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## Expressivity of Logics of Knowledge and Action

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# Chapter 2

## The Expressivity of Factual Change

**Chapter Summary.** In this chapter we compare the expressivity of two dynamic epistemic logics. The first of these logics is the logic  $\mathcal{L}_{CP}$ , which is an epistemic logic with *common knowledge* and *public announcements*. The second logic is  $\mathcal{L}_{CPS}$ , which is obtained by adding *public substitutions* to  $\mathcal{L}_{CP}$ .

Of these two logics it was already known from [Kooi, 2007] that  $\mathcal{L}_{CPS}$  is strictly more expressive than  $\mathcal{L}_{CP}$  if the logics are considered over the class  $\mathbf{K}$  of models. In this chapter I show that  $\mathcal{L}_{CPS}$  is also strictly more expressive than  $\mathcal{L}_{CP}$  over the classes **KD45**, **S4** and **S5** of models, if the set of agents is large enough—two or more agents for **KD45** and **S4**, three or more for **S5**.

### 2.1 Introduction

Two operators often added to a basic epistemic logic are *common knowledge* and *public announcements*, as in [Plaza, 1989, Baltag et al., 1998] and many subsequent publications such as [Gerbrandy, 1999, van Ditmarsch et al., 2007, van Benthem et al., 2006, Lutz, 2006, van Benthem, 2007]. Public announcements can be used to model information change. For example, in a card game an agent  $a$  can truthfully announce to the other agents what her card is. After this announcement the other agents will know what card  $a$  holds. Public announcements only model information change, however, not factual change. So public announcements cannot be used to model, for example, the event where an agent gives a card to another agent.

One way to incorporate factual change in a logic is to add an operator for public *substitutions*, see for example [van Eijck, 2004a, van Ditmarsch et al., 2005, van Benthem et al., 2006, Kooi, 2007]. A public substitution  $[p := \varphi]$  changes the extension of a propositional variable  $p$  to the extension of a formula  $\varphi$ . This allows us to model changes of basic facts. Suppose we use the propositional variables  $p$  to indicate whether  $a$  holds a certain card and  $q$  to indicate whether  $b$  holds that card. Then the event where  $a$  gives the card to  $b$  can be modeled by first changing the extension of  $q$  to that

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of  $p \vee q$  and then changing the extension of  $p$  to that of  $\perp$ , so by the substitutions  $[q := p \vee q][p := \perp]$ .<sup>1</sup>

One important question when considering related logics—such as the ones that differ only in whether they allow substitutions—is what their relative expressivity is. That is, is it possible to translate (possibly in a non-computable way) formulas from one logic to the other in such a way that truth is preserved? If one logic is more expressive than another, then the less expressive logic can be simulated in the more expressive one. When comparing logics that differ only in whether or not they allow one operator this means that the operator adds something fundamentally new to the logic if and only if the logic with the extra operator is more expressive than the one without it.<sup>2</sup>

It has been shown in [Kooi, 2007] that if a logic  $\mathcal{L}$  is one of basic epistemic logic, basic epistemic logic with common knowledge or basic epistemic logic with public announcements, then the logic  $\mathcal{L}_S$  obtained by adding substitutions is equally expressive as  $\mathcal{L}$ . So for any  $\mathcal{L}_S$  formula  $\varphi$  there is an  $\mathcal{L}$  formula  $\varphi'$  such that  $\models \varphi \leftrightarrow \varphi'$ . We can therefore simulate substitutions using only  $\mathcal{L}$ .

This changes if we start with a logic  $\mathcal{L}_{CP}$  that uses both common knowledge and public announcements. In [Kooi, 2007] it was shown that the logic  $\mathcal{L}_{CPS}$  that additionally uses substitutions is strictly more expressive than  $\mathcal{L}_{CP}$  over the class  $\mathbf{K}$  of models. However, considering that we are working in an *epistemic* logic it is a salient question whether the same holds if the class of models is taken to be one of **KD45**, **S4** or **S5**, as these classes are often used when modeling knowledge or belief.

The proof in [Kooi, 2007] is by showing that  $\mathcal{L}_{CPS}$  is equally expressive to a logic  $\mathcal{L}_R$  that is obtained by adding a different operator representing *relativized common knowledge*<sup>3</sup> to a basic epistemic logic. Specifically, in [Kooi, 2007] it was shown that  $\mathcal{L}_{CPS}$  and  $\mathcal{L}_R$  are equally expressive over  $\mathbf{K}$ . However, if a logic is equally expressive as (or at least as expressive as) another logic over  $\mathbf{K}$  then it is also equally expressive as (or at least as expressive as) that other logic over all subclasses of  $\mathbf{K}$ . So it follows immediately from the result in [Kooi, 2007] that  $\mathcal{L}_R$  and  $\mathcal{L}_{CPS}$  are equally expressive over **KD45**, **S4** and **S5**.

The logic  $\mathcal{L}_R$  was shown in [van Benthem et al., 2006] to be more expressive than normal common knowledge with public announcements over  $\mathbf{K}$ . So if  $\mathcal{L}_{CPS}$  is equally expressive as  $\mathcal{L}_R$  then  $\mathcal{L}_{CPS}$  is more expressive than  $\mathcal{L}_{CP}$  over  $\mathbf{K}$ . However, the proof from [van Benthem et al., 2006] does not work for **KD45**, **S4** or **S5**, and it remained an open question whether substitutions add any expressivity to  $\mathcal{L}_{CP}$  in **KD45**, **S4** or **S5**.

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<sup>1</sup>Strictly speaking the description of the action is not complete. The action is supposed to take place in every possible world, but that includes worlds where  $a$  does not hold the card and therefore cannot give it away. We should specify what happens in those worlds. By taking  $[q := p \vee q][p := \perp]$  we make the choice that nothing happens if  $a$  does not hold the card to start with. This means that  $b$  ends up holding the card if and only if either  $a$  held it and then gave it to him, or  $b$  himself held the card and the action failed. Agent  $a$  on the other hand never ends up with the card, because she either never had it in the first place or gave it away.

<sup>2</sup>Of course there can be reasons to use an operator that does not add expressivity to the logic. Such an operator might for example allow formulas to be expressed more succinctly or in a more natural way. Consider propositional logic. The operators  $\wedge$  and  $\rightarrow$  do not add expressivity to a logic that already has  $\neg$  and  $\vee$  but we still usually add  $\wedge$  and  $\rightarrow$ , albeit often only as abbreviations.

<sup>3</sup>A relativized common knowledge formula  $C_B(\varphi, \psi)$  can be read as “after announcing  $\varphi$  it is common knowledge among  $B$  that  $\psi$  used to be the case before the announcement” or “if we delete all  $\neg\varphi$  worlds, then go to any  $B$ -reachable world and finally un-delete the previously deleted worlds, then  $\varphi$  will hold in that world”.

Here I show that substitutions do add expressivity to  $\mathcal{L}_{CP}$  over **KD45**, **S4** and **S5**. By the equal expressivity of  $\mathcal{L}_{CPS}$  and  $\mathcal{L}_R$  proven in [Kooi, 2007] this also shows that relativized common knowledge is more expressive than the combination of common knowledge and public announcements over **KD45**, **S4** and **S5**.

In Section 2.2 I give definitions of the logics under consideration and in Section 2.3 I introduce the concepts needed to compare the logics on expressivity. In Section 2.4 I use reduction axioms to show the form that  $\mathcal{L}_{CPS}$  formulas must have in order to be untranslatable to  $\mathcal{L}_{CP}$ . In Section 2.5 I show that  $\mathcal{L}_{CPS}$  is more expressive than  $\mathcal{L}_{CP}$  over **KD45** and **S4**. Finally, in Section 2.6 I show that  $\mathcal{L}_{CPS}$  is more expressive than  $\mathcal{L}_{CP}$  over **S5**.

## 2.2 Language, models and semantics

For technical reasons related to ease of notation it is convenient to define the logic  $\mathcal{L}_{CPS}$  and then consider  $\mathcal{L}_{CP}$  as a fragment of that logic. First, let us consider the formulas of  $\mathcal{L}_{CPS}$ . Let a finite nonempty set  $\mathcal{A}$  of agents and a countably infinite set  $\mathcal{P}$  of propositional variables be given.

**Definition 2.1** (Formulas of  $\mathcal{L}_{CPS}$ ). The *formulas* of  $\mathcal{L}_{CPS}$  are given by

$$\varphi ::= p \mid \neg\varphi \mid (\varphi \vee \varphi) \mid \Box_a\varphi \mid C_B\varphi \mid [\varphi]\varphi \mid [p := \varphi]\varphi$$

where  $p \in \mathcal{P}$ ,  $B \subseteq \mathcal{A}$  and  $a \in \mathcal{A}$ .

