFs with corresponding values of 8.9, 31.0, and 1.2 mJ/m² remain unaffected by renewed SCF formation after treatment. Note again that these values are close to those observed for untreated SCFs.

The dehydrated thickness of SCFs on enamel surface in vacuo as determined by XPS amounts to 3.2 nm. The detergent action of most oral health care product causes a decrease in the dehydrated SCF thickness (Fig. 4) primarily due to surfactancy effects, although in some cases, adsorption of components in oral chemotherapeutics causes an increase in the SCF thickness. In all cases, however, continued SCF formation tends to converge its dehydrated

thickness to a value of 2.9 nm. Note that thickness data were not available for all formulations.

Discussion

The oral cavity undergoes tremendous environmental perturbations on a daily basis. Overnight, salivary excretion decreases significantly, and we sometimes awake to sensorially objectionable dry tissues. With each meal, hard and soft tissues are exposed to a variety of soluble fats, proteins, carbohydrates [7], and divergent ionic strengths and pHs. In addition to these diurnal perturbations, oral hygiene presents strong perturbations to surface equilibria in the oral cavity. Oral chemotherapeutics are de facto designed for surface cleansing and conditioning, and thus to cause major perturbations to SCFs. In this study, we see that perturbation of a SCF can cause changes in its Lifshitz–Van der Waals, and most notably, in its acid–base surface free energy component. Environmental challenges, including those from oral chemotherapeutics can either cause the SCF to become more polar or more apolar, but importantly in all cases, renewed SCF formation compensates for these changes at the thermodynamic level, resulting in a surface energetic state that closely resembles the one of an untreated SCF. This recovery, which we call polar–apolar layering due to the involvement of acid–base interactions, is remarkable, considering the fact that even minute changes on a surface, like a carbonaceous monolayer contaminating glass surfaces, can yield a tremendous change in surface free energy. Under the influences of saliva, a SCF that has become more polar upon perturbation appeared to attract

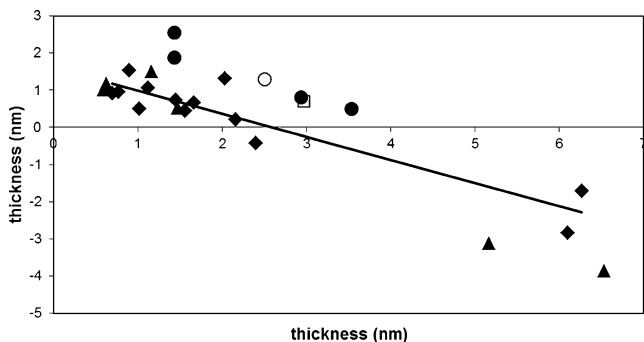


Fig. 4 The change (Δ) in dehydrated SCF thickness with and without exposure to a toothpaste slurry or mouthrinse upon renewed exposure to saliva as a function of the SCF thickness immediately after exposure to a toothpaste slurry or mouthrinse. All data points represent measurements over three separately prepared samples, with an average SD of 0.6 nm. Linear correlation coefficient equals 0.85. Symbols denote: empty circle, untreated SCF (“pellicle”); shaded diamond, commercially available toothpastes; shaded triangle, experimental toothpastes; shaded circle, commercially available mouthrinses; empty square, experimental mouthrinses; shaded square, exposure to a toothpaste slurry followed by a mouthrinse treatment

salivary components whose adsorption yielded a more apolar SCF surface after renewed SCF formation and vice versa for perturbations producing apolar SCFs. The relationships between surface energetics of SCFs after chemotherapeutic exposure and changes in energetics following renewed SCF formation comprise a total of 25 different products and have been obtained over a period of 8 years. Yet, the relationships are convincing and without major outliers. In the past, it has been suggested that salivary protein formation converges the surface energy of different nonbiological substratum surfaces in the oral cavity [17], but this has never been shown for salivary conditioning film formation on a treated biological film as the SCF. Moreover, by virtue of the LW-AB analysis of measured contact angles, the role of acid–base interactions in renewed SCF formation on treated SCFs has become clear here. Interestingly, polar–apolar layering after chemotherapeutic perturbation occurs without an increase in dehydrated layer thickness as observed by XPS (see Fig. 4), which is in agreement with results by Vassilakos et al. [18] using in situ by ellipsometry and demonstrating that secondary exposure of a treated SCF to saliva did not cause an increase in adsorbed SCF.

