

University of Groningen

Psychosocial and medical determinants of long-term patient outcomes

Prihodová, Lucia

IMPORTANT NOTE: You are advised to consult the publisher's version (publisher's PDF) if you wish to cite from it. Please check the document version below.

Document Version

Publisher's PDF, also known as Version of record

Publication date:

2014

[Link to publication in University of Groningen/UMCG research database](#)

Citation for published version (APA):

Prihodová, L. (2014). *Psychosocial and medical determinants of long-term patient outcomes: A specific focus on patients after kidney transplantation and with haemophilia*. [Thesis fully internal (DIV), University of Groningen]. [S.n.].

Copyright

Other than for strictly personal use, it is not permitted to download or to forward/distribute the text or part of it without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license (like Creative Commons).

The publication may also be distributed here under the terms of Article 25fa of the Dutch Copyright Act, indicated by the "Taverne" license. More information can be found on the University of Groningen website: <https://www.rug.nl/library/open-access/self-archiving-pure/taverne-amendment>.

Take-down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

Downloaded from the University of Groningen/UMCG research database (Pure): <http://www.rug.nl/research/portal>. For technical reasons the number of authors shown on this cover page is limited to 10 maximum.

Chapter 5

ADHERENCE IN PATIENTS IN THE FIRST YEAR AFTER KIDNEY TRANSPLANTATION AND ITS IMPACT ON GRAFT LOSS AND MORTALITY: A CROSS-SECTIONAL AND PROSPECTIVE STUDY

Lucia Prihodova, Iveta Nagyova, Jaroslav Rosenberger, Maria Majernikova,
Robert Roland, Johan W Groothoff, Jitse P Van Dijk

Journal of Advanced Nursing, in print. DOI: 10.1111/jan.12447

ABSTRACT

AIMS

Poor adherence to their immunosuppressive medication in kidney transplant recipients remains the leading preventable cause of poor patient outcomes. To explore the predictive value of adherence to their immunosuppressive medication in the first year after kidney transplantation as a determinant of graft loss and mortality up to 12 years (prospective analysis), and its association with sociodemographic and medical factors and social support (cross-sectional analysis).

METHODS

At baseline, 325 patients 3–12 months post-transplantation were invited to participate. Adherence was assessed using collateral reports – a combination of patients' self-evaluation and an estimate by their nephrologist. The patients provided sociodemographic and medical data and completed the End Stage Renal Disease Symptom Checklist and Multidimensional scale of perceived social support. At follow-up (average 7.1 years) data on patients and graft survival were obtained. All data were collected from 2002 to 2013. Multinomial regression analysis and Cox regression were performed.

RESULTS

297 patients (48.1 (12.8) years, 61.6% men) agreed to participate (response rate 91.4%). 67.4% were considered as fully adherent. Poor adherence was associated with higher risk of graft loss and mortality over 12 years. Female sex, higher education, higher perceived side-effects of corticosteroids, better perceived cardiac and renal function and higher perceived family social support in the first year post-transplantation were associated with full adherence to immunosuppressive treatment.

CONCLUSIONS

Poor adherence to the immunosuppressive medication in the first year after kidney transplantation increased the likelihood of graft loss and death over 12 years compared with the adherent patients.

KEYWORDS

adherence, graft loss, kidney transplantation, mortality, nurses/ nursing, side effects, social support

INTRODUCTION

Kidney transplantation (KT) is established as the best treatment modality for patients with end-stage renal disease due to its superior effect on quality of life, mortality and cost in comparison with other renal replacement therapies.^{1,2} However, KT requires strict adherence to a lifelong medical regimen of immunosuppressive treatment. To a great extent, adherence to such a regimen has been shown to prevent rejection and loss of a transplanted graft, consequent impairment of physical or mental functions, unnecessary pain or early death, a higher number of hospitalizations and consequently higher costs of treatment.³⁻⁷ Nevertheless, depending on the assessment method, rates of adherence vary from 50–90%, and poor adherence to immunosuppressive treatment is still the leading preventable cause of graft loss.^{8,9}

Although subjective methods based on self-reporting are suspected of leading to under-reporting the levels of non-adherence when compared with other methods^{7,10}, Griva et al. found self-reported levels of adherence to be higher than when estimated by immunosuppression serum concentrations.¹¹ A combination of self-reporting with clinicians/pharmacist reports increases the sensitivity and specificity of this method.^{9,12,13} The long-term accuracy of this assessment, such as future graft loss or mortality, is very rarely studied, however, as most research focuses instead on its determinants.