We use  $\wedge, \rightarrow, \leftrightarrow, \top, \perp, \bigvee, \bigwedge$  and  $\Diamond_a$  in the usual way as abbreviations and omit parentheses where this should not cause confusion. The intended reading of the non-Boolean operators is as follows:

- $\Box_a\varphi$  is read as “agent  $a$  knows that  $\varphi$ ”,
- $C_B\varphi$  is read as “it is common knowledge among the group  $B$  of agents that  $\varphi$ ”,
- $[\varphi]\psi$  is read as “after  $\varphi$  is publicly announced  $\psi$  holds” and
- $[p := \varphi]\psi$  is read as “after changing the extension of  $p$  to that of  $\varphi$  the formula  $\psi$  holds”.

Note that in this definition substitutions can only have one assignment like in [van Ditmarsch et al., 2005], as opposed to the multiple simultaneous substitutions that are allowed in [van Eijck, 2004a, van Benthem et al., 2006, Kooi, 2007]. This restriction does not, however, limit the expressivity of the logic, because every formula with simultaneous substitutions can be translated to an equivalent one containing only single substitutions, as pointed out in [van Ditmarsch et al., 2005].<sup>4</sup>

For some of the proofs we also need a concept of depth for formulas.

**Definition 2.2** (Depth). Let  $\varphi, \psi$  be any  $\mathcal{L}_{CPS}$  formulas. The depth  $d(\varphi)$  of  $\varphi$  is given inductively by

- $d(p) = 0$  for  $p \in \mathcal{P}$

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<sup>4</sup>The “trick” is that we can always use fresh propositional variables to temporarily store a value. A formula  $[p := \varphi_1, q := \varphi_2]\psi$  with simultaneous assignments is not guaranteed to be equivalent to  $[p := \varphi_1][q := \varphi_2]\psi$  since  $p$  may occur in  $\varphi_2$ . It is however equivalent to  $[r_1 := \varphi_1][r_2 := \varphi_2][p := r_1][q := r_2]\psi$  where  $r_1, r_2$  do not occur in  $\varphi_1, \varphi_2$  and  $\psi$ .

- $d(\neg\varphi) = d(\varphi)$
- $d(\varphi_1 \vee \varphi_2) = \max(d(\varphi), d(\psi))$
- $d(\Box_a\varphi) = d(\varphi) + 1$
- $d(C_B\varphi) = d(\varphi) + 1$
- $d([\varphi]\psi) = \max(d(\varphi), d(\psi)) + 1$
- $d([p := \varphi]\psi) = \max(d(\varphi), d(\psi)) + 1$

A formula  $\varphi$  is of *pure depth*  $n$  if  $d(\varphi) = n$  and there is no strict subformula  $\varphi'$  of  $\varphi$  such that  $d(\varphi') = n$ . A formula is of *pure depth* if it is of pure depth  $n$  for some  $n \in \mathbb{N}$ .

The concept of pure depth is useful to restrict the number of possibilities for the form of a formula; an  $\mathcal{L}_{\text{CPS}}$  formula of pure depth  $n$  must be of the form  $\Box_a\varphi'$ ,  $C_B\varphi'$ ,  $[\varphi']\varphi''$  or  $[p := \varphi']\varphi''$  for some  $\varphi'$ ,  $\varphi''$  of depth at most  $n - 1$ . The formulas of depth  $n$  are the Boolean combinations of the formulas of pure depth at most  $n$ .

**Definition 2.3** (Models of  $\mathcal{L}_{\text{CPS}}$ ). A *model*  $\mathcal{M}$  is a triple  $\mathcal{M} = (W, R, v)$  where  $W$  is a set of possible worlds,  $R : \mathcal{A} \rightarrow \wp(W \times W)$  is an accessibility relation and  $v : \mathcal{P} \rightarrow \wp(W)$  is a valuation.

A model  $\mathcal{M} = (W, R, v)$  is a **KD45** *model* if for each  $a \in \mathcal{A}$  the relation  $R(a)$  is serial, transitive and euclidean.

A model  $\mathcal{M} = (W, R, v)$  is an **S4** *model* if for each  $a \in \mathcal{A}$  the relation  $R(a)$  is reflexive and transitive.

A model  $\mathcal{M} = (W, R, v)$  is an **S5** *model* if for each  $a \in \mathcal{A}$  the relation  $R(a)$  is an equivalence relation.

Now we can define the semantics of  $\mathcal{L}_{\text{CPS}}$ .

**Definition 2.4** (Semantics of  $\mathcal{L}_{\text{CPS}}$ ). Given a model  $\mathcal{M} = (W, R, v)$ , a world  $w$  of  $\mathcal{M}$  and  $\varphi, \psi$  formulas of  $\mathcal{L}_{\text{CPS}}$  define the *satisfaction relation*  $\models$  by

$$\begin{array}{ll}
\mathcal{M}, w \models p & \Leftrightarrow w \in v(p) \\
\mathcal{M}, w \models \neg\varphi & \Leftrightarrow \mathcal{M}, w \not\models \varphi \\
\mathcal{M}, w \models \varphi \vee \psi & \Leftrightarrow \mathcal{M}, w \models \varphi \text{ or } \mathcal{M}, w \models \psi \\
\mathcal{M}, w \models \Box_a\varphi & \Leftrightarrow \mathcal{M}, w' \models \varphi \text{ for all } w' \in W \text{ s. t. } (w, w') \in R(a) \\
\mathcal{M}, w \models C_B\varphi & \Leftrightarrow \mathcal{M}, w' \models \varphi \text{ for all } w' \in W \text{ s. t. } (w, w') \in R(B)^* \\
\mathcal{M}, w \models [\varphi]\psi & \Leftrightarrow \mathcal{M}, w \models \varphi \text{ implies that } \mathcal{M}_{[\varphi]}, w \models \psi \\
\mathcal{M}, w \models [p := \varphi]\psi & \Leftrightarrow \mathcal{M}_{[p := \varphi]}, w \models \psi.
\end{array}$$

where  $R(B)^*$  is the reflexive transitive closure of  $\bigcup_{a \in B} R(a)$ . The *updated models* are given by

- $\mathcal{M}_{[\varphi]} = (W_{[\varphi]}, R_{[\varphi]}, v_{[\varphi]})$  with  $W_{[\varphi]} = \{w \in W \mid \mathcal{M}, w \models \varphi\}$ ,  $R_{[\varphi]}(a) = R(a) \cap (W_{[\varphi]} \times W_{[\varphi]})$  for all  $a \in \mathcal{A}$  and  $v_{[\varphi]}(p) = v(p) \cap W_{[\varphi]}$  for all  $p \in \mathcal{P}$ ,
- $\mathcal{M}_{[p := \varphi]} = (W, R, v_{[p := \varphi]})$  with  $v_{[p := \varphi]}(p) = \{w \in W \mid \mathcal{M}, w \models \varphi\}$  and  $v_{[p := \varphi]}(q) = v(q)$  for all  $q \in \mathcal{P} \setminus \{p\}$ .

Write  $\mathcal{M} \models \varphi$  if  $\mathcal{M}, w \models \varphi$  for every  $w \in W$  and write  $\models \varphi$  if  $\mathcal{M} \models \varphi$  for every model  $\mathcal{M}$ . Furthermore, for  $\mathbf{I} \in \{\mathbf{K}, \mathbf{KD45}, \mathbf{S4}, \mathbf{S5}\}$  write  $\models_{\mathbf{I}} \varphi$  if  $\mathcal{M} \models \varphi$  for every  $\mathbf{I}$  model  $\mathcal{M}$ .

Unfortunately, the definition of the new models created by the model changing operators  $[\varphi]\psi$  and  $[p := \varphi]\psi$  requires some rather complicated notation. It is however possible to give a relatively simple informal description of the new models. The model  $\mathcal{M}_{[\varphi]}$  is the result of removing all  $\neg\varphi$  worlds from  $\mathcal{M}$ . The accessibility relations and valuation of  $\mathcal{M}_{[\varphi]}$  are unchanged from  $\mathcal{M}$  except that they are restricted to the new set of worlds. The model  $\mathcal{M}_{[p:=\varphi]}$  is the result of changing the value of  $p$  in every world to the value  $\varphi$  has in that world in the model  $\mathcal{M}$ . The set of worlds and accessibility relations of  $\mathcal{M}_{[p:=\varphi]}$  are unchanged from  $\mathcal{M}$ .

