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Liquefaction of humins from C6-sugar conversions using heterogeneous catalysts

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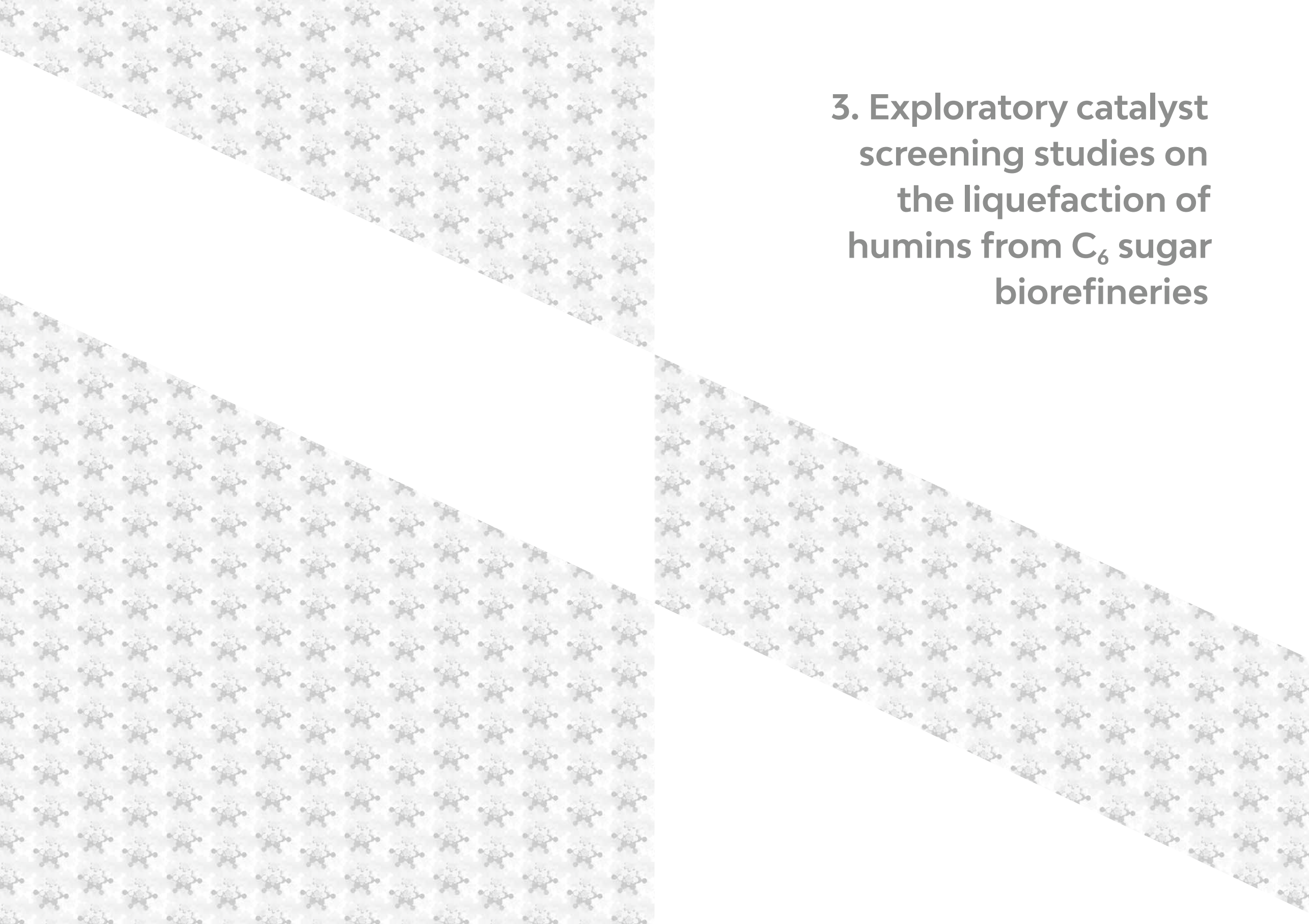
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**3. Exploratory catalyst
screening studies on
the liquefaction of
humins from C₆ sugar
biorefineries**

ABSTRACT

A catalyst screening study is reported on the liquefaction of humins, the solid by-products from C₆ sugar biorefineries for levulinic acid and 5-hydroxymethylfurfural production. Experiments were carried out in a batch reactor using an artificial model humin derived from glucose with isopropanol as the solvent at 400 °C for a 3 h batch-time. Initial studies using noble metal catalysts (Rh, Pt, Pd, Ru) on a carbon support revealed that Pt was the best catalyst in terms of humin conversion (77%) and amounts of alkylphenolics and aromatics in the product oil (GC×GC-FID). Subsequent support screening studies (TiO₂, ZrO₂, CeO₂) were performed using Pt as the active metal and the results were compared with Pt/C. Detailed liquid product analysis (GPC, GC-MS, GC×GC) including blank reactions in the absence of humins revealed that the humins are mainly converted to monomeric alkylphenolics and aromatics oligomers (GPC) and (GC). IPA was shown not to be inert and is converted to acetone and hydrogen, and the latter is the hydrogen source for the various metal catalysed hydrogenolysis and hydro(deoxy)genation reactions. In addition, acetone is converted to aldol condensation products (like methylisobutylketone, MIBK) and hydrogenation products derived thereof. The best results were obtained with Pt/C when considering humin conversion. However, Pt/CeO₂ was shown to be more attractive when considering the amounts of alkylphenolics in the product oils (20.4 wt% based on humin intake).

Keywords: Humins, Catalyst screening, Liquefaction, IPA

Wang Y, Agarwal S, Tang Z, Heeres HJ. Exploratory Catalyst Screening Studies On the Liquefaction of Model Humins From C₆ Sugars. *RSC Advances*. 2017, 7: 5136-5147.

3.1. INTRODUCTION

Lignocellulosic biomass is regarded an important alternative for fossil resources for the production of biobased products.^[1-15] For instance, it has been shown that the cellulose and hemicellulose fraction may be transformed into platform chemicals using either biochemical or metal/Brønsted acid catalysed transformations.^[1-5, 16-18] Well known examples of platform chemicals are levulinic acid (LA) and 5-hydroxymethylfurfural (HMF) and both have shown to be attractive intermediates for a large range of interesting products with high application potential.^[19-24] Examples are 2,5-furandicarboxylic acid, a monomer for renewable polymers, and acetals from levulinic acid.^[12] However, the conventional synthetic methodology to produce LA and HMF in water using a mineral acid as the catalyst inevitably leads to the formation of solid byproducts known as humins. These not only cause blocking of pipes and other process equipment but also lower the carbon efficiency of the conversions considerably.^[1, 25, 26] In some cases, humin yields as high as 40% based on feed intake have been reported.^[27-28]

To reduce humin formation and/or to obtain value-added chemicals from humins, a good understanding of its molecular structure is of high importance. Unfortunately detailed information of this is limited because of its complex, highly condensed structure and its low solubility in conventional organic solvents, which hamper analysis by techniques such as NMR. However, rapid developments in the field of hydrothermal carbonisation of the last decade has led to further insights. The molecular structure of the main product of this process, hydrothermal carbons (HTCs), have been investigated in detail.^[29-32] HTCs are typically obtained by heating various lignocellulosic biomass sources in water at elevated temperatures and pressures. In this respect, the structure may differ from typical humins obtained by a conventional LA and HMF synthesis as strong Brønsted acids are used here, which may have a major impact on the composition and molecular structure of the humins formed.

A limited number of characterisation studies have been reported for humins from monomeric sugars using acids as the catalysts. Zarubin *et al.* proposed a furan-rich structure with ether and (hemi) acetal linkages (elemental analyses, IR, NMR of acetone extracts).^[33] Lund *et al.* reported that humins from HMF are formed by aldol condensation reactions of the intermediate 2,5-dioxo-6-hydroxyhexanal (including reactions with HMF) giving a furan rich structure, with the exact amounts of furanic fragments depending on the level of HMF involved in the aldol condensation reactions.^[34]

We have recently reported on the structure of so called artificial humins, which are humins made by the conversion of C₆-sugars, particularly glucose. These are produced at elevated temperatures in water in the presence of a mineral acid at extended reaction times (6 h, 180 °C, 1 M sugar and 0.01 M H₂SO₄). The glucose humins were obtained in yields up to 39 wt% on C₆ sugar intake.^[27] Based on extensive characterisation studies (elemental analysis, IR, solid state NMR and pyrolysis GC-MS) a structural model was proposed, which suggests that the humins consist of furanic fragments connected by various linkages. (Figure 3-1 left). A later study came up with a refined model, see Figure 3-1 right.^[27, 35]

A number of investigations have been reported to convert the solid humins to value-added products. For instance, Hoang *et al.* studied the valorisation of humins by steam reforming with alkali-metal-based catalysts (900-1200 °C).^[36, 37] Highest activity was found when using Na₂CO₃ as the

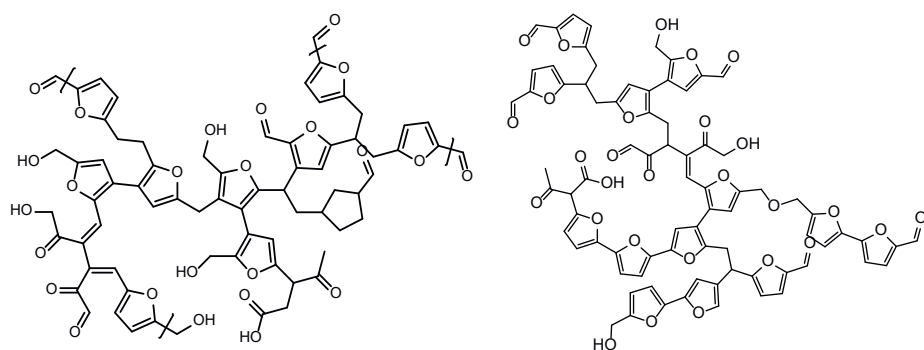


Figure 3-1. Proposed structures for humins from D-glucose (reproduced with permission)^[27, 35]

catalyst. The H₂ to CO ratio of the produced syngas was about 2. However, substantial loss of carbon was observed during the heating stage (up to 45 wt% on intake).