To date, the vast majority of studies exploring factors determining adherence have considered patients as either adherent or non-adherent. Recent studies, however, have stressed the consequences of subclinical non-adherence and have indicated that even a minor deviation from the prescribed medication is sufficient to lead to worse clinical outcomes.¹⁴⁻¹⁷ The World Health Organization (WHO) identified in 2003 five dimensions of adherence: social/economic, therapy related, health care system related, condition related and patient related.¹⁸ Among the latter, the most consistent determinants of nonadherence are younger age, living alone and poor social support.^{19,20} Similarly, a higher perception of adverse effects has been consistently associated with poor adherence.^{20,21} According to Laupacis et al. patients at 3 months post-KT report new symptoms related to the side-effects of immunosuppressive treatment¹, such as easy bruising/slow wound healing, adverse effects related to mood, sexuality and to changes in physical appearance.^{15,22,23} Nonadherence was found to appear early after transplantation and increase in the first 2 years,^{24,25} affecting up to half of all patients during the first 6 months after; it was also associated with increased acute rejection rates and eventual graft loss.²⁶ In order to explore these factors we used the conceptual framework described by Murray, in which he combines environmental factors, patient characteristics and medication adherence as a process that ultimately affects patient outcomes.²⁷

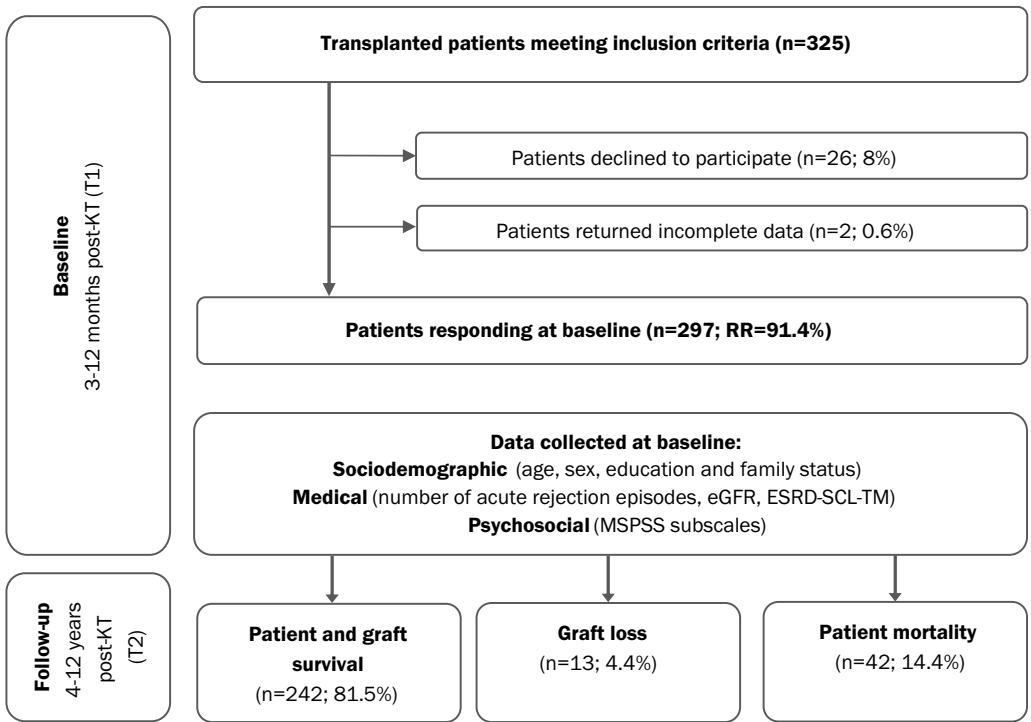
The aims of this study were to explore the predictive value of adherence to immunosuppressive medication in the first year after kidney transplantation as a determinant of graft loss and

mortality up to 12 years (prospective analysis), and its association with socio-demographic and medical factors and social support (cross-sectional analysis). Furthermore, we focused on the association of socio-demographic factors, medical factors (kidney function, side-effects) and social support with different levels of adherence, assessed by the method most accessible in the clinical environment: patient-rated and physician-rated adherence in the first year after KT.