There is one thing that should be noted about the interaction between public announcements and the class **KD45** of models: **KD45** is not closed under public announcements, unlike the classes **K**, **S4** and **S5**. In a **KD45** model  $\mathcal{M}$  there may be worlds  $w$  such that  $\mathcal{M}, w \models \varphi \wedge \Box_a \neg\varphi$ . In such a case the model  $\mathcal{M}_{[\varphi]}$  is not a **KD45** model because there are no accessible worlds for  $a$  in  $w$ , so  $R_{[\varphi]}(a)$  is not serial.

In the semantics as given here this is not a problem,  $\mathcal{M}_{[\varphi]}$  may not be a **KD45** model but it is a **K** model so it is defined whether  $\mathcal{M}_{[\varphi]}, w \models \psi$ . In a context where only **KD45** models were available, different semantics for public announcements would have to be given. The simplest alternative semantics for such a context are obtained by requiring public announcements to be not only truthful but also consistent with the belief set of every agent. The result about **KD45** presented in this chapter still holds under these alternative semantics.<sup>5</sup>

Substitutions can be used to model a wide variety of factual changes. Examples found in the literature include washing a child in the muddy children problem [van Ditmarsch et al., 2005], swapping or giving away cards in a card game [van Ditmarsch et al., 2005, van Benthem et al., 2006], opening a window [van Benthem et al., 2006] and solving the “100 prisoners and a light bulb” puzzle in [van Ditmarsch et al., 2014a]. The property of substitutions that we use for the proofs in this chapter is that they can be used to add *memory* to public announcements, as suggested in [Kooi, 2007].

In general, public announcements are ‘destructive’. After a public announcement  $[\varphi]$  has been made it is no longer possible to recover the epistemic state of the agents prior to the announcement. It is for example impossible to determine from an updated model  $\mathcal{M}_{[p]}$  whether or not for a given world  $w$  of  $\mathcal{M}_{[p]}$  it holds that  $\mathcal{M}, w \models \Box_a p$ . Substitutions can be used to store information about the epistemic state before the announcement. From the model  $\mathcal{M}_{[q:=\Box_a p][p]}$  it can be determined whether or not for a given world  $w$  it holds that  $\mathcal{M}, w \models \Box_a p$ ; we have  $\mathcal{M}, w \models \Box_a p$  if and only if  $\mathcal{M}_{[q:=\Box_a p][p]}, w \models q$ . The substitution  $[q := \Box_a p]$  in this case can be thought of as the change caused by agent  $a$  writing a note about whether she knows  $p$ . The announcement  $[p]$  destroys all information about whether  $a$  knew that  $p$  before the announcement, but the agents can regain this information by looking at the note.

## 2.3 Expressivity

As mentioned above the logic  $\mathcal{L}_{CP}$  can be defined as a fragment of  $\mathcal{L}_{CPS}$ .

**Definition 2.5** (Fragments of  $\mathcal{L}_{CPS}$ ). Let **C** stand for common knowledge, **P** for public announcements and **S** for substitutions. Then for any string **X** of characters

<sup>5</sup>Roughly speaking this is so because these alternative semantics would allow fewer formulas to be announced, resulting in a logic that is at most as expressive as the one using the semantics presented here.

the logic  $\mathcal{L}_X$  is the fragment of  $\mathcal{L}_{\text{CPS}}$  that uses only the operators  $\forall, \neg, \Box_a$  and the operators corresponding to a letter that occurs in  $X$ .

We now have all we need to define expressivity. Note that for technical reasons the definition given here is restricted to a smaller domain than Definition 1.1 from Chapter 1, but the definitions agree whenever both can be applied.

**Definition 2.6** (Expressivity). Let  $A, B$  be strings of characters and  $\mathbf{I} \in \{\mathbf{K}, \mathbf{KD45}, \mathbf{S4}, \mathbf{S5}\}$ . We say that  $\mathcal{L}_B$  is *at least as expressive as*  $\mathcal{L}_A$  over  $\mathbf{I}$  if for every  $\mathcal{L}_A$  formula  $\varphi$  there is an  $\mathcal{L}_B$  formula  $\psi$  such that  $\models_{\mathbf{I}} \varphi \leftrightarrow \psi$ . Denote this by  $\mathcal{L}_A \preceq_{\mathbf{I}} \mathcal{L}_B$ .

Furthermore,  $\mathcal{L}_B$  is *more expressive than*  $\mathcal{L}_A$  over  $\mathbf{I}$ , denoted by  $\mathcal{L}_A \prec_{\mathbf{I}} \mathcal{L}_B$ , if  $\mathcal{L}_A \preceq_{\mathbf{I}} \mathcal{L}_B$  and  $\mathcal{L}_B \not\preceq_{\mathbf{I}} \mathcal{L}_A$ . Finally,  $\mathcal{L}_B$  and  $\mathcal{L}_A$  are *equally expressive* over  $\mathbf{I}$ , denoted by  $\mathcal{L}_A \equiv_{\mathbf{I}} \mathcal{L}_B$ , if  $\mathcal{L}_A \preceq_{\mathbf{I}} \mathcal{L}_B$  and  $\mathcal{L}_B \preceq_{\mathbf{I}} \mathcal{L}_A$ .

Note that since  $\mathcal{L}_A$  and  $\mathcal{L}_B$  are fragments of  $\mathcal{L}_{\text{CPS}}$ , the formula  $\varphi \leftrightarrow \psi$  can always be seen as an  $\mathcal{L}_{\text{CPS}}$  formula so it makes sense to write  $\models_{\mathbf{I}} \varphi \leftrightarrow \psi$ . The next Lemma follows immediately from the definition.

**Lemma 2.1.** *For any  $\mathbf{I} \in \{\mathbf{K}, \mathbf{KD45}, \mathbf{S4}, \mathbf{S5}\}$  we have  $\mathcal{L}_{\text{CP}} \preceq_{\mathbf{I}} \mathcal{L}_{\text{CPS}}$ .*

*Proof.* For any  $\mathcal{L}_{\text{CP}}$  formula  $\varphi$  we have that  $\varphi$  is also an  $\mathcal{L}_{\text{CPS}}$  formula and  $\models_{\mathbf{I}} \varphi \leftrightarrow \varphi$ .  $\square$

The remaining questions are therefore whether  $\mathcal{L}_{\text{CP}} \prec_{\mathbf{I}} \mathcal{L}_{\text{CPS}}$  or  $\mathcal{L}_{\text{CP}} \equiv_{\mathbf{I}} \mathcal{L}_{\text{CPS}}$  for  $\mathbf{I} = \mathbf{K}$ ,  $\mathbf{I} = \mathbf{KD45}$ ,  $\mathbf{I} = \mathbf{S4}$  and  $\mathbf{I} = \mathbf{S5}$ . The first of these four questions was answered in [Kooi, 2007] where it was shown that  $\mathcal{L}_{\text{CP}} \prec_{\mathbf{K}} \mathcal{L}_{\text{CPS}}$ . The other three questions are answered in this chapter, where we show that  $\mathcal{L}_{\text{CP}} \prec_{\mathbf{KD45}} \mathcal{L}_{\text{CPS}}$ ,  $\mathcal{L}_{\text{CP}} \prec_{\mathbf{S4}} \mathcal{L}_{\text{CPS}}$  and  $\mathcal{L}_{\text{CP}} \prec_{\mathbf{S5}} \mathcal{L}_{\text{CPS}}$ .

## 2.4 Reduction

One of the main tools in the study of expressivity is the use of so-called *reduction axioms*.<sup>6</sup> A reduction axiom for an operator  $X$  is a validity of the form  $\models \varphi \leftrightarrow \psi$  where  $\psi$  either contains fewer instances of the operator  $X$  than  $\varphi$ , or the formulas inside the scope of  $X$  in  $\psi$  are less complex<sup>7</sup> than the formulas inside the scope of  $X$  in  $\varphi$ . Reduction axioms can be used to reduce the complexity of the formulas inside the scope of  $X$  and sometimes even remove instances of  $X$  entirely. The reduction axioms that are relevant to the logics under consideration are the following:

**Lemma 2.2.** *For any  $\mathcal{L}_{\text{CPS}}$  formulas  $\psi, \psi_1, \psi_2, \psi_3$ , any  $p \in \mathcal{P}$ , any  $a \in \mathcal{A}$  and any  $B \subseteq \mathcal{A}$  the following statements hold:*

1.  $\models [\psi]p \leftrightarrow (\psi \rightarrow p)$ ,
2.  $\models [\psi_1]\neg\psi_2 \leftrightarrow (\psi_1 \rightarrow \neg[\psi_1]\psi_2)$ ,

<sup>6</sup>Comparing expressivity is by no means the only use of reduction axioms. In fact, they derive their name from the fact that if you add the reduction axioms for a certain operator  $X$  to a complete axiomatization of a logic  $\mathcal{L}$  that does not use  $X$ , the result is in many cases a complete axiomatization of the logic  $\mathcal{L}_X$  obtained by adding  $X$  as an additional operator to  $\mathcal{L}$ . For example, adding reduction axioms 1–5 to a complete axiomatization of epistemic logic results in a complete axiomatization of public announcement logic ( $\mathcal{L}_{\text{P}}$ ), see [Plaza, 1989].