Liquefaction of humins has also been proposed as an attractive technology to obtain liquid energy carriers preferably enriched with interesting biobased building blocks like aromatics and alkylphenolics. Such liquefied humins have a higher energy density, and are easier to store and transport than the solid humins. Liquefaction using pyrolysis technology was investigated by Rasrendra *et al.*^[38] Pyrolysis GC-MS showed the presence of furanics and organic acids in the vapour phase, though the individual components were present in only minor amounts (< 1 wt%). Micro-pyrolysis yielded 30 wt% gaseous and liquid products, the remainder being a solid char. Gas-liquid yields were lower than those obtained for a typical lignin sample (Kraft lignin) under similar conditions. As such, pyrolysis seems to be cumbersome leading to relatively low liquid yields.

Recently, Trautmann *et al.* have reported studies on the conversion of HTC materials to biofuels using catalytic technology.^[39, 40] Various HTC materials were tested using Ni on titania as the catalyst at temperatures between 473 and 523 K and reaction times between 3 and 20 h. Hydrogen is formed *in situ* which then serves as the reactant for hydrocracking reactions and the formation of liquid products. Although HTC materials derived from lignocellulosic biomass are likely more complex in nature than the artificial humins from C₆-sugars, it implies that this methodology may also be very attractive for the liquefaction/upgrading of artificial humins.

We have recently reported that artificial humins derived from glucose can be depolymerised using a hydrotreatment with Ru/C in an isopropanol/formic acid mixture. The reaction was carried out at 400 °C and humin conversions up to 69% were achieved.^[41] The liquid products were shown to consist of both mono- and oligomeric compounds. The major GC detectable compounds were alkylphenolics, aromatics (mono and with multiple fused rings) and cyclic alkanes, though their yields based on humin intake was relatively low (< 10%). It was shown that molecular hydrogen is formed *in situ*

by the catalytic conversion of formic acid and that this *in situ* produced hydrogen is used for hydrogenolysis and hydrodeoxygenation reactions involving the humin structure. In addition IPA was also shown to be reactive under these conditions and was (partly) converted to acetone and hydrogen. The later finding indicates that formic acid is possibly not required for the liquefaction reaction, which would considerably simplify the process.

Herein, we report a systematic catalyst screening study on the liquefaction of humins in IPA only, without the use of formic acid and the addition of molecular hydrogen. A series of noble metals including Pd, Ru, Rh, Pt were selected and tested for the reaction with active carbon as the support. The metals were selected on the basis of their known activity for the dehydrogenation of IPA to hydrogen and acetone. On the basis of this study, the best noble metal was selected, followed by a support screening study (carbon, TiO₂, ZrO₂ and CeO₂). The liquid product phases of the reactions were investigated in detail with various techniques (GPC, GC×GC, GC-MS-FID) to determine the molecular composition. This information was used to select the best catalyst with regards to humin conversion and amounts of low molecular weight products in the product oils.

3.2. MATERIALS AND METHODS

Chemicals. All chemicals used in this work were of analytical purity and used without further purification. The metal acetylacetonate precursor, Pt(acetylacetonate)₂ was obtained from Sigma Aldrich with a purity of at least 99.5 wt%. The supports (anatase TiO₂, Sigma No. 637254-50G, ZrO₂, Sigma No. 230693-100G, and CeO₂, Sigma No. 544841-25G) were obtained from Sigma Aldrich and all have an average particle size diameter of less than 50 nm. Supported metal catalysts on carbon (Ru, Sigma No. 206180-25G, Rh, Sigma No. 643149-10G, Pt, Sigma No. 205931-50G, Pd, Sigma No. 205680-50G, all in powder form) with a metal loading of 5 wt% were obtained from Sigma Aldrich and used as such. 2-Propanol (99.5%, Chromasolv plus) was

purchased from Fluka, D-glucose (99% purity), di-n-butylether (DBE), tetrahydrofuran (THF) and sulphuric acid were obtained from Sigma Aldrich. Nitrogen gas (> 99.8%) was obtained from Hoekloos.

Humin synthesis. The humins used in this study were synthesised by the hydrothermal conversion of D-glucose in water in the presence of sulphuric acid according to a literature procedure.^[27]

A stainless steel autoclave (1 L) equipped with an overhead stirrer was filled with a solution of H₂SO₄ (0.01 M) and glucose (1 M) in water (500 mL). The content was heated to 180 °C (heating rate: 1.3 °C/min) and subsequently maintained at this temperature for 6 h at a stirring rate of 120 rpm. After reaction, the reactor was cooled to room temperature and the resulting suspension was filtered. The dark brown residue was washed with 3 L of deionised water. The powder was dried under vacuum (0.03 bar) for 24 h at 60 °C. After drying, the humins were grinded and purified by a Soxhlet extraction with water for 24 h. Finally, the purified humins were vacuum (0.03 bar) dried for 24 h at 70 °C and were further crushed into powder. The elemental composition of the humins was 64.64 wt% carbon, 4.38 w% hydrogen and 30.9 wt% oxygen by difference (H/C: 0.81 and O/C: 0.36 mol/mol), which agrees well with reported values.^[27]

Catalyst preparation. All Pt catalysts on the inorganic supports (5 wt% metal) were prepared using a wet impregnation procedure with acetone as the solvent. The supports were dried overnight at 120 °C before use. A representative example for Pt on TiO₂ is given as an example. Platinum acetylacetonate (0.1063 g, 0.0527 g Pt) was diluted in acetone (250 ml) and the appropriate amount of TiO₂ (1.0235 g) was added. The acetone was slowly removed (about 8 h) while heating the suspension to 40 °C at atmospheric pressure. The resulting solid mixture was collected and calcined in a muffle furnace under air (heating profile: from room temperature to 300 °C with a heating rate of 5 °C/min for 2 h followed by heating to 600 °C with a rate of 5 °C/min for 1 h followed by cooling to 50 °C in 3 h. Subsequently, the catalyst was reduced (room temperature to 600 °C with a heating rate of 20 °C/min, 6 h at 600 °C and then cooled to 50 °C in 2 h) using

a Micrometrics AutoChem II 2920 system with a sweep gas consisting of 10 vol% hydrogen and 90 vol% nitrogen.

Catalytic liquefaction of the humins. The catalytic hydrotreatment experiments were performed in a batch Parr reactor system (maximum operating conditions: 350 bar and 500 °C) consisting of a batch autoclave (100 ml) with electric heating, equipped with an overhead stirrer and temperature control. The stirring speed was set at 1400 rpm for all experiments. In a typical experiment, the reactor was charged with a humin sample (3.0 g), IPA (20.0 g), and metal on carbon catalyst (0.5 g, 17 wt% on humin intake). The reactor was closed and tested for leakage by pressurising with 80 bar of nitrogen. The pressure was released and the reactor was subsequently flushed twice with nitrogen gas. The reactor was weighted for mass balance calculations and subsequently heated to 400 °C at a rate of about 10 °C/min and the reaction was allowed to proceed for 3 h. After reaction, the reactor was cooled to room temperature. The pressure was released and the gas phase was collected in a 3 L plastic gas bag and was analysed with gas chromatography. The reactor was weighed and the difference in weight before and after reaction (after release of the gasphase) was taken as the amount of gasphase components formed. The suspension was removed from the reactor, weighed and placed in a centrifuge tube (50 mL). After 45 min of centrifugation at 4500 rpm, a clear liquid phase and a solid phase were obtained. The liquid phase was separated and weighed. The solid residue (unreacted humins and catalyst) was dried at 70 °C, 0.03 bar overnight and weighted for mass balance calculations. An overview of the catalytic liquefaction protocol is shown in Figure 3-2.

The conversion of humins was calculated as follows:

$$\text{Humin conversion} = \frac{\text{humin,in} - (\text{solids,out} - \text{intake catalyst})}{\text{humin,in}} \cdot 100\% \quad (3-1)$$

The humin oil yield on humin intake (Equation 3-2), humin oil on total feed intake (Equation 3-3) are mass based and are calculated as follows:

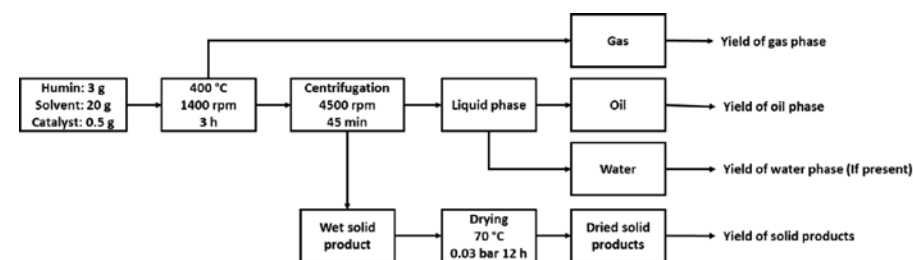


Figure 3-2. Experimental protocol for the catalytic liquefaction of humins in 2-propanol.

$$\text{Humin oil yield on humin intake} = \frac{\text{weight of humin oil}}{\text{humin intake}} \cdot 100\% \quad (3-2)$$

$$\text{Humin oil yield on total feed intake} = \frac{\text{weight of humin oil}}{\text{total feed intake}} \cdot 100\% \quad (3-3)$$

Here the feed intake is the sum of the IPA and humin intake.

PRODUCT ANALYSIS

GC-MS/FID. GC-MS-FID analyses were performed on organic product samples using a Hewlett Packard 5890 series II plus equipped with a Quadrupole Hewlett Packard 5972 MSD and an FID. A Restek RTX-1701 capillary column (60 × 0.25 mm i.d. and 0.25 μm film thickness) was used which was split in a 1:1 ratio to the MSD and FID. The injector temperature was set at 250 °C. The oven temperature was kept at 40 °C for 5 min and then heated up to 250 °C at a rate of 3 °C min⁻¹.