METHODS

SAMPLE

All consecutive patients from the Louis Pasteur University Hospital Transplantation centre in Kosice, Slovakia, who met the inclusion criteria, were asked to participate. To be included in the study at baseline patients had to fulfil the following criteria: to be 3–12 months after KT; to be in a relatively stable condition, such as not being hospitalised or treated for rejection at the time of interview; to have a functioning graft; and to have no psychiatric diseases, including severe dementia and mental retardation, listed in their medical records. If patients were hospitalised or unstable at 3–12 months post-KT, their assessment was deferred by 1 month. If they were still unstable at this point, they were excluded from the study due to not meeting the inclusion criteria. Patients received their immunosuppressive medication independently from this study, based solely on the decision of their transplant nephrologists; in line with standard recommendations issued by the ‘Kidney Disease Improving Global Outcomes’ (KDIGO) Clinical Practice Guideline for the care of kidney transplant recipients.²⁸ Out of the total number of patients visiting the transplantation centre in Kosice, 325 met the inclusion criteria and were asked to participate. Of these, 8% (26) declined to participate, and of the remaining 299 a further 0.6% (2) provided incomplete data; thus, the final number of participants was 297 (91.4%). The Mann-Whitney U-test and Chi-square analyses did not indicate significant differences between respondents and non-respondents regarding age and sex. At follow-up, patients had to be a minimum of 4 and a maximum of 12 years post-transplantation. At T1, 3–12 months after transplantation, data collection of all socio-demographic, medical and psychosocial data was undertaken. T1 data collection was performed from the year 2002 to the year 2009 (T1). At follow-up in 2013 data on patient status (graft loss and mortality) were collected (T2) (Figure 5.1). The local Ethics Committee approved the study.

Figure 5.1 Flow-chart diagram of the data collection

eGFR – kidney function; MSPSS - Multidimensional Scale of Perceived Social Support, ESRD-SCL TM - End-Stage Renal Disease Symptom Checklist – Transplantation Module

MEASURES

SOCIODEMOGRAPHIC DATA (T1)

The socio-demographic variables—age, sex, education, average income and marital status—were obtained in a structured interview by a trained interviewer. Educational background was categorised into 3 groups: primary, secondary and university education. Average income was first evaluated by dividing the household budget by the number of persons in the household and then categorised based on the minimum wage in Slovak Republic as follows: low (lower than 1.5 times the minimum wage); average (1.5 times to 2 times the minimum wage) and high (higher than 2 times the minimum wage). Marital status was represented by 2 options: living alone (single, divorced, widowed) and cohabitating (married/living in a cohabitating relationship). All of the sociodemographic variables were used for group comparison; however, only sex, education and marital status were used in the analysis. Female sex, post-secondary education and cohabitating were used as reference categories.

MEDICAL DATA (T1)

Information about medical variables was taken from patient medical records. The observed medical variables were kidney function, time since transplantation (in months) and number of acute rejection episodes. The estimated glomerular filtration rate (eGFR) was calculated using the CKD-EPI formula (in millilitres per minutes).^{29, 30}

GRAFT LOSS AND MORTALITY (T2)

At follow-up 3-11years after the first data collection, information about each patient's status was taken from medical records, cross-checking it with the transplantation statistical report of the hospital. A patient's status was categorised as either with functioning graft, graft loss or deceased. No patients were re-transplanted during the follow-up period.

ADHERENCE (T1)

Evaluation of adherence was obtained in a confidential structured interview by a trained interviewer and was based on collateral reports – a combination of the self-evaluation of adherence by the patient and an estimate of the patient's adherence by his/her nephrologist based on their regular check-ups and clinical results.^{4, 31-33} In a confidential interview patients were asked: "Over the last month, how often did you skip a dose, delay taking a dose by more than 2 hours or changed the timing of a dose?" They were instructed to rate their adherence on a scale from 1 to 5, where excellent adherence was represented by 1 (patient did not break the prescribed regimen over the past month), 2 (once over the past month), 3 (2-3 times over the past month), 4 (once per week over the past month) and 5 represented very poor adherence (more than 2 times a week). Subsequently, the nephrologist was interviewed about each patient's adherence to the immunosuppression therapy using the same scale while taking into consideration his/her opinion on variations in immunosuppressant levels or knowledge about prescribed and used immunosuppressants. Patients were considered to be adherent only if they declared their adherence by themselves as excellent, in agreement with their physician's opinion. Collateral reports as an assessment of adherence have been previously used in patients after kidney transplantation³² and found to be highly accurate when compared with electronic monitoring.⁹

SIDE-EFFECTS OF TREATMENT (T1)

Side-effects of immunosuppressive treatment were assessed using the End-Stage Renal Disease Symptom Checklist – Transplantation Module (ESRD SCL-TM)³⁴, which consists of 43 items making up 6 subscales: limited physical capacity, limited cognitive capacity, cardiac and renal dysfunction, side effects of corticosteroids, increased growth of gums and hair, and transplantation-

associated psychological distress. The number of the items for each subscale varies from 5 to 10, and for each item patients estimate the severity of the symptom on a scale from 0 (not at all) to 5 (extremely). Afterwards an index for each symptom is computed by dividing the severity index score by the number of items in the subscales, with higher score indicating higher severity. The End-Stage Renal Disease Symptom Checklist – Transplantation Module (ESRD-SCL-TM) has been validated in the Slovak population.³⁵

The Cronbach's α of the ESRD-SCL-TM subscales was previously reported between 0.76–0.85.³⁴ In our sample Cronbach's α varied from 0.83 (for increased growth of hair and gums) to 0.89 (for limited physical activity).