<sup>7</sup>In some appropriate sense of complexity. In this case we could for example define the complexity of a formula as the number of steps required to obtain it using the inductive definition of formulas.

3.  $\models [\psi_1](\psi_2 \vee \psi_3) \leftrightarrow ([\psi_1]\psi_2 \vee [\psi_1]\psi_3),$
4.  $\models [\psi_1]\Box_a\psi_2 \leftrightarrow (\psi_1 \rightarrow \Box_a[\psi_1]\psi_2),$
5.  $\models [\psi_1][\psi_2]\psi_3 \leftrightarrow [\psi_1 \wedge [\psi_1]\psi_2]\psi_3,$
6.  $\models [p := \psi]p \leftrightarrow \psi,$
7.  $\models [p := \psi]q \leftrightarrow q$  for  $q \neq p,$
8.  $\models [p := \psi_1]\neg\psi_2 \leftrightarrow \neg[p := \psi_1]\psi_2,$
9.  $\models [p := \psi_1](\psi_2 \vee \psi_3) \leftrightarrow ([p := \psi_1]\psi_2 \vee [p := \psi_1]\psi_3),$
10.  $\models [p := \psi_1]\Box_a\psi_2 \leftrightarrow \Box_a[p := \psi_1]\psi_2$  and
11.  $\models [p := \psi_1]C_B\psi_2 \leftrightarrow C_B[p := \psi_1]\psi_2.$

The first five validities of Lemma 2.2 were introduced in [Plaza, 1989], the latter six in [Kooi, 2007]. They are also straightforward to verify using the semantics of  $\mathcal{L}_{CPS}$ .

All validities in Lemma 2.2 are reduction axioms for either public announcements or substitutions. It either holds that the formula on the right-hand side of the equivalence contains fewer public announcement or substitution operators, or that the formulas inside the scope of the public announcement or substitution operator on the right-hand side of the equivalence have a lower complexity than the ones on the left-hand side.

There are three combinations of operators for which there is no reduction axiom; there are no reduction axioms for the combination of a public announcement and common knowledge, the combination of a substitution and a public announcement and the combination of a substitution with another substitution. So formulas of the form  $[\psi_1]C_B\psi_2$ , the form  $[p := \psi_1][\psi_2]\psi_3$  and the form  $[p := \psi_1][q := \psi_2]\psi_3$  cannot easily be “reduced”. The lack of a reduction axiom for  $[p := \psi_1][q := \psi_2]\psi_3$  is not very important, but the fact that there are no reduction axioms for  $[\psi_1]C_B\psi_2$  and  $[p := \psi_1][\psi_2]\psi_3$  is important for the construction of an  $\mathcal{L}_{CPS}$  formula that cannot be translated to  $\mathcal{L}_{CP}$ .

By repeatedly applying the validities from Lemma 2.2 it is possible to eliminate any public announcement or substitution operator  $[X]$ , as long a reduction axiom exists for  $[X]$  and every operator inside the scope of  $[X]$ . For example, if  $p \neq q$  then a formula  $[p := \psi]\Box_a(p \vee q)$  is equivalent to  $\Box_a[p := \psi](p \vee q)$ , which is equivalent to  $\Box_a([p := \psi]p \vee [p := \psi]q)$  which is equivalent to  $\Box_a(\psi \vee q)$ .

This allows us to say some things about the relative expressivity of certain extensions of a basic epistemic logic  $\mathcal{L}$ . The reduction axioms are used in [Plaza, 1989] and [Kooi, 2007] to show that

- $\mathcal{L} \equiv_{\mathbf{K}} \mathcal{L}_{\mathbf{P}}$  because we can remove the public announcements from any  $\mathcal{L}_{\mathbf{P}}$  formula using the first four reduction axioms;
- $\mathcal{L} \equiv_{\mathbf{K}} \mathcal{L}_{\mathbf{S}}$  because we can remove the substitutions from any  $\mathcal{L}_{\mathbf{S}}$  formula using the sixth to tenth reduction axioms;
- $\mathcal{L}_{\mathbf{C}} \equiv_{\mathbf{K}} \mathcal{L}_{\mathbf{CS}}$  because we can remove the substitutions from any  $\mathcal{L}_{\mathbf{CS}}$  formula using the sixth to eleventh reduction axioms;



- $\mathcal{L} \equiv_{\mathbf{K}} \mathcal{L}_{\text{PS}}$  because, even though we cannot change the order of a public announcement operator and a substitution operator, we can always remove the innermost public announcement or substitution operator using either the first four or the sixth to tenth reduction axioms.

The reduction axioms can also help determine where to look if we want to find an  $\mathcal{L}_{\text{CPS}}$  formula that cannot be translated to  $\mathcal{L}_{\text{CP}}$ . Any  $\mathcal{L}_{\text{CPS}}$  formula that cannot be translated to  $\mathcal{L}_{\text{CP}}$  must obviously contain a substitution operator. Furthermore, since  $\mathcal{L}_{\text{C}} \equiv_{\mathbf{K}} \mathcal{L}_{\text{CS}}$  the untranslatable formula must also contain at least one public announcement and since  $\mathcal{L} \equiv_{\mathbf{K}} \mathcal{L}_{\text{PS}}$  it must contain at least one common knowledge operator.

Furthermore, using the reduction axioms we can move the substitution operator inward until it reaches a public announcement operator so we can assume without loss of generality that there is a substitution operator immediately preceding a public announcement. Likewise, the public announcement operator can be moved inward until it reaches a common knowledge operator. The untranslatable formula must therefore contain a subformula  $[p := \varphi][\psi_1] \cdots [\psi_n]C_B\chi$ . Finally we can collapse  $[\psi_1] \cdots [\psi_n]$  into a single announcement  $[\psi]$ .

So if there is an untranslatable formula then there is an untranslatable formula of the form  $[p := \varphi][\psi]C_B\chi$ . This is a particular instance of the use of substitutions as memory; the propositional variable  $p$  is used to store the value of  $\varphi$  so that it is possible after the announcement of  $\psi$  to remember whether  $\varphi$  held before the announcement.<sup>8</sup>

The formula that is used to show that  $\mathcal{L}_{\text{CP}} \prec_{\mathbf{K}} \mathcal{L}_{\text{CPS}}$  is indeed equivalent to a formula of such a form, namely  $[q := \neg\Box_a p][p]C_{\mathcal{A}}q$ . This formula cannot, however, be used to show that  $\mathcal{L}_{\text{CP}} \prec_{\mathbf{S5}} \mathcal{L}_{\text{CPS}}$ , since there is an  $\mathcal{L}_{\text{CP}}$  formula that is equivalent to  $[q := \neg\Box_a p][p]C_{\mathcal{A}}q$  on all **S5** models.<sup>9</sup> For the **S5** case we therefore need a slightly more complicated formula. The one we use is  $[q := C_{\{a,b\}}p][p]C_{\mathcal{A}}\neg q$ . For the **S4** case the simpler formula  $[q := \neg\Box_a p][p]C_{\mathcal{A}}q$  of the **K** case could be used. However, in order to keep the proof for the **S4** case similar to the proof of the **KD45** and **S5** cases it is more convenient to use the formula  $[q := C_{\mathcal{A}}p][p]C_{\mathcal{A}}\neg q$ .

<sup>8</sup>Those familiar with relativized common knowledge may also recognize formulas of this form as similar to how relativized common knowledge can be simulated in  $\mathcal{L}_{\text{CPS}}$ ; if  $p$  does not occur in  $\psi$  then  $[p := \varphi][\psi]C_B p$  is equivalent to the relativized common knowledge formula  $C_B(\psi, \varphi)$ . This is of course no coincidence: one of the interpretations given to  $C_B(\psi, \varphi)$  in [van Benthem et al., 2006] is “if  $\psi$  is announced it becomes common knowledge among  $B$  that  $\varphi$  was the case before the announcement”. This is also a good description of  $[p := \varphi][\psi]C_B p$  if the substitution is seen as memory.