Two-Dimensional Gas Chromatography (GC×GC). GC×GC analyses were performed on a Trace 2D-GC system from Interscience equipped with a cryogenic trap and two columns (30 m × 0.25 mm-i.d. and 0.25 μm-film sol-gel capillary column connected to a 148 cm × 0.1 mm-i.d. and 0.1 μm-film Restek 1701 column). A flame ionisation detector (FID) was used. A dual-jet modulator was applied using carbon dioxide to trap the samples. Helium was used as the carrier gas (flow rate of 0.6 mL/min). The injector

temperature and FID temperature were set at 250 °C. The oven temperature was kept at 40 °C for 5 min and then heated to 250 °C with a rate of 3 °C/min. The pressure was set at 0.7 bar. The modulation time was 6 s.

From the 2D-GC spectra, the yields of aromatics, phenolics, ketones, alkanes, naphthenes and acids were calculated based on the humin intake. The identification of main GC×GC component groups (e.g. alkanes, aromatics, alkylphenolics) in the lignin oils was done by spiking with representative model compounds for the component groups. Quantification was performed by using an average relative response factor (RRF) per component group with di-n-butyl ether (DBE) as the internal standard. Details of the procedure are given in Ref.^[42]

Gas phase analyses. The gas phase samples were collected in a gasbag (SKC Tedlar 3 L sample bag 9.5" × 10" with a polypropylene septum fitting) after the hydrotreatment reaction. The gaseous products were analysed using a GC (Hewlett Packard 5890 Series II equipped with a Poraplot Q Al₂O₃/Na₂SO₄ column and a molecular sieve (5 Å) column) and a thermal conductivity detector (TCD). Both columns were maintained at the same temperature. The injector and detector temperature were set at 150 °C and 90 °C respectively. The oven temperature was kept at 40 °C for 2 min and then heated to 90 °C at a rate of 20 °C min⁻¹ and kept at this temperature for another 2 min. For identification and quantification, a reference gas mixture (55.19% H₂, 19.70% CH₄, 3.00% CO, 18.10% CO₂, 0.51% ethylene, 1.49% ethane, 0.51% propylene and 1.50% propane) was used.

Elemental analysis (C, H, N) of the product oils were carried out using an automated Euro Vector EA3000 CHNS analyser with acetanilide as a calibration reference. The oxygen content was determined by the difference of CHN. All the samples were analysed twice and the average values are reported in this study.

GPC analyses of the product oils were performed on a HP1100 from Hewlett Packard equipped with three MIXED-E columns (300 × 7.5 mm, PLgel 3 μm) in series and a GBC LC 1240 RI detector. The average molecular weights were determined using the PSS WinGPC Unity software from

Polymer Standards Service. The following operating conditions were used: THF as eluent at a flow rate of 1 mL min⁻¹, 140 bar pressure, a column temperature of 42 °C, an injection volume of 20 μL with sample concentrations of 10 mg ml⁻¹. Toluene was used as a flow marker and polystyrene samples with different molecular weights were used as the calibration standards.

CATALYST CHARACTERISATION

Transmission electronic microscopy (TEM) images were obtained using a Philips CM12 operated at an acceleration voltage of 120 kV. Samples for TEM measurements were ultrasonically dispersed in ethanol and subsequently deposited on a mica grid coated with carbon.

ICP analyses were performed on a Perkin Elmer 4300 DV. Solid samples were calcined at 900 °C and subsequently dissolved in a 2 wt% HNO₃ solution. Liquid samples were only dissolved in a 2 wt% HNO₃ solution before analysis.

3.3. RESULTS AND DISCUSSION

3.3.1. CATALYST CHARACTERISATION FOR THE METAL ON CARBON CATALYSTS

All noble metal (Pt, Pd, Rh, Ru) on carbon catalysts were obtained from a commercial supplier and contained 5 wt% of active metal. The average metal nanoparticle size was determined using TEM and two representative images are given in Figure 3-3. The average metal particle size was below 5 nm for all samples (3.4 nm for Pd/C, 2.3 nm for Pt/C, 4.1 nm for Rh/C and 2.2 nm for Ru/C). The Pd and Rh sample showed also some evidence for agglomeration of metal nanoparticles, see Figure 3-3 (right) and Figure S3-1 (Supplementary information).

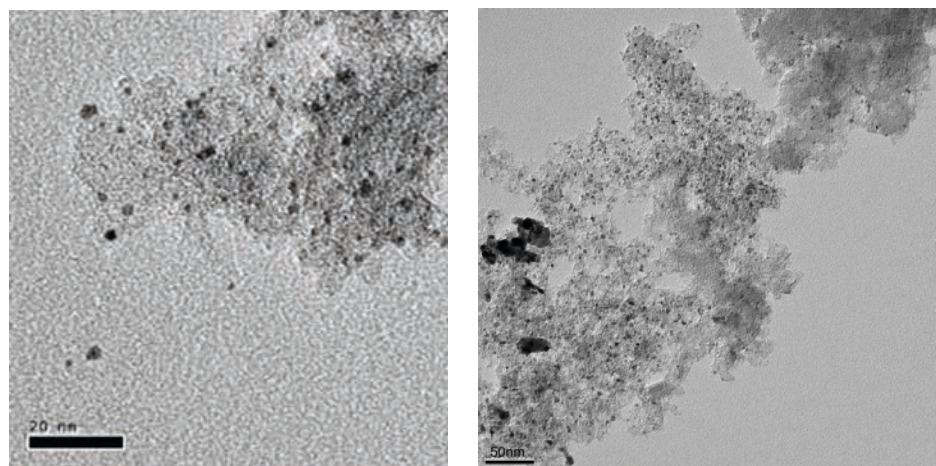


Figure 3-3. Representative TEM images for Pt/C (left) and Pd/C (right)

3.3.2. EXPLORATORY CATALYST SCREENING STUDIES FOR NOBLE METALS ON A CARBON SUPPORT

Exploratory catalyst screening studies on humin liquefaction were carried out in IPA using the carbon supported noble metal catalysts. All reactions were performed at 400 °C for a batch time of 3 h. These conditions were selected on the basis of earlier experiments with Ru/C and formic acid/IPA.^[41] An overview of the experiments is given in Table 3-1.

3.3.2.1. PRODUCT PHASES

The amounts of the various product phases are given in Figure 3-4. After the catalytic liquefaction reaction, a single liquid product was obtained in yields ranging between 54 and 80%. Some remaining solid was isolated, which was a mixture of the catalyst and unconverted humins/humin derived solids (3.1 - 8 wt% on intake). Significant amounts of gasphase products were formed, arising mainly from the solvent (IPA, *vide infra*). This was

Table 3-1. Product yields for the catalytic liquefaction of humins using noble metal catalysts on a carbon support^a.

Experiment	1	2	3	4	5 (blank)
Catalyst	Ru/C	Rh/C	Pd/C	Pt/C	Pt/C
Humin intake (wt% on feed)	13	13	13	13	-
Product oil yield(wt% on total feed)	54	76	73	78	80
Solids (wt% on total feed)	4.9	5.1	8.0	3.1	0
Humin conversion (wt%)	63	61	39	77	-
Gas phase (wt% on total feed) ^b	36 ^b	13	14	15	16
Mass balance closure (%)	95	94	95	96	96

^aall reactions were carried out with 13 wt% humins (from D-glucose) on total intake and 17 wt% catalyst on humin intake at 400 °C for 3 h. ^bcalculated by the difference of weight of the reactor (with liquid and solid material) before and after reaction (after purging the gas phase).

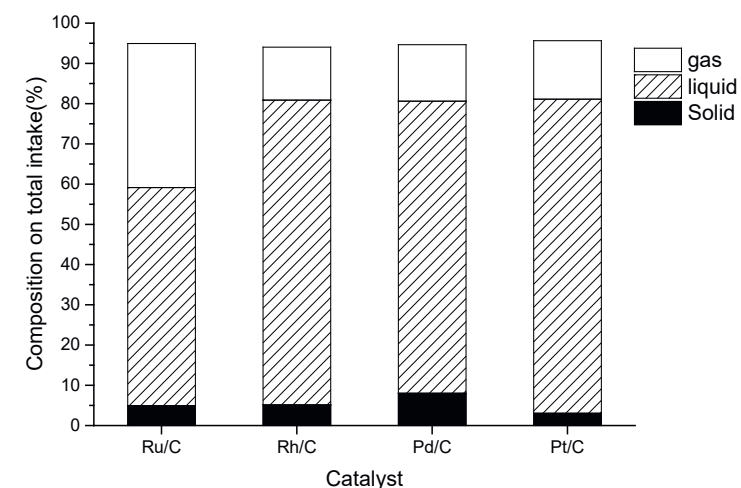
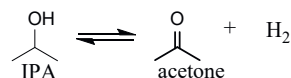


Figure 3-4. Amounts of product phases for the various catalyst on carbon supports (400 °C, 3 h).

particularly evident from the pressure built up during heating the reactor to 400 °C, which could be as high as 180 bar.

The gas to liquid phase ratio is about constant for Pt, Pd, Rh, whereas Ru/C is a clear exception and considerably more gas phase components are formed. The major gasphase component is hydrogen (qualitatively,

not quantified in detail), likely formed by IPA dehydrogenation to acetone and hydrogen (Scheme 3-1), which is a well preceded equilibrium reaction.^[43, 44]



Scheme 3-1. IPA dehydrogenation to acetone and hydrogen

Based on the amount of gasphase components formed during reaction, Ru is more effective for this IPA dehydrogenation reaction than the other metals under the prevailing reaction conditions. These findings are in line with a kinetic study by Ukisu *et al.* on the dehydrogenation of IPA with carbon supported catalysts (Ru, Pd, Pt, Rh) at 82 °C and atmospheric pressure in a batch set-up where the amount of hydrogen formed was measured using a gas-burette.^[45] The order of catalyst activity for IPA dehydrogenation was Ru/C > Rh/C = Pt/C > Pd/C, with the TOF for Ru/C about 5 times higher than for the second best catalysts (Rh/C and Pt/C). Although the reaction temperature in this study is by far lower than in our system (82 versus 400 °C), our observation that Ru is more active for IPA dehydrogenation is in line with this literature data and explains the high amounts of gasphase components formed when using Ru/C.