Surface chemical changes brought about by dietary or chemotherapeutic perturbations to SCFs in vivo have been assessed as well by clinical contact angle registration [19, 20]. However, clinical contact angles could not be measured with formamide, diiodomethane, and α -bromonaphthalene due to their toxicity and hence no surface free energy analysis could be established. Nevertheless, water contact angles measured in vivo as a function of time after perturbation by toothbrushing or use of mouthrinses clearly showed that surface chemical effects in vivo were transient and nearly always disappeared within 24 h, depending on the chemotherapeutic treatment [9, 19, 20]. This suggests that recovery of SCFs through polar–apolar layering after chemotherapeutic challenge occurs in vivo too.

Salivary conditioning films are derived in large part from proteins adsorbed from saliva. Saliva contains a myriad of macromolecules ranging in size from low molecular weight cationic charged histatins [21, 22] to mucin glycoproteins (100–1,000 kd) [2, 23–25]. The proteins in saliva exhibit profound multifunctionality, but are thought to contribute primarily to the lubrication and coating of tissues [24]. Of course, another important aspect of salivary proteins is the change in their properties and functions in solution as compared with those when adsorbed [26]. Upon adsorption to a surface, the arrival of proteins in flatland [27] may stimulate unfolding, depending on the properties of the surface and the protein involved. So-called soft proteins are more apt to unfolding than hard proteins, while the stability of the folded conformation may depend on ionic strength.

It appears from the current study, that acid–base interactions primarily drive the polarity of a SCF. Following environmental perturbations, new films are rapidly formed compensating for changes in surface energetics. This process of polar–apolar layering yields an intriguing equilibrium that is somewhat at odds with the “ordered” process of SCF acquisition and formation in unperturbed systems [28]. While the exact chemical nature of the compensating adsorption has yet to be determined, it may include readsorption of macromolecules through different conformational changes or through layered selective secondary adsorption [29]. Indeed, elemental surface analysis by XPS confirms that nitrogen, representing adsorbed proteins, plays a pivotal role in polar–apolar layering and it tends to achieve a stable value of around 8.3%N. For example, a treated SCF with a γ_s^{LW} of 42.7 mJ/m² possesses a γ_s^{LW} of 32.9 mJ/m² after 3 h of additional SCF formation, concurrent with an increase in the %N from 7.2% to 9.8%. Analogously, a treated SCF with a γ_s^{LW} of 24.3 mJ/m² that changed to γ_s^{LW} of 37.3 mJ/m² after 3 h SCF formation experienced a concurrent increase in the %N from 1.3% to about the same stable value 8.6%. From these two examples, it can be concluded that thermodynamic homeostasis is in agreement with the chemical homeostasis.

While previous studies have documented that SCFs are variably removed and redeposited with oral hygiene measures, including chemotherapeutic treatment [30], this study is the first to assess effects of these processes in a thermodynamic context. It is paradoxical that the thrust toward development of oral chemotherapeutics is often directed at conditioning film modifications [31], while it now becomes obvious why many of these techniques show only modest clinical effects or require repeated applications to produce therapeutic changes. Though confounding the best intentions of clinicians and researchers attempting to “control” the oral surfaces to reduce disease risks, the redundancy and balancing effects of salivary proteins on SCF energetics yield a homeostasis that keeps the SCF energetics close to the equilibrium likely established in our early ancestors, lacking the heavy perturbations of our current oral chemotherapeutics. Likewise, the results help to explain the robust homeostasis observed within the oral environment, including the microbiome that populates the oral habitat. It would be of interest to explore whether variations exist in SCF energetics in different populations or in populations with different dietary regimes to see if local or even evolutionary changes are observed. Recently, Perry et al. [32] found that the copy number of the salivary amylase gene (*AMY1*) is correlated positively with salivary amylase protein levels and that individuals from populations with high starch diets have, on average, more *AMY1* copies than populations consuming less starch. This example of positive selection on a copy number variable gene was positioned by Perry and co-workers as one of the first discovered within the human

genome. It is also well known that human diets with higher proportions of refined sugar result in the selective adaptation of oral microbiomes to contain more acidogenic, tooth decay causing, species like *Streptococcus mutans* [33]. The polar–apolar layering in SCF formation observed here has never been described before and could explain how the oral cavity manages to maintain surface thermodynamics within a narrow, biological range, despite environmental challenges including diet.

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Conflict of interest The authors declare that they have no conflict of interest.

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