<sup>9</sup>The smallest  $\mathcal{L}_{\text{CP}}$  formula that I know to be equivalent to  $[q := \neg\Box_a p][p]C_{\mathcal{A}}q$  on all **S5** models grows exponentially with the size of  $\mathcal{A}$  and is already quite complicated for small sets of agents. To give some idea of the form of this translation, consider the case  $\mathcal{A} = \{a, b, c\}$ . Then the translation is  $\models_{\mathbf{S5}} [q := \neg\Box_a p][p]C_{\mathcal{A}}q \leftrightarrow (\neg p \vee [\neg p \rightarrow \Diamond_a(p \wedge \bigvee_{d \in \mathcal{A}} \Diamond_d \Box_a p)](\psi_a \wedge \psi_{ab} \wedge \psi_{abc} \wedge \psi_{ac} \wedge \psi_{acb}))$  where

- $\psi_a = [\bigvee_{d \in \mathcal{A}} \Diamond_d \neg p \rightarrow \Diamond_a \neg p]C_{\mathcal{A}}p$ ,
- $\psi_{ab} = [\neg p \rightarrow \Diamond_b(p \wedge \bigvee_{d \in \mathcal{A}} \Diamond_d(p \wedge \neg\psi_a))][\bigvee_{d \in \mathcal{A}} \Diamond_d \neg p \rightarrow \Diamond_b \neg p]C_{\mathcal{A}}p$ ,
- $\psi_{abc} = [\neg p \rightarrow \Diamond_c(p \wedge \bigvee_{d \in \mathcal{A}} \Diamond_d(p \wedge \neg\psi_{ab}))][\bigvee_{d \in \mathcal{A}} \Diamond_d \neg p \rightarrow \Diamond_c \neg p]C_{\mathcal{A}}p$ ,
- $\psi_{ac} = [\neg p \rightarrow \Diamond_c(p \wedge \bigvee_{d \in \mathcal{A}} \Diamond_d(p \wedge \neg\psi_a))][\bigvee_{d \in \mathcal{A}} \Diamond_d \neg p \rightarrow \Diamond_c \neg p]C_{\mathcal{A}}p$ ,
- $\psi_{acb} = [\neg p \rightarrow \Diamond_b(p \wedge \bigvee_{d \in \mathcal{A}} \Diamond_d(p \wedge \neg\psi_{ac}))][\bigvee_{d \in \mathcal{A}} \Diamond_d \neg p \rightarrow \Diamond_b \neg p]C_{\mathcal{A}}p$ .

The proof of this equivalence is long, tedious, not very hard and outside the scope of this chapter. I therefore omit it.

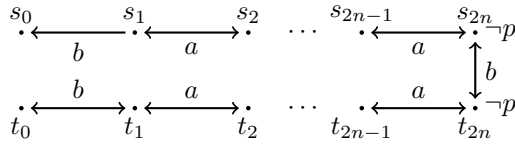


Figure 2.1: Model  $\mathcal{M}_n$  or model  $\mathcal{M}'_n$ , depending on which worlds have a reflexive relation. In  $\mathcal{M}'_n$  all worlds have a reflexive relation for all agents. In  $\mathcal{M}_n$  all worlds other than  $s_1$  have a reflexive relation for all agents and  $s_1$  has a reflexive relation for all agents other than agent  $b$ . Reflexive arrows are not drawn and  $p$  holds in every world except where it is stated otherwise. Note that the leftmost arrow in the top row is one-directional, unlike all other arrows.

## 2.5 Expressivity over KD45 and S4

With the preliminaries out of the way let us consider the proof that  $\mathcal{L}_{\text{CP}} \prec_{\text{KD45}} \mathcal{L}_{\text{CPS}}$  and  $\mathcal{L}_{\text{CP}} \prec_{\text{S4}} \mathcal{L}_{\text{CPS}}$ . Let us first state the theorem, then give some auxiliary definitions and lemmas and finally give a proof of the theorem.

**Theorem 2.1.** *The logic  $\mathcal{L}_{\text{CPS}}$  is more expressive than the logic  $\mathcal{L}_{\text{CP}}$  over **KD45** and **S4**.*

It was already shown that  $\mathcal{L}_{\text{CP}} \preceq_{\text{KD45}} \mathcal{L}_{\text{CPS}}$  and  $\mathcal{L}_{\text{CP}} \preceq_{\text{S4}} \mathcal{L}_{\text{CPS}}$  so in order to prove Theorem 2.1 it remains to be shown that  $\mathcal{L}_{\text{CPS}} \not\preceq_{\text{KD45}} \mathcal{L}_{\text{CP}}$  and  $\mathcal{L}_{\text{CPS}} \not\preceq_{\text{S4}} \mathcal{L}_{\text{CP}}$ . In order to do this it is sufficient to show that there is an  $\mathcal{L}_{\text{CPS}}$  formula  $\varphi$  with the property that there is no  $\mathcal{L}_{\text{CP}}$  formula  $\psi$  that is equivalent to  $\varphi$  on all **KD45** models and that there is an  $\mathcal{L}_{\text{CPS}}$  formula  $\varphi'$  with the property that there is no  $\mathcal{L}_{\text{CP}}$  formula  $\psi$  that is equivalent to  $\varphi'$  on all **S4** models. These  $\varphi$  and  $\varphi'$  could be different, but it turns out that we can choose  $\varphi = \varphi' = [q := C_{\mathcal{A}p}][p]C_{\mathcal{A}}\neg q$  and it is convenient to do so.

In order to show that no  $\mathcal{L}_{\text{CP}}$  formula is equivalent to  $\varphi$  we would like to construct a **KD45** or **S4** model  $\mathcal{M} = (W, R, v)$  and  $w, w' \in W$  such that  $\varphi$  distinguishes between  $\mathcal{M}, w$  and  $\mathcal{M}, w'$  but no  $\mathcal{L}_{\text{CP}}$  formula does. Unfortunately we cannot find such models, so we do something slightly more complicated. Instead of one model with two worlds we construct a sequence  $\{\mathcal{M}_n \mid n \in \mathbb{N}\}$  of models.

In each model we select two worlds that  $\varphi$  distinguishes between. In each of these models there will be an  $\mathcal{L}_{\text{CP}}$  formula that also distinguishes between the worlds, but we will make sure that in order to distinguish between the worlds of  $\mathcal{M}_n$  an  $\mathcal{L}_{\text{CP}}$  formula must be of depth at least  $n$ . Any given  $\mathcal{L}_{\text{CP}}$  formula has some finite depth  $m \in \mathbb{N}$  so no single  $\mathcal{L}_{\text{CP}}$  formula can distinguish between the two worlds for every model  $\mathcal{M}_n$ . Since  $\varphi$  can distinguish between the two worlds for every  $n \in \mathbb{N}$ , this implies that no  $\mathcal{L}_{\text{CP}}$  formula is equivalent to  $\varphi$ .

For this purpose let us define sets  $\{\mathcal{M}_n = (W_n, R_n, v_n) \mid n \in \mathbb{N}_{>0}\}$  and  $\{\mathcal{M}'_n = (W_n, R'_n, v_n) \mid n \in \mathbb{N}_{>0}\}$  of **KD45** and **S4** models respectively such that the  $\mathcal{L}_{\text{CPS}}$  formula  $\varphi = [q := C_{\mathcal{A}p}][p]C_{\mathcal{A}}\neg q$  can distinguish between two specific worlds  $s_{2n-1} \in W_n$  and  $t_{2n-1} \in W_n$  for all  $n \in \mathbb{N}_{>0}$  but there is no single  $\mathcal{L}_{\text{CP}}$  formula that distinguishes the worlds for all  $n \in \mathbb{N}_{>0}$ .