3.3.2.2. HUMIN CONVERSION

The humin conversion was calculated based on the amounts of solids remaining after reaction (Equation 3-3) and varied between 39 and 77%. The conversion is clearly dependent on the type of catalyst and best results were obtained with Pt/C, whereas Pd/C showed the lowest performance (Figure 3-5).

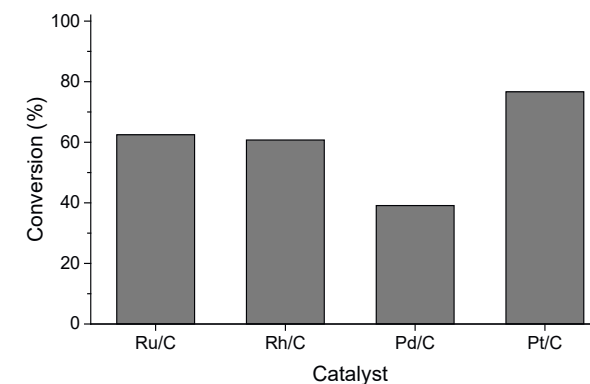


Figure 3-5. Humin conversion for the carbon supported metal catalysts (400 °C, 3 h).

Thus, we can conclude that humins can indeed be depolymerised in yields of up to 80% when using Pt/C in combination with IPA as the hydrogen donor.

3.3.2.3. BLANK REACTIONS

To study the involvement of IPA in the reaction, a blank reaction was performed with IPA only in the absence of humins using the best catalyst in the series (Pt/C) and the results are given in the Table 3-1. After reaction, a single liquid phase and considerable amounts of gas phase components were formed. Clearly, IPA is not inert under the given conditions and is partly converted to gasphase components (16 wt% on feed). Gas phase analysis shows the presence of significant amounts of hydrogen (up to 90 °C mol%), indicative for the occurrence of IPA dehydrogenation to acetone and hydrogen (Scheme 3-1). This reaction is well known to be catalysed by Pt/C and is actually desired to provide *in situ* hydrogen for the depolymerisation/hydrodeoxygenation reactions of the humins.

3.3.2.4. LIQUID PHASE COMPOSITION.

The liquid phase after reaction was analyzed by GC-MS/FID, GCxCD-FID and GPC to i) determine the chemical composition, ii) gain insights in the molecular transformation during reaction of both the humins and IPA and iii) probe possible catalyst effects on the product composition.

3.3.2.5. GC-MS-FID

GC-MS-FID analyses for the samples showed, particularly for Pt/C and Ru/C, the presence of a wide range of individual products (Figures 3-6 and 3-7).

For Rh and Pd, the amounts of GC detectable species at the same GC magnification level was by far lower (Figure S3-2 and S3-3 in the Supplementary information). This was also confirmed by GC×GC measurements (*vide infra*). As such, significant amounts of higher molecular weight, non GC-detectable species were expected to be present in the liquid product phase, particularly for Rh and Pd. This was indeed shown by GPC measurements of the product oils. A representative example for Pd/C is shown in Figure 3-8 and shows the presence of a higher molecular weight tail, along with peaks corresponding to low molecular weight products (humin derived monomers and IPA with its derivatives).

IPA was still present in all samples (not shown in Figure 3-6 and 3-7, retention time is below 8 min), indicative that IPA conversion by dehydrogenation reactions is far from quantitative at the prevailing reaction conditions. For Pt/C major components are methyl-isobutylketone (MIBK, 3), its hydrogenated product (4), and two other 9 carbon aliphatic ketones (6, 7). All are likely formed from subsequent aldol condensation reactions of acetone (formed by IPA dehydrogenation to acetone, which was also detected at low retention times), see Scheme 3-2 for details.^[46] Compound 7 is a known reaction product of MIBK and ethanol using Pd/C as the catalyst.^[47]

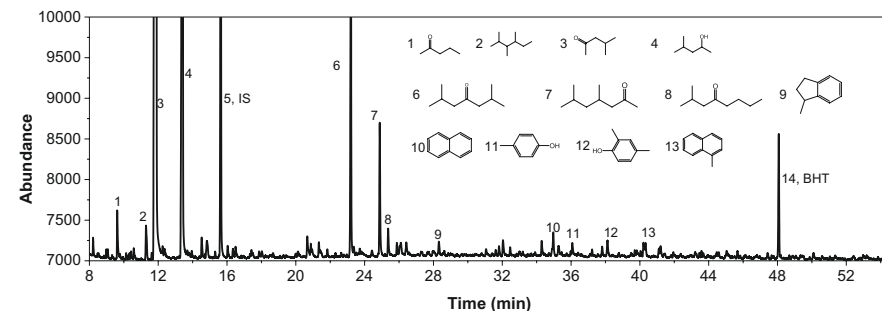


Figure 3-6. GC-MS/FID spectrum of the product phase of an experiment with Pt/C (400 °C, 3 h). The exact position of substituents on the aromatic ring for 9, 11, 12 and 13 could not be established unequivocally by the GC-MS database.

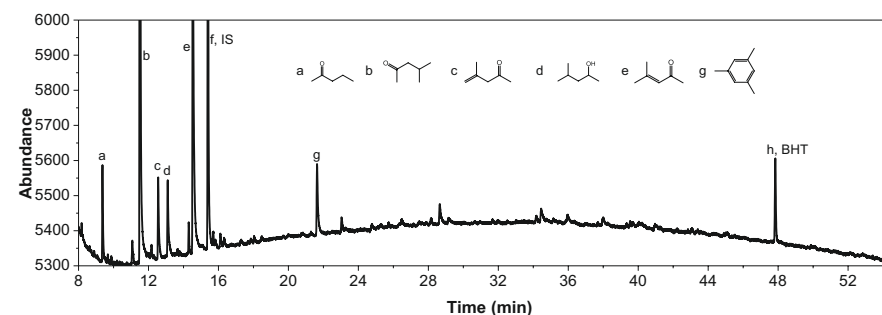


Figure 3-7. GC-MS/FID spectrum of the product phase of an experiment with Ru/C (400 °C, 3 h).

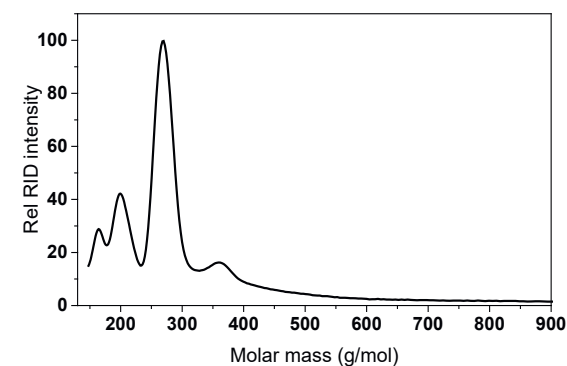
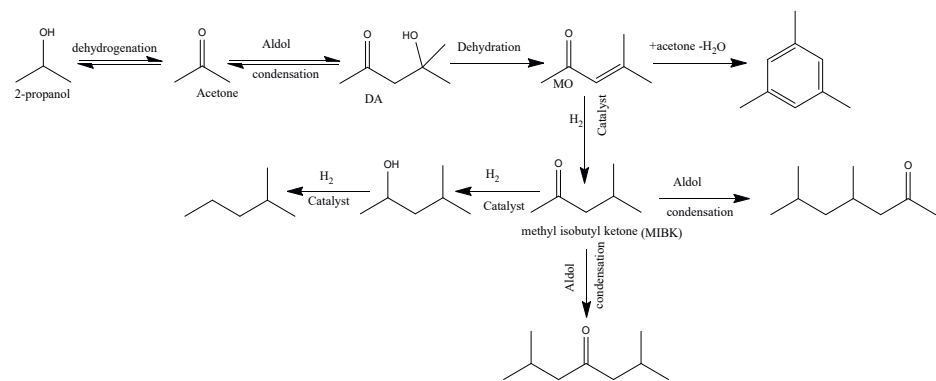


Figure 3-8. GPC chromatogram of the product oil for a catalytic hydrotreatment experiment with Pd/C.



Scheme 3-2. Possible reaction pathway for IPA.

In addition, some phenolics and aromatics/naphthalenes were present, which most likely arise from the depolymerisation/hydrodeoxygenation of humins. However, in the case of Ru/C, a clear peak was present at about 22 min (compound g, Figure 3-7). This peak was assigned to as either 1-methyl-4-ethylbenzene or 1,3,5-trimethylbenzene by the MS library. Injection of both pure components show that component g is actually 1,3,5-trimethylbenzene based on retention times. As such, it is likely not derived from humins but formed by the cyclisation reaction of the aldol-condensation product mesityloxide with acetone.^[48] Thus, not all aromatics present in the reaction mixture are by definition derived from the humins. Further quantification of component classes was done by GC×GC and will be discussed in the following paragraph.