**Definition 2.7.** Let  $a, b \in \mathcal{A}$  be two distinct agents and let  $p \in \mathcal{P}$ . For  $n \in \mathbb{N}_{>0}$  let  $\mathcal{M}_n = (W_n, R_n, v_n)$ , where

- $W_n = \{s_i \mid 0 \leq i \leq 2n\} \cup \{t_i \mid 0 \leq i \leq 2n\}$
- $R_n(a) = \{(s_{2i}, s_{2i-1}), (s_{2i-1}, s_{2i}), (t_{2i}, t_{2i-1}), (t_{2i-1}, t_{2i}) \mid 1 \leq i \leq n\} \cup \{(w, w) \mid w \in W_n\}$
- $R_n(b) = (\{(s_{2i}, s_{2i+1}), (s_{2i+1}, s_{2i}), (t_{2i}, t_{2i+1}), (t_{2i+1}, t_{2i}) \mid 0 \leq i \leq n-1\} \setminus \{(s_0, s_1)\}) \cup \{(s_{2n}, t_{2n}), (t_{2n}, s_{2n})\} \cup \{(w, w) \mid w \in W_n \setminus \{s_1\}\}$
- $R_n(c) = \{(w, w) \mid w \in W_n\}$  for all  $c \in \mathcal{A} \setminus \{a, b\}$
- $v_n(p) = W_n \setminus \{s_{2n}, t_{2n}\}$
- $v_n(q) = \emptyset$  for all  $q \in \mathcal{P} \setminus \{p\}$

Furthermore, let

- $R'_n(a) = R_n(a)$
- $R'_n(b) = R_n(b) \cup \{(s_1, s_1)\}$
- $R'_n(c) = R_n(c)$  for all  $c \in \mathcal{A} \setminus \{a, b\}$

and  $\mathcal{M}'_n = (W_n, R'_n, v_n)$ .

Note that we do not require  $\mathcal{A} \setminus \{a, b\}$  to be nonempty, so this model is defined if and only if  $|\mathcal{A}| \geq 2$ . See also Figure 2.1 for a visual representation of  $\mathcal{M}_n$  and  $\mathcal{M}'_n$ . The proofs using the models  $\mathcal{M}_n$  are completely analogous to those using the models  $\mathcal{M}'_n$ , so choose any  $\mathcal{N} \in \{\mathcal{M}, \mathcal{M}'\}$ . Let us give the proof for the models  $\mathcal{N}_n$ , thus simultaneously proving the result for **KD45** and **S4**.

An important thing to note about these models is that the arrow from  $s_1$  to  $s_0$  is one-directional, unlike all other arrows. As a result of this one-directional arrow the following lemma holds.

**Lemma 2.3.** *For every  $n \in \mathbb{N}_{>0}$  We have  $\mathcal{N}_n, s_{2n-1} \not\models [q := C_{AP}][p]C_{\mathcal{A}}\neg q$  and  $\mathcal{N}_n, t_{2n-1} \models [q := C_{AP}][p]C_{\mathcal{A}}\neg q$ .*

*Proof.* The worlds  $s_{2n}$  and  $t_{2n}$  are not reachable from  $s_0$  but reachable from any other world. We therefore have  $\mathcal{N}_n, s_0 \models C_{AP}$  and  $\mathcal{N}_n, w \not\models C_{AP}$  for all other worlds  $w$ . So after a substitution  $[q := C_{AP}]$  the propositional variable  $q$  will hold in  $s_0$  but not in any other world. Then after the public announcement  $[p]$  this single  $q$  world is reachable from  $s_{2n-1}$  but not from  $t_{2n-1}$ , so we have  $\mathcal{N}_n, s_{2n-1} \not\models [q := C_{AP}][p]C_{\mathcal{A}}\neg q$  and  $\mathcal{N}_n, t_{2n-1} \models [q := C_{AP}][p]C_{\mathcal{A}}\neg q$ , which is what was to be shown.  $\square$

Another key property of these models is that there is no single  $\mathcal{L}_{CP}$  formula that distinguishes  $s_{2n-1}$  from  $t_{2n-1}$  for every  $n \in \mathbb{N}_{>0}$ . This is shown by induction, but in order to keep the induction hypothesis relatively simple it is convenient to restrict to a certain subset of formulas.

**Definition 2.8** (*ab-form*). An  $\mathcal{L}_{CP}$  formula  $\varphi$  is in *ab-form* if all  $C_B$  operators in  $\varphi$  have  $B = \{a, b\}$  and are immediately preceded by a  $[\psi]$  operator and all  $[\psi]$  operators in  $\varphi$  are immediately succeeded by a  $C_B$  operator.

The following lemma shows that we can restrict to formulas in *ab-form* without loss of generality.

**Lemma 2.4.** *For every  $\mathcal{L}_{\text{CP}}$  formula  $\varphi$  there is an  $\mathcal{L}_{\text{CP}}$  formula  $\varphi'$  such that  $\varphi'$  is in  $ab$ -form and for all  $n \in \mathbb{N}_{>0}$  and all  $w \in W_n$  it holds that  $\mathcal{N}_n, w \models \varphi \Leftrightarrow \mathcal{N}_n, w \models \varphi'$ .*

*Proof.* Recall that  $\mathcal{N} \in \{\mathcal{M}, \mathcal{M}'\}$  and let  $\varphi$  be any  $\mathcal{L}_{\text{CP}}$  formula. The only agents for which there are arrows from one world to another in  $\mathcal{N}_n$  are  $a$  and  $b$ . We can therefore replace any operator  $C_B$  in  $\varphi$  by  $C_{B'}$  with  $B' = B \cap \{a, b\}$  without changing the truth value on  $\mathcal{N}_n$ . If this  $B'$  is a singleton  $C_{B'}$  can be replaced by either  $\Box_a$  or  $\Box_b$  and if  $B' = \emptyset$  it can be removed entirely, again without changing the truth value on  $\mathcal{N}_n$ . Call the formula obtained by modifying  $\varphi$  in these ways  $\theta$ .

Recall the reduction axioms

- $\models [\psi]p \Leftrightarrow (\psi \rightarrow p)$
- $\models [\psi_1]\neg\psi_2 \Leftrightarrow (\psi_1 \rightarrow \neg[\psi_1]\psi_2)$
- $\models [\psi_1](\psi_2 \vee \psi_3) \Leftrightarrow ([\psi_1]\psi_2 \vee [\psi_1]\psi_3)$
- $\models [\psi_1]\Box_a\psi_2 \Leftrightarrow (\psi_1 \rightarrow \Box_a[\psi_1]\psi_2)$
- $\models [\psi_1][\psi_2]\psi_3 \Leftrightarrow [\psi_1 \wedge [\psi_1]\psi_2]\psi_3$

that were shown to hold in [Plaza, 1989]. If a public announcement occurs immediately preceding any  $\mathcal{L}_{\text{CP}}$  operator other than a common knowledge operator they allow us to either change the order of the public announcement and the other operator or collapse two announcements into one. We can therefore find a formula  $\theta'$  that is equivalent to  $\theta$  and that only contains public announcements immediately preceding  $C_{\{a,b\}}$  operators. Finally, we can take  $\varphi'$  to be the formula obtained by adding a  $[\top]$  operator before every  $C_{\{a,b\}}$  operator in  $\theta'$  that is not yet immediately preceded by a public announcement. This  $\varphi'$  is in  $ab$ -form and satisfies  $\mathcal{N}_n, w \models \varphi \Leftrightarrow \mathcal{N}_n, w \models \varphi'$  for all  $n \in \mathbb{N}_{>0}$  and all  $w \in W_n$ .  $\square$

It is relatively easy to show that formulas in  $ab$ -form cannot distinguish between worlds  $s_i$  and  $t_i$  of  $\mathcal{N}_n$  unless they are of sufficient depth.

**Lemma 2.5.** *Let  $n \in \mathbb{N}_{>0}$  be given. Then for any  $k \leq n$  and for any  $2k < i \leq 2n$  there is no  $\mathcal{L}_{\text{CP}}$  formula  $\varphi$  that is in  $ab$ -form and of depth at most  $k$  such that  $\varphi$  distinguishes between  $s_i$  and  $t_i$  in  $\mathcal{N}_n$ .*

*Proof.* By induction on  $k$ . The statement trivially holds for  $k = 0$ . Now suppose as induction hypothesis that  $k > 0$  and that the statement holds for all  $k' < k$ . Fix any  $i$  with  $2k \leq i \leq 2n$  and let  $\varphi$  be any  $\mathcal{L}_{\text{CP}}$  formula in  $ab$ -form with depth  $\leq k$ . To show is that  $\varphi$  does not distinguish between  $s_i$  and  $t_i$ .

Suppose towards a contradiction that  $\varphi$  does in fact distinguish between  $s_i$  and  $t_i$ . If a Boolean combination of formulas distinguishes two worlds then at least one of the combined formulas also distinguishes between them, so we can assume without loss of generality that  $\varphi$  is of pure depth  $\leq k$ . Since  $\varphi$  is in  $ab$ -form this implies that  $\varphi$  is either of the form  $\Box_x\varphi_1$  or of the form  $[\varphi_1]C_{\{a,b\}}\varphi_2$  where  $x \in \mathcal{A}$ ,  $\varphi_1$  and  $\varphi_2$  are in  $ab$ -form and  $\varphi_1$  and  $\varphi_2$  are of depth  $\leq k - 1$ . Suppose  $\varphi = \Box_x\varphi_1$ . Then by the induction hypothesis  $\varphi_1$  cannot distinguish between  $s_j$  and  $t_j$  for  $2(k-1) \leq j \leq 2n$ , so in particular it cannot distinguish between  $s_i$  and  $t_i$ , between  $s_{i-1}$  and  $t_{i-1}$  or between  $s_{i+1}$  and  $t_{i+1}$ . From this it follows that  $\varphi$  cannot distinguish between  $s_i$  and  $t_i$ . See Figure 2.2 for a visual representation of the case where  $x = a$  and  $i$  is even.