3.3.2.6. GC×GC ANALYSIS OF THE PRODUCTS

The composition of liquid phase was quantified by using GC×GC-FID. A representative example of a chromatogram for Ru/C is given in Figure 3-7. The left part of the spectrum shows some high intensity peaks arising from low molecular weight components like IPA (solvent), THF (diluent for GC

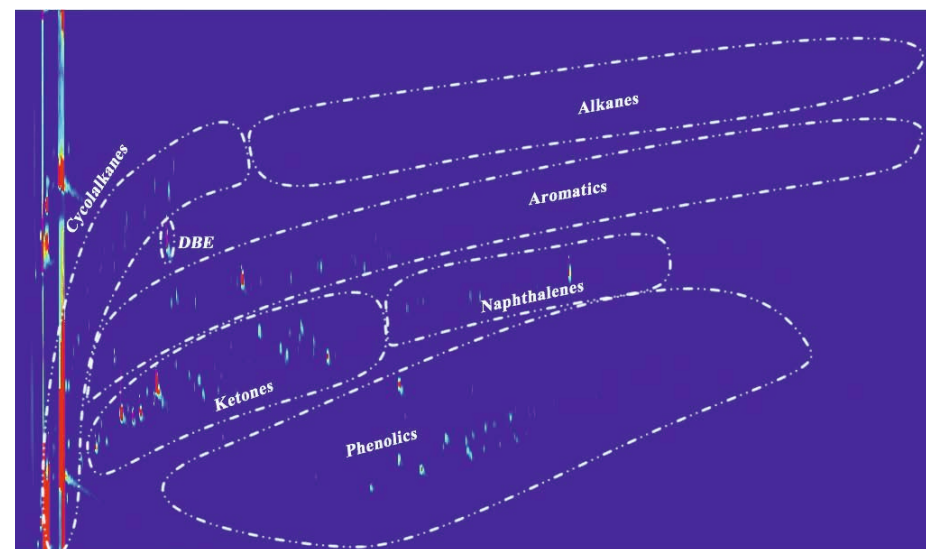


Figure 3-9. GC×GC-FID chromatogram for product oil from an experiment with Ru/C (400 °C, 3 h). The large peak in the naphthalenes area is BHT, the antioxidant in THF. DBE is the internal standard.

Table 3-2. Overview of the composition of the liquid phase by GC×GC^a

Catalyst	Pt/C	Pd/C	Rh/C	Ru/C
Alkylphenolics	6	15	31	12
Aromatics	9	9	8	6
Linear/branched alkanes	< 1	< 1	< 1	< 1
Cyclic alkanes	3	26	14	4
Ketones/alcohols ^b	80	49	44	76

^ain wt% based on GC detectable in the liquid phase; Product classes containing only minor amounts of products are not shown (e.g. organic acids). ^bexcluding acetone and IPA

sample preparation) and acetone (reaction product from IPA). Furthermore, significant amounts of products belonging to the ketone/alcohol, aromatic, (cyclic) alkanes and alkylphenolic component classes were also observed. The total amounts of the peaks in the product groups were quantified and the results are given in Table 3-2. Here, the amount of a certain product group is given as the percentage based on total GC detectables by GC×GC.

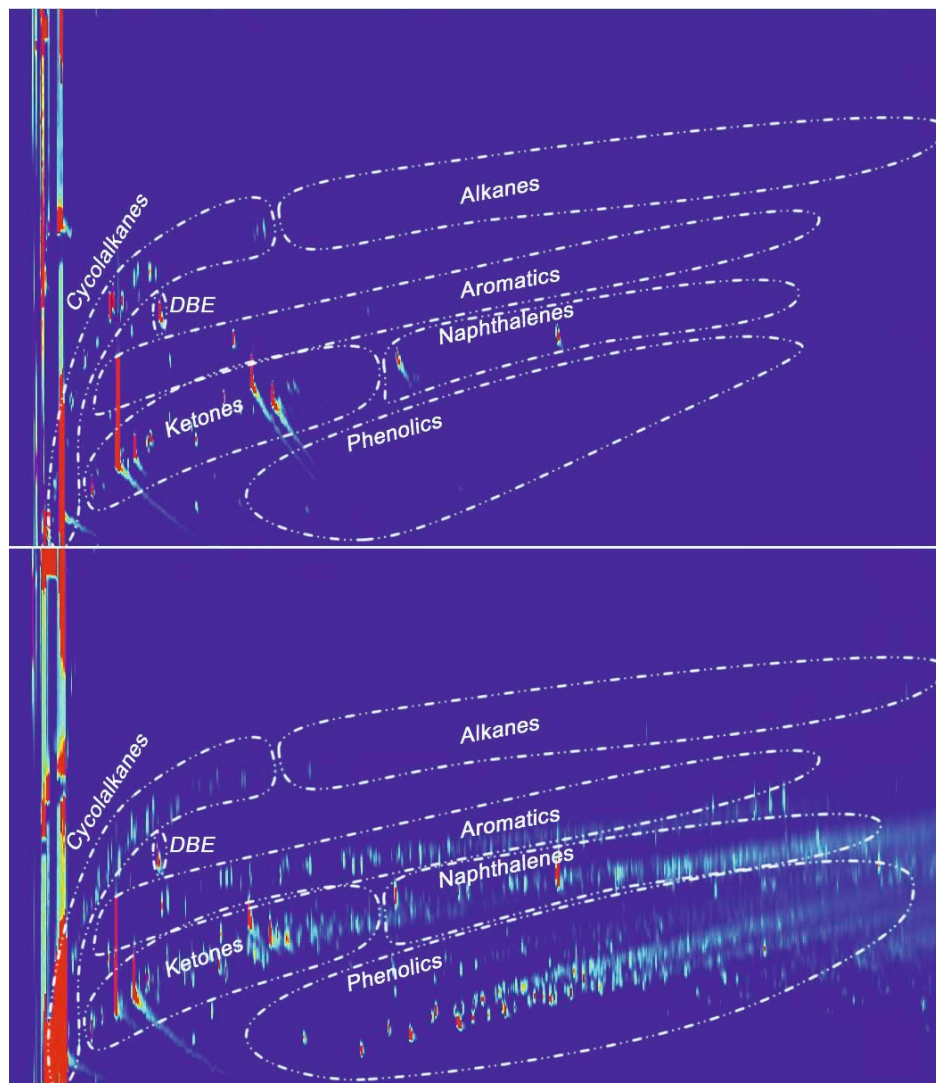


Figure 3-10. GCxGC chromatograms for the blank (top) and regular reaction (bottom) with Pt/C. The larger peak in the naphthalene area is BHT, the stabiliser in THF (400°C, 3 h).

It is evident that by far the most abundant compounds are ketones and alcohols, which is in line with the GC/MS-FID results. These are formed by subsequent reactions of acetone (Scheme 3-2). In addition, aromatics and alkylphenolics are also observed. The latter component class is not formed

by acetone condensation reactions and as such, the individual components are most likely derived from the humins by depolymerisation reactions (*vide infra*).

Further confirmation was obtained by comparing the GCxGC chromatograms (Figure 3-10) for the reactions with Pt/C in the presence and absence of humins (blank reaction). It is clearly evident that the blank reaction gives considerable amounts of ketones and alcohols from IPA, whereas the alkylphenolics are absent. The latter component group is clearly visible when using humins, which is a strong indication that the alkylphenolics are derived from the humins. The blank reactions show only one clear peak in the aromatics region, which was identified as 1,3,5-trimethyl-benzene, a known product from condensation reactions between mesityloxide and acetone (Scheme 3-2).^[49-52] As such, at least part of the aromatic components in the product mixture is derived from IPA/acetone and not solely from the humins.

The relative amounts of alkylphenolics in the GC detectables is the highest for Rh/C, whereas about similar amounts of aromatics were found for all catalysts (Table 3-2). The amount of ketones/alcohols was highest for Pt/C and Ru/C, and by far lower for Pd/C, in line with a lower acetone dehydrogenation activity of the latter. However, of interest is also the amounts of GC detectables on humin intake. Though difficult to determine accurately by GCxGC due to the presence of the large amounts of individual components, a semi-quantitative assessment is possible when using average relative response factors for the various component classes.^[42] The results are given in Table 3-3.

The amounts of GC detectables on humin intake is by far highest for Pt/C (about 53%), followed by Ru/C (25%), whereas the amounts are very low (<2 %) for both Pd and Rh. These findings are in line with the peak intensities in the GC-MS chromatograms (Figures 3-6, 3-7 and S3-2, S3-3 in the supplementary information). It is also evident that the main GC detectables are derived from IPA, in amounts varying from 1-43 wt% on humin intake. The highest amounts were obtained for Pt/C, followed by Ru/C. When considering the dehydrogenation activity of IPA for the various catalysts,

Table 3-3. Overview of the composition of the liquid phase based on humin intake^a

Catalyst	Pt/C	Pd/C	Rh/C	Ru/C
Humin derived products (wt% on humin intake)				
Alkylphenolics	3.1	0.2	1.4	3.2
Aromatics ^b	5.0	0.2	0.4	1.4
(Cyclic) alkanes	1.5	0.5	0.6	1.1
Total humin derived (wt%)	9.6	0.9	2.4	5.7
IPA derived products (wt% on humin intake)				
Ketones/alcohols ^c	43	0.9	2	19

^ain wt% based on humin intake in the liquid phase; experimental conditions: 400 °C, 3 h. ^bincludes also 1,3,5-trimethylbenzene, the product from the aldol condensation/cyclisation reactions involving acetone. ^cexcluding acetone and IPA.

highest amounts of IPA/acetone derived products are expected for Ru/C, which shows higher dehydrogenation activity than Pt/C. However, this is not the case, implying that the subsequent aldolcondensation reactions of acetone are more promoted by Pt/C than by Ru/C.

Of interest is the amount of low volatile, GC detectable humin derived products (alkylphenolics and part of the aromatics). These are highest for Pt/C (9.6 wt% on humin intake), followed by Ru/C (5.6 wt% on humin intake). As such, when aiming for alkylphenolics, humin liquefaction is best performed using Pt/C. The presence of relatively low amounts of GC detectable compounds derived from the humins implies that product oils contain higher molecular weight, non-GC detectable compounds, in line with the higher molecular weight tail as observed by GPC (Figure 3-8).

3.3.3. REACTION PATHWAYS

We have recently proposed a reaction network for the catalytic depolymerisation of humins using Ru/C in combination with formic acid and IPA.^[41] This network, excluding chemistry from formic acid, appears to be also valid for the metal catalysed reactions in IPA only (Figure 3-11).