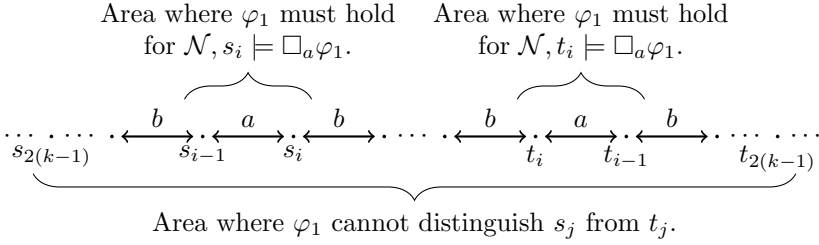


Figure 2.2: The formula  $\varphi = \Box_a \varphi_1$  cannot distinguish between  $s_i$  and  $t_i$ , since  $\mathcal{N}_n, w_i \models \varphi \Leftrightarrow (\mathcal{N}_n, w_i \models \varphi_1 \text{ and } \mathcal{N}_n, w_{i-1} \models \varphi_1)$  for  $w \in \{s, t\}$ , and by the induction hypothesis  $\varphi_1$  cannot distinguish either  $s_i$  from  $t_i$  or  $s_{i-1}$  from  $t_{i-1}$ .

Suppose then that  $\varphi = [\varphi_1]C_{\{a,b\}}\varphi_2$ . The worlds  $s_i$  and  $t_i$  are  $\{a, b\}$ -reachable from each other. In order for  $\varphi$  to distinguish between the two points it is therefore necessary that  $\varphi_1$  fails to hold for some  $s_j$  or  $t_j$  with  $j \geq i$ . But by the induction hypothesis  $\varphi_1$  cannot distinguish  $s_j$  from  $t_j$  so  $\varphi_1$  must fail to hold on both worlds. But after such an update  $s_i$  and  $t_i$  are indistinguishable by any  $\mathcal{L}_{CP}$  formula of any length as all  $\neg p$  worlds have become unreachable. The formula  $\varphi$  therefore does not distinguish between the two worlds.

In both possible forms for  $\varphi$  we arrive at a contradiction with the assumption that  $\varphi$  distinguishes between  $s_i$  and  $t_i$ . The assumption must therefore be false, so  $\varphi$  does not distinguish between  $s_i$  and  $t_i$ . This completes the induction step and thereby the proof.  $\square$

Note that in particular Lemma 2.5 implies that an  $\mathcal{L}_{CP}$  formula in  $ab$ -form must be of length at least  $n$  to distinguish between the worlds  $s_{2n-1}$  and  $t_{2n-1}$  of  $\mathcal{N}_n$ . The proof of Theorem 2.1 now follows easily.

*Proof of Theorem 2.1.* From Lemma 2.1 it follows that  $\mathcal{L}_{CP} \preceq_{\mathbf{KD45}} \mathcal{L}_{CPS}$  and that  $\mathcal{L}_{CP} \preceq_{\mathbf{S4}} \mathcal{L}_{CPS}$ .

Let  $\varphi = [q := C_{AP}] [p] C_{\mathcal{A}} \neg q$  and take any  $\mathcal{N} \in \{\mathcal{M}, \mathcal{M}'\}$ . Lemma 2.3 shows that for any  $n \in \mathbb{N}_{>0}$  we have  $\mathcal{N}_n, s_{2n-1} \not\models \varphi$  and  $\mathcal{N}_n, t_{2n-1} \models \varphi$ . The  $\mathcal{L}_{CPS}$  formula  $\varphi$  therefore distinguishes between  $s_{2n-1}$  and  $t_{2n-1}$  for every  $n \in \mathbb{N}_{>0}$ . From Lemmas 2.4 and 2.5 it follows that there is no single  $\mathcal{L}_{CP}$  formula  $\psi$  that distinguishes between  $s_{2n-1}$  and  $t_{2n-1}$  for every  $n \in \mathbb{N}_{>0}$ .

There is therefore no  $\mathcal{L}_{CP}$  formula that is equivalent to  $\varphi$  on all  $\mathbf{KD45}$  models or on all  $\mathbf{S4}$  models, so  $\mathcal{L}_{CPS} \not\preceq_{\mathbf{KD45}} \mathcal{L}_{CP}$  and  $\mathcal{L}_{CPS} \not\preceq_{\mathbf{S4}} \mathcal{L}_{CP}$ .  $\square$

## 2.6 Expressivity over $\mathbf{S5}$

What remains to be shown is that substitutions add expressivity over  $\mathbf{S5}$ . The models  $\mathcal{M}_n$  and  $\mathcal{M}'_n$  are not  $\mathbf{S5}$  models so they cannot be used for expressivity results over  $\mathbf{S5}$ . The models can be modified to  $\mathbf{S5}$  models, but this requires the use of an extra agent.

**Theorem 2.2.** *The logic  $\mathcal{L}_{CPS}$  is more expressive than the logic  $\mathcal{L}_{CP}$  over  $\mathbf{S5}$ .*

Apart from some technicalities that are necessary due to the extra agent, the proofs of Theorem 2.2 and its auxiliary lemmas are analogous to that of Theorem 2.1 and

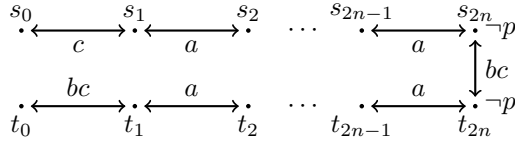


Figure 2.3: Model  $\mathcal{M}''_n$ . Reflexive arrows are omitted and  $p$  holds everywhere except where it is stated otherwise.

its auxiliary lemmas. We therefore give only a sketch of the proofs here. First, let us define the set  $\{\mathcal{M}''_n \mid n \in \mathbb{N}_{>0}\}$  of **S5** models.

**Definition 2.9.** Let  $a, b, c \in \mathcal{A}$  be three distinct agents and let  $p \in \mathcal{P}$ . For  $n \in \mathbb{N}_{>0}$  let  $\mathcal{M}''_n = (W_n, R''_n, v_n)$  where

- $R''_n(a) = R'_n(a)$
- $R''_n(b) = R'_n(b) \setminus \{(s_1, s_0)\}$
- $R''_n(c) = R'_n(b) \cup \{(s_0, s_1), (s_1, s_0)\}$
- $R''_n(d) = \{(w, w) \mid w \in W_n\}$  for all  $d \in \mathcal{A} \setminus \{a, b, c\}$

The set  $\mathcal{A} \setminus \{a, b, c\}$  may be empty, so this model is defined if and only if  $|\mathcal{A}| \geq 3$ . See also Figure 2.3 for a visual representation of  $\mathcal{M}''_n$ . Like in the **KD45** and **S4** cases we can restrict to formulas in a certain form.

**Definition 2.10** (*abc-form*). An  $\mathcal{L}_{\text{CP}}$  formula  $\varphi$  is in *abc-form* if all  $C_B$  operators in  $\varphi$  have  $B \in \{\{a, b\}, \{a, c\}, \{a, b, c\}\}$  and are immediately preceded by a  $[\psi]$  operator and all  $[\psi]$  operators in  $\varphi$  are immediately succeeded by a  $C_B$  operator.

**Lemma 2.6.** *For every  $\mathcal{L}_{\text{CP}}$  formula  $\varphi$  there is an  $\mathcal{L}_{\text{CP}}$  formula  $\varphi'$  such that  $\varphi'$  is in *abc-form* and for all  $n \in \mathbb{N}_{>0}$  and all  $w \in W_n$  it holds that  $\mathcal{M}''_n, w \models \varphi \Leftrightarrow \mathcal{M}''_n, w \models \varphi'$ .*

*Sketch of proof.* The proof is analogous to that of Lemma 2.4; every  $C_B$  operator with  $B \notin \{\{a, b\}, \{a, c\}, \{a, b, c\}\}$  can be replaced by either  $C_{B'}$  with  $B' \in \{\{a, b\}, \{a, c\}, \{a, b, c\}\}$  or by a normal knowledge operator  $\Box_a, \Box_b$  or  $\Box_c$ . The reduction axioms can then be used to make  $[\psi]$  and  $C_B$  only occur together.  $\square$

Formulas in *abc-form* cannot distinguish between  $s_{2n}$  and  $t_{2n}$  in  $\mathcal{M}''_n$  unless they are of sufficient depth.