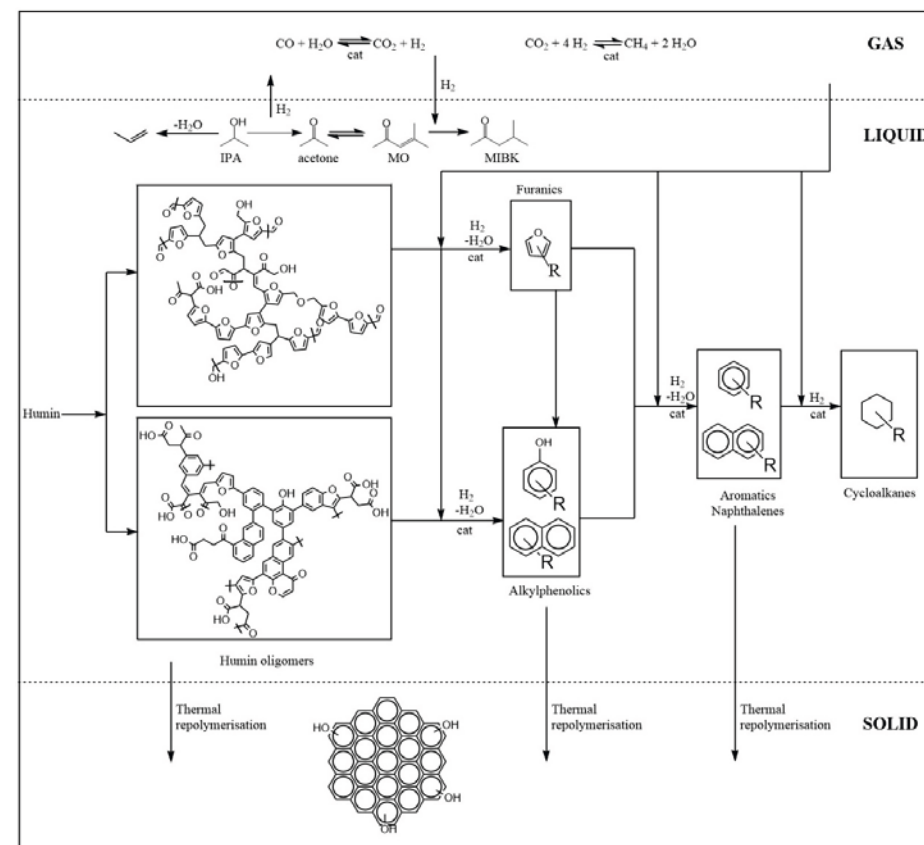


Figure 3-11. Possible pathway of low molecular weight chemicals formation from humin.

It consists of a number of parallel/consecutive reactions. The first step in the sequence is the depolymerisation of the humin structure to lower molecular weight fragments. This reaction may be thermally induced, as was shown recently by pyrolysis experiments with various humin sources.^[52] However, it is also likely that the metal catalysts play a role here, e.g. by hydrogenolysis reactions of linkages in the humin structure with molecular hydrogen produced from IPA dehydrogenation. At this stage, it is not clear whether the actual furanic structure of the humins is cleaved to lower molecular weight fragments or that the furanic humin structure is (partly) converted to a more aromatic structure and then depolymerised.

This aromatisation of the humin structure has been observed both for gasification experiments with humins^[36, 37, 53] as well as for a base catalysed treatment of the humins at about 200-250 °C.^[54] Further catalytic breakdown of the structures will lead to the formation of alkylphenolics and/or furanics. Furanics were not observed in the reaction mixture and a possible reaction pathway involves aromatisation of furanics to aromatics, which is a well precedented reaction.^[55] These alkylphenolics may be hydrodeoxygenated to aromatics using supported metal catalysts. In addition, overhydrogenation may occur to give (cyclic) alkanes.

It is of interest to compare the performance of the various catalysts. Evidently, Pd/C is by far the worst catalyst, showing the lowest humin conversion and the lowest amounts of GC-detectable components (Table 3-3). A likely explanation is the low IPA dehydrogenation activity of the Pd/C catalyst, in line with literature data,^[45] leading to low amounts of hydrogen in the gasphase. As such, the rate of hydrogenolysis and hydrodeoxygenation reactions is likely very low and humin liquefaction could be mainly due to thermal depolymerisation with the formation of relatively high molecular weight compounds that are not GC detectable. The most active catalyst is Pt/C, which gives the highest humin conversion and the highest amounts of GC detectable compounds. As such, both the dehydrogenation reaction and the subsequent hydrogenolysis/hydrodeoxygenation reactions are catalysed by Pt/C. On the basis of the known excellent IPA dehydrogenation activity of Ru/C, leading to considerable amounts of hydrogen which are necessary for the follow up reactions, best performance for this catalyst was anticipated. However, this is not the case and apparently the rate of the subsequent hydrogenolysis and hydrodeoxygenation reactions is slower in the case of Ru/C as compared to Pt/C, leading to lower amounts of GC detectable species.

3.3.4. SYSTEMATIC STUDIES FOR PT CATALYSTS ON VARIOUS SUPPORTS

Best performance regarding humin conversion and the amounts of humin derived low molecular weight alkylphenolics and aromatics was obtained using Pt/C. As such, a subsequent study was performed on support effects for Pt based catalyst. For this purpose, three Pt catalysts on ZrO₂, TiO₂ and CeO₂ were prepared using a wet impregnation procedure with platinum acetylacetonate as the precursor. After synthesis, the catalysts were calcined (300-600 °C) and reduced (600 °C, 10% hydrogen in nitrogen). For a proper comparison with the carbon based catalyst, the Pt loading on the catalysts was set at 5 wt%.

3.3.4.1. CATALYST CHARACTERISATION

The catalysts were analysed by ICP to determine the actual Pt content and by TEM to get insights in the average metal nanoparticle size. The Pt content was between 5.1 wt% for Pt/ZrO₂ and 4.3 wt% for Pt/CeO₂, with an intermediate value for Pt/TiO₂ (4.5 wt%) (Table 3-4). As such, differences in activity may, amongst others, also be related to small variations in Pt metal loading.

TEM was used to determine the average Pt nanoparticle sizes and the results are given in Table 3-4. The average Pt nanoparticle size for the zirconia and titania supported catalysts are similar and between 8.1-8.5 nm.

Table 3-4. Overview of relevant catalyst properties

Catalyst	Pt content ^a (wt%)	Average Pt diameter (nm)
Pt/ZrO ₂	5.1	8.1
Pt/CeO ₂	4.3	Bimodal distribution ^b about 9 nm for the larger ones
Pt/TiO ₂	4.5	8.5

^aActual loading as determined by ICP ^bsee Figure 3-12

For the ceria based catalyst, both small and large Pt nanoparticles were observed (Figure 3-12). The average size of the larger Pt particles is about 9 nm, which is close to those in Pt/ZrO₂ and Pt/TiO₂ (Figure S3-4 in the Supplementary information). The average size of the Pt nanoparticles is relatively large when comparing literature data for supported Pt catalysts on inorganic supports.^[56-63] Possible explanations are the relatively high metal loading and the use of a rather high reduction temperature (up to 600 °C, see experimental section), which may lead to sintering/agglomeration during reduction.

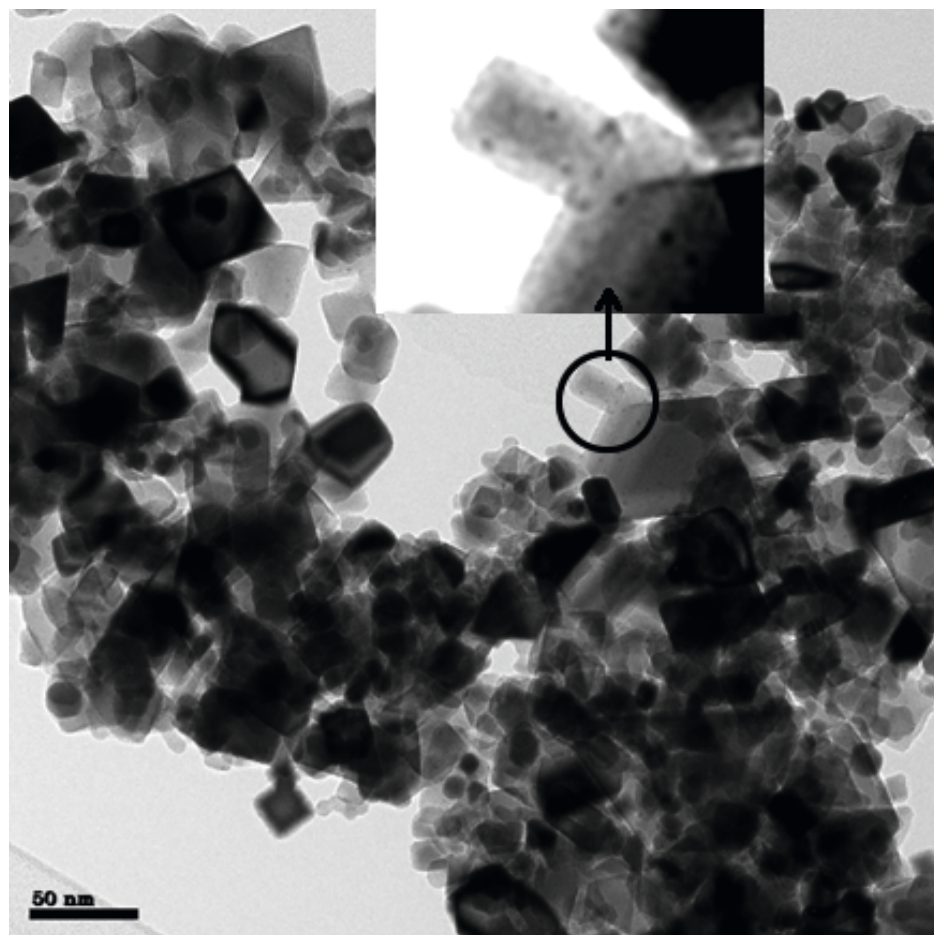


Figure 3-12. TEM image of Pt/ceria showing larger and small Pt particles (inset).