**Lemma 2.7.** *Let  $n \in \mathbb{N}_{>0}$  be given. Then for any  $k \leq n$  and for any  $2k < i \leq 2n$  there is no  $\mathcal{L}_{\text{CP}}$  formula  $\varphi$  that is in *abc-form* and of depth at most  $k$  such that  $\varphi$  distinguishes between  $s_i$  and  $t_i$  in  $\mathcal{M}''_n$ .*

*Sketch of proof.* The induction on  $k$  is analogous to that in the proof of Lemma 2.5. Suppose the lemma holds for all  $k' < k$ . A formula  $\Box_x \varphi$  with  $d(\varphi) \leq k - 1$  can only distinguish between  $s_i$  and  $t_i$  if  $\varphi$  distinguishes between some  $s_j$  and  $t_j$  with  $j \geq i - 1$  but that is impossible under the induction hypothesis.

A formula  $[\varphi]C_B \psi$  with  $d(\varphi) \leq k - 1$  must have  $B \in \{\{a, b\}, \{a, c\}, \{a, b, c\}\}$  so  $s_i$  and  $t_i$  are  $B$ -reachable from each other. In order for  $[\varphi]C_B \psi$  to distinguish between the worlds it is therefore necessary that  $[\varphi]$  remove at least one world  $s_j$  or  $t_j$  with  $j \geq i$ . But by the induction hypothesis it then also removes the other world, making  $s_i$  and  $t_i$  completely indistinguishable in the remaining part of the model.  $\square$

	<b>K</b>	<b>KD45</b>	<b>S4</b>	<b>S5</b>
$ \mathcal{A}  = 1$	$\prec$	$\equiv$	$\equiv$	$\equiv$
$ \mathcal{A}  = 2$	$\prec$	$\prec$	$\prec$	$?$
$ \mathcal{A}  \geq 3$	$\prec$	$\prec$	$\prec$	$\prec$

Table 2.1: The relative expressivity of  $\mathcal{L}_{CP}$  and  $\mathcal{L}_{CPS}$  with different numbers of agents in different classes of models. A  $\prec$  entry means that  $\mathcal{L}_{CPS}$  is more expressive than  $\mathcal{L}_{CP}$  in that case, a  $\equiv$  entry means that  $\mathcal{L}_{CPS}$  and  $\mathcal{L}_{CP}$  are equally expressive.

The proof of Theorem 2.2 then follows easily.

*Proof of Theorem 2.2.* From Lemma 2.1 it follows that  $\mathcal{L}_{CP} \preceq_{\mathbf{S5}} \mathcal{L}_{CPS}$ . Let  $\varphi = [q := C_{\{a,b\}}p][p]C_A \neg q$ . For any  $n \in \mathbb{N}_{>0}$  we have  $\mathcal{M}''_n, s_{2n-1} \not\models \varphi$  and  $\mathcal{M}''_n, t_{2n-1} \models \varphi$ . The  $\mathcal{L}_{CPS}$  formula  $\varphi$  therefore distinguishes between  $s_{2n-1}$  and  $t_{2n-1}$  for every  $n \in \mathbb{N}_{>0}$ . From Lemmas 2.6 and 2.7 it follows that there is no single  $\mathcal{L}_{CP}$  formula  $\psi$  that distinguishes between  $s_{2n-1}$  and  $t_{2n-1}$  for every  $n \in \mathbb{N}_{>0}$ .

There is therefore no  $\mathcal{L}_{CP}$  formula that is equivalent to  $\varphi$  on all **S5** models, so  $\mathcal{L}_{CPS} \not\equiv_{\mathbf{S5}} \mathcal{L}_{CP}$ .  $\square$

## 2.7 Conclusion

We showed that  $\mathcal{L}_{CPS}$  is more expressive than  $\mathcal{L}_{CP}$  over **KD45**, **S4** and **S5**. Since the logic  $\mathcal{L}_R$  obtained by adding relativized common knowledge to a basic epistemic logic was shown to be equally expressive to  $\mathcal{L}_{CPS}$  in [Kooi, 2007] this also shows that  $\mathcal{L}_R$  is more expressive than  $\mathcal{L}_{CP}$  over **KD45**, **S4** and **S5**. The results presented here can therefore also be seen as an extension of the results in [van Benthem et al., 2006] that  $\mathcal{L}_R$  is more expressive than  $\mathcal{L}_{CP}$  over **K**.

One limitation of the proofs of  $\mathcal{L}_{CP} \prec_{\mathbf{KD45}} \mathcal{L}_{CPS}$ ,  $\mathcal{L}_{CP} \prec_{\mathbf{S4}} \mathcal{L}_{CPS}$  and  $\mathcal{L}_{CP} \prec_{\mathbf{S5}} \mathcal{L}_{CPS}$  given in this chapter is that they require a minimum number of agents. For the proofs of  $\mathcal{L}_{CP} \prec_{\mathbf{KD45}} \mathcal{L}_{CPS}$  and  $\mathcal{L}_{CP} \prec_{\mathbf{S4}} \mathcal{L}_{CPS}$  we need  $|\mathcal{A}| \geq 2$  and for the proof of  $\mathcal{L}_{CP} \prec_{\mathbf{S5}} \mathcal{L}_{CPS}$  we need  $|\mathcal{A}| \geq 3$ . If  $|\mathcal{A}| = 1$  then common knowledge reduces to normal knowledge in **KD45**, **S4** and **S5** due to the transitivity of the accessibility relation. This implies that  $\mathcal{L}_{CP} \equiv_{\mathbf{KD45}} \mathcal{L}_{CPS}$ ,  $\mathcal{L}_{CP} \equiv_{\mathbf{S4}} \mathcal{L}_{CPS}$  and  $\mathcal{L}_{CP} \equiv_{\mathbf{S5}} \mathcal{L}_{CPS}$  if  $|\mathcal{A}| = 1$ , as it has been shown in [Kooi, 2007] that  $\mathcal{L}_P \equiv_{\mathbf{K}} \mathcal{L}_{PS}$ .

It was also shown in [Kooi, 2007] that  $\mathcal{L}_{CP} \prec_{\mathbf{K}} \mathcal{L}_{CPS}$  for any number of agents, so the relative expressivity of  $\mathcal{L}_{CP}$  and  $\mathcal{L}_{CPS}$  can be summarized as in Table 2.1.

One obvious question for further research is whether  $\mathcal{L}_{CPS}$  is more expressive than  $\mathcal{L}_{CP}$  in **S5** with exactly two agents. I do not have a conjecture about this question, as there seem to be good reasons for assuming either position.

On the one hand, the two-agents **S5** case is unlike the other cases in several important ways. In particular, consider  $\mathcal{L}_{CPS}$  formulas of the form  $[q := \varphi][\psi]C_B q$ . This is the only combination of operators that is hard to translate to  $\mathcal{L}_{CP}$ . This formula holds in  $\mathcal{M}, w$  if and only if  $\varphi$  holds in every world that is reachable from  $w$  by passing over only  $\psi$  worlds.

In the two-agents **S5** case it holds that if two adjacent worlds  $w_1$  and  $w_2$  of  $\mathcal{M}$  satisfy  $\mathcal{M}, w_1 \models \varphi$  and  $\mathcal{M}, w_2 \not\models \varphi$  then there must be some propositional variable  $r$  with the property that  $\mathcal{M}, w_1 \models \diamond^{d(\varphi)+1} r \wedge \diamond^{d(\varphi)+1} \neg r$ . In other words, there must be nearby worlds that are distinguishable by an atomic formula. Since distinguishability

by an atomic formula is retained under public announcements, this difference can often be used as a witness after the announcement for the existence of a world that did not satisfy  $\varphi$  before the announcement. This technique can be used to translate several large fragments of  $\mathcal{L}_{\text{CPS}}$  to  $\mathcal{L}_{\text{CP}}$  for two-agent **S5**.

On the other hand, this method is not immediately applicable if  $\psi$  contains common knowledge operators. And while some auxiliary tricks can be used for simple  $\psi$  containing common knowledge such as  $\psi = C_{\mathcal{A}}p$ , it is not clear whether this technique generalizes to all  $\mathcal{L}_{\text{CPS}}$  formulas.