3.3.4.2. CATALYST SCREENING STUDIES FOR PT ON VARIOUS INORGANIC SUPPORTS

Catalyst screening studies on humin liquefaction were carried out in IPA using the Pt catalysts on various inorganic supports with Pt/C as the benchmark. An overview of the experiments is given in Table 3-5.

Table 3-5. Product yields for the catalytic liquefaction of humins with Pt catalysts on various inorganic supports^a

Experiment	4	6	7	8
Catalyst	Pt/C	Pt/TiO ₂	Pt/CeO ₂	Pt/ZrO ₂
Oil yield, (wt% on total feed)	78	62	76	68
Solids, (wt% on total feed)	3.1	6.5	4.2	5.1
Humin conversion (wt%)	77	51	68	62
Gas phase (wt% on total feed)	16	28	15	19
Mass balance closure (wt% on total feed)	96	96	95	93

a) all reactions were carried out with 13 wt% humins (from D-glucose) on total intake, 17 wt% catalyst on humin intake and 400 °C for 3 h.

Mass balance closure for all experiments was good and above 93%. The main product was again a single liquid phase, in yields between 62 and 78 wt% yield. Humin conversion was between 51 and 77%. Highest humin conversion was obtained with Pt/C, whereas Pt/TiO₂ gave the worst result (51 wt%). For the latter catalyst, the amount of gas phase components formed is by far the highest and actually about twice as high as for the other catalysts.

3.3.4.3. ANALYSIS OF THE LIQUID PRODUCT PHASE

A representative example of a GC-MS chromatogram of the liquid product phase is given in Figure 3-13 for Pt/TiO₂, the others are provided in the supplementary information (Figure S3-5 and S3-6). As for Pt/C, the main

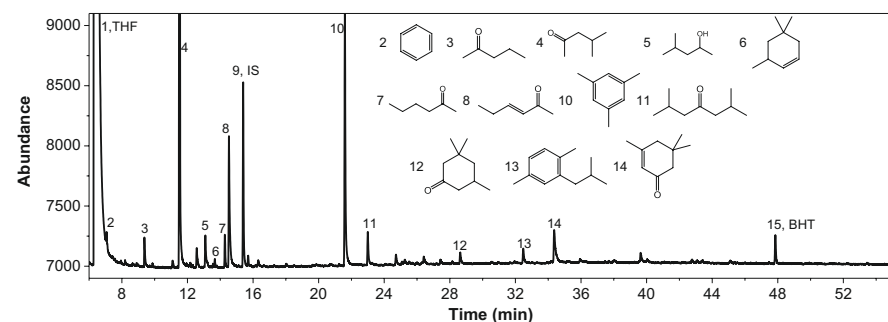


Figure 3-13. GC-MS/FID chromatogram of a reaction mixture using Pt/TiO₂ (400 °C, 3 h).

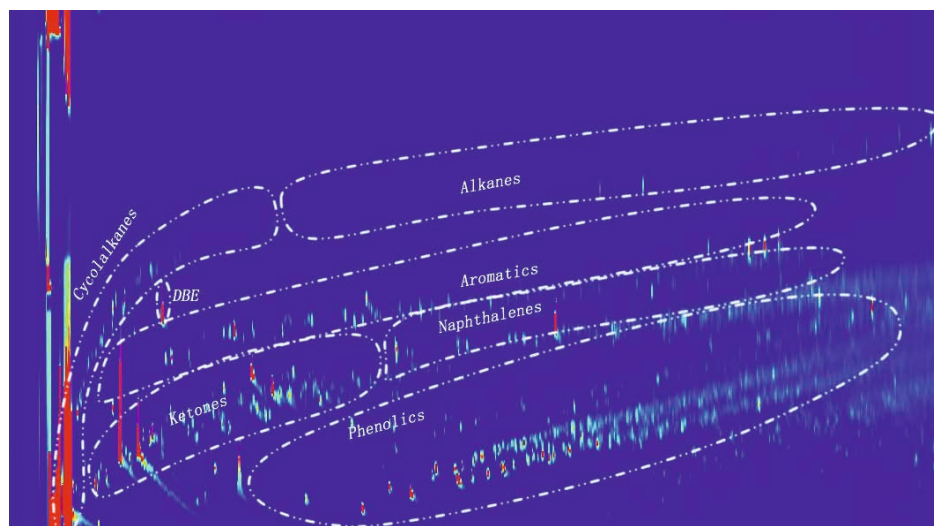


Figure 3-14. GCxGC chromatogram for the reaction product using Pt/CeO₂ (400 °C, 3 h).

reaction products for Pt/TiO₂ are derived from IPA/acetone and include MIBK (4), aldol products with 9 carbon atoms and isophoron (14), hydrogenation products derived thereof (6, 13) and 1,3,5-trimethylbenzene (10). Isophoron is only observed in reaction mixtures from Pt/TiO₂, and actually not detected for Pt/C (Figure 3-6). It is a known condensation product of acetone, which may be formed in the presence of inorganic bases.^[64-66]

The observation that isophoron is solely detected when using titania as the support, implies that the support, and likely the acidic/basic properties, play a role in the formation pathway to isophoron. In addition, peaks particularly from alkylphenolics and aromatics were observed, of which the former certainly arise from the depolymerisation/hydrodeoxygenation of humins. These were further quantified using GCxGC-FID.

The GCxGC-FID chromatogram for the reaction products for Pt/CeO₂ is given in Figure 3-14, those of the other catalysts in the supplementary information (Figure S3-7, S3-8). Of interest is the relatively high intensity of the peaks in the alkylphenolics region when using this particular catalyst.

The distribution of the various component classes based on GC detectables is given in Table 3-6 (including Pt/C as the benchmark).

Table 3-6. Overview of the composition of the liquid phase on total GC detectables^a

Catalyst	Pt/C	Pt/TiO ₂	Pt/CeO ₂	Pt/ZrO ₂
Alkylphenolics	6	16	30	6
Aromatics	9	11	1	1
Linear/branched alkanes	< 0.1	< 0.1	< 0.1	< 0.1
Cyclic alkanes	3	4	7	35
Ketones/alcohols ^b	80	66	55	58

^abased on GC detectables in the liquid phase by GCxGC-FID; experimental conditions: 400 °C, 3 h. Minor component belonging to other product classes are not provided (e.g. organic acids). ^bexcluding acetone and IPA.

The product distribution for the 4 catalysts differs considerably. Highest amounts of alkylphenolics, products definitely derived from humins and not from IPA/acetone (as proven by blank reactions, *vide supra*), were found for Pt/CeO₂ (see also Figure 3-14). This either suggest that Pt/CeO₂ is a good catalyst for humin depolymerisation/hydrodeoxygenation reactions to lower molecular weight components or a poor catalyst for the aldolcondensation reactions involving acetone. As such, it is better to compare the amounts of component classes based on humin intake (Table 3-7).

Table 3-7. Overview of the composition of the liquid phase on humin intake (GC×GC-FID).^a

Catalyst	Pt/C	Pt/TiO ₂	Pt/CeO ₂	Pt/ZrO ₂
Humin derived products (wt% on humin intake)				
Alkylphenolics	3.1	10.8	20.4	1.5
Aromatics	5.0	7.5	0.9	0.2
(Cyclic) alkanes	1.5	3.0	4.6	8.9
Total	9.6	21.3	25.9	10.6
IPA derived products (wt% on humin intake)				
Ketones/alcohols ^b	43	43	37	15

^ain wt% based on humin intake. Conditions: 400 °C, 3 h. ^bExcluding acetone and IPA

The highest amounts of GC detectables from humins was indeed obtained when using Pt/CeO₂, with the majority of the compounds being alkylphenolics. These findings are also in line with the high humin conversion level (68 wt%, Table 3-5). Thus we can conclude that particularly Pt/CeO₂ is a good catalyst for the conversion of humins to low molecular weight components and particularly alkylphenolics. When compared to Pt/C, the humin conversion is slightly lower (68 wt% versus 77 for Pt/C), although the depolymerisation activity is higher for Pt/CeO₂, as is evident from the about 3 times higher amount of low molecular weight products derived from humins (Table 3-7). Whether this good performance is related to the average Pt nanoparticle size (very small particles observed for Pt/CeO₂ besides larger particles, see Figure 3-12) or specifically related to the support structure (amongst others redox activity) needs to be investigated, e.g. by using humin model compounds. These studies are in progress and will be reported in due course.

3.4. CONCLUSIONS

In this paper, we have shown that it is possible to catalytically liquefy humins by a treatment in IPA at elevated temperatures using noble metal catalysts (Pt, Rh, Pd, Ru) on various supports. An initial screening study

using carbon supports showed that Pt is the most promising catalyst when considering the humin conversion and composition of the liquid phase. Hydrogen, formed *in situ* by IPA dehydrogenation to acetone, is expected to play a key role and is an important reactant for subsequent hydrogenolysis and hydrodeoxygenation reactions of humins to low molecular weight compounds. The Pt/C based catalyst performs best and shows a good balance between dehydrogenation activity (to form hydrogen) combined with hydrogenolysis/hydrodeoxygenation activity required to depolymerise the humin structure.

Analysis of the product mixture is hampered by the formation of significant amounts of aldol condensation products from acetone. However, using GC×GC and in combination with blank reactions in the absence of humins, it proved possible to identify that particularly low molecular weight alkylphenolics are formed from the humins, besides some aromatics. In addition, higher molecular weight species are present in the liquid product phase (GPC), presumably oligomers.

Subsequent screening studies with Pt based catalyst on various inorganic supports show a clear support effect on humin conversion and the composition of the liquid phase. Particularly the ceria support shows promising results and good humin conversion is coupled with the formation of considerable amounts of alkylphenolics (up to 20% on humin intake).

Though a complex reaction mixture is obtained with product both from humins and the solvent, the development of efficient separation technology may allow the separation of the aldolcondensation products (like MIBK) and alkylphenolics from the mixture. Both components are commercially available bulk chemicals with a high application range. For instance MIBK is used as a solvent whereas mixtures of alkylphenolics may be used as a replacement of phenol in phenol based adhesive formulations.^[67] In addition, mixtures of alkylphenolics may also be used as biofuel blending agents to improve diesel engine performance.^[68-69]

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3.6. SUPPORTING INFORMATION

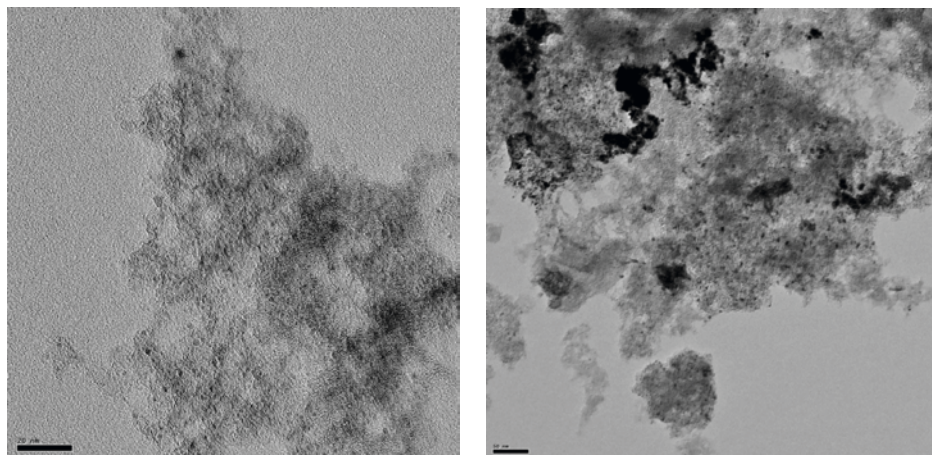


Figure S3-1. TEM pictures of Ru/C (left) and Rh/C (right)

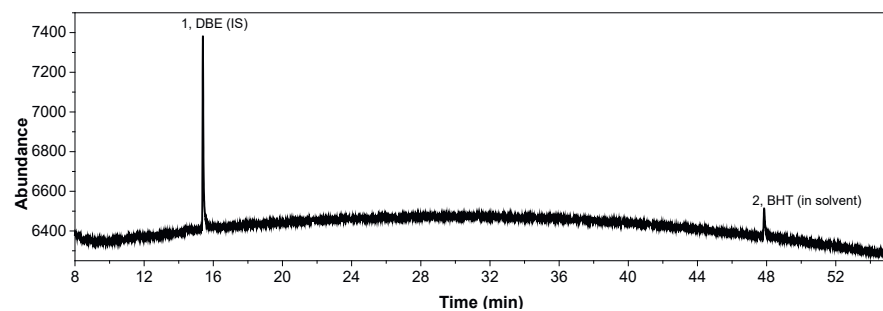


Figure S3-2. GC-MS/FID spectrum of a liquid product from an experiment with Pd/C (400 °C, 3 h).

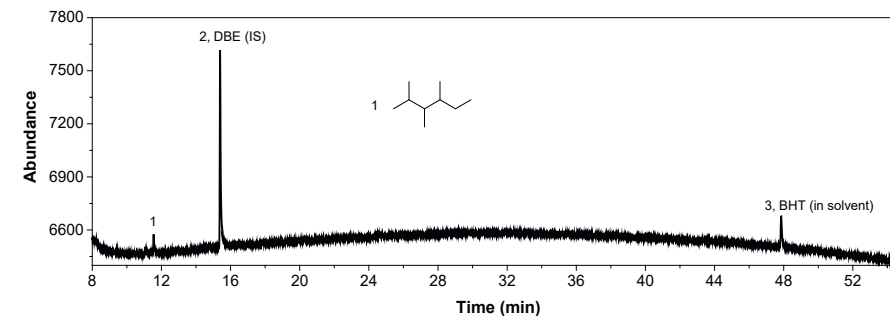


Figure S3-3. GC-MS/FID spectrum of a liquid product from an experiment with Rh/C (400 °C, 3 h).

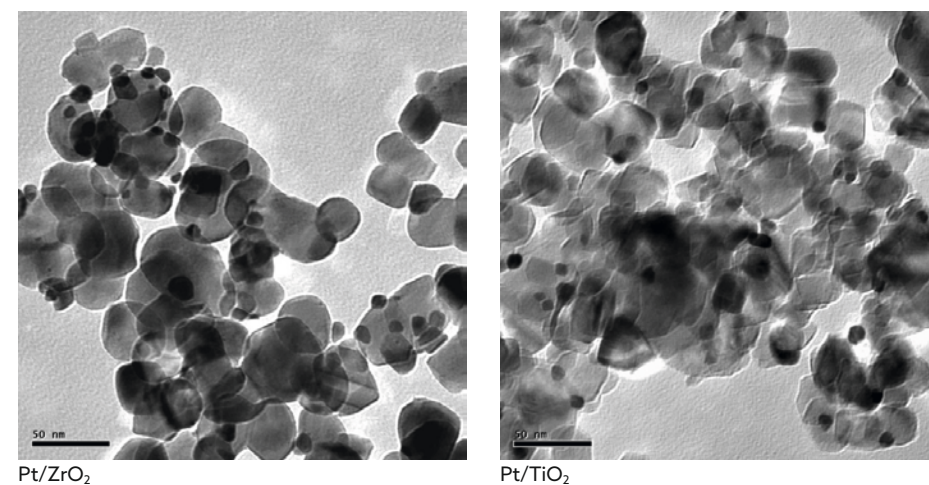


Figure S3-4. TEM images of Pt/ZrO₂ (left) and Pt/TiO₂ (right)

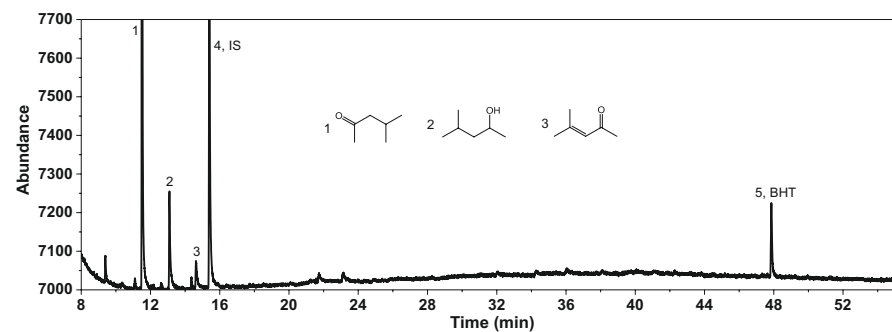


Figure S3-5. GC-MS/FID spectrum of a liquid product from an experiment with Pt/CeO₂ (400 °C, 3 h). The exact position of substituents on the aromatic ring for 13, 14, 15, 16, 17, could not be established unequivocally by the GC-MS database.

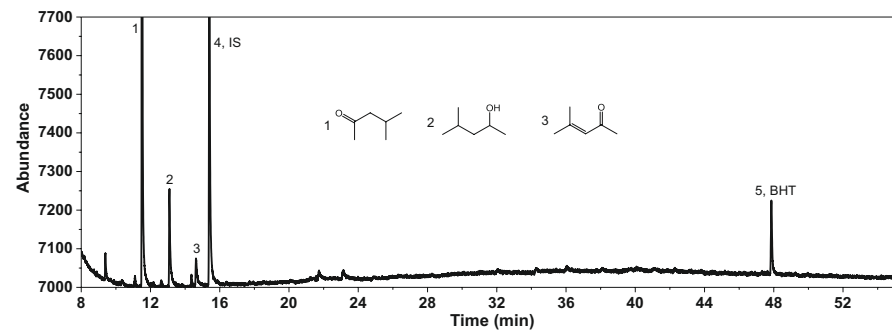


Figure S3-6. GC-MS/FID spectrum of a liquid product from an experiment with Pt/ ZrO₂ (400 °C, 3 h).

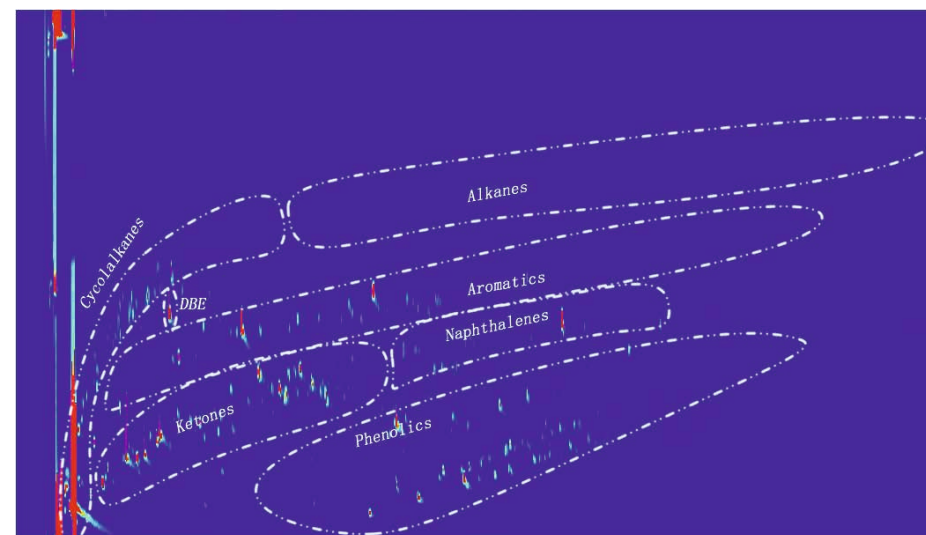


Figure S3-7. GCxGC chromatogram for a liquid product from an experiment with Pt/TiO₂ (400 °C, 3 h).



Figure S3-8. GCxGC chromatogram for a liquid product from an experiment with Pt/ZrO₂ (400 °C, 3 h).