PERCEIVED SOCIAL SUPPORT (T1)

Patients also completed the Multidimensional Scale of Perceived Social Support (MSPSS),³⁷ a 12-item self-report scale that consists of 3 subscales, each assessing perceived availability and satisfaction with support received from either family, friends or a “significant other.” Each item represents a statement, e.g. “There is a special person who is around when I am in need.” and the patient is asked to rate it on a 7-point scale, where 1 represents fully disagree and 7 fully agree. The totals for each subscale are added up, with a maximum 28 points per subscale; a higher score indicates more social support. The Multidimensional Scale of Perceived Social Support (MSPSS) has been validated in the Slovak population.³⁵

The MSPSS³⁷ has been extensively used in end-stage renal disease patients,^{38–40} with a reported Cronbach's α ranging from 0.85–0.91.³⁷ In our sample the Cronbach's α for the subscale ranged between 0.90 and 0.93.

STATISTICAL ANALYSIS

Frequencies, means and standard deviations were calculated for the sample description. The Mann-Whitney U-test, Kruskal-Wallis Test and Chi-square test were used to test the differences in age and sex between respondents and non-respondents as well as between the adherence groups in patients. Then, two two-step Cox regression analyses were performed to determine the association between adherence as an independent variable in the first year post-KT and graft loss and mortality at follow-up. In first step, sex, age and education were analysed; in the second step adherence was added to the analysis. To avoid any analysis of robustness issues, we included bootstrapping in the Cox regression analysis. The analysis used 2000 bootstrap resamples and a bias-corrected and accelerated 95% confidence interval (CI). Finally, multinomial logistical regression analysis was performed to identify the determinants of excellent and good adherence. Adherence was set as the dependent variable, with the poor adherence group set as a reference. Sex, education and

family status were set as factor variables, and age, number of acute rejection episodes, eGFR, ESRD-SCL-TM subscales and the MSPSS subscales were set as covariants in the main-effect model. IBM SPSS 20 for Windows was used to analyse the data (IBM Company, Chicago, Illinois, USA).

RESULTS

Both the patients' reports of their own adherence and their physicians' estimates were slightly skewed, as over 80% of patients and physicians scored 1 (no deviation from the prescribed regimen). In previous research using self-reports, the cut-off score for non-adherence varies as either skipping 1 or more doses a month^{32, 41, 42} or 2 or more doses a month.^{43, 44} Accordingly, our sample was split into 3 adherence groups: Group 1: "Excellent adherence" (67.4% of the sample) consisting of patients where both patients and nephrologists reported not missing any doses over the past month; Group 2: "Good adherence" (26.3% of the sample) one or both reported 2; and Group 3: "Poor adherence" (6.3% of the sample): one or both scored 3 or over. (Figure 5.2)

When comparing the 3 adherence groups (Table 1), no significant differences were present regarding sociodemographic or medical factors. Significant differences were found when comparing the Excellent adherence and Good adherence groups in the self-reported adverse effects in three scales of ESRD-SCL-TM: Limited Cognitive capacity ($p \leq 0.01$), Increased Gum and Hair Growth ($p \leq 0.01$) and Transplantation related Psychological Distress ($p \leq 0.05$) and all subscales of Perceived Social Support Scales ($p \leq 0.01$) (Table 2). The basic characteristics of the sample are shown in Table 5.1 and Table 5.2.

Figure 5.2 Adherence groups according to patients' own and a nephrologist's evaluation

		Patient's evaluation [†]		
		1	2	3
Nephrologist's evaluation [‡]	1	200 (67.4%)	29 (9.8%)	4 (1.3%)
	2	37 (12.5%)	12 (4%)	0
	3	6 (2%)	9 (3%)	0

[†]Patient's evaluation: Over the last month, how often did you skip a dose, change a dose or delay taking your medication by more than 2 hours? 1: no deviation from prescribed regimen over the past month; 2: forgot or delayed one dose over the past month; 3: forgot or delayed 2 or more times over the past month;

[‡]Nephrologist's evaluation: Taking into consideration immunosuppressant level variations of the patient, please rate their adherence to their immunosuppressive treatment over the last month 1: estimates patients did not deviate from prescribed regimen over the past month; 2: estimates patients forgot or delayed one dose over the past month; 3: estimates patients forgot or delayed; 2 or more doses over the past month

Table 5.1 Sociodemographic and medical characteristics of the sample

	Excellent adherence n=200 N(%) or AM (SD)	Good adherence n=78 N(%) or AM (SD)	Poor adherence n=19 N(%) or AM (SD)	Whole Sample n=297 N(%) or AM (SD)
Sociodemographic variables				
Sex				
Men/Women	113 (56.5)/87 (43.5)	55 (70.5)/23 (29.5)	15 (78.9)/4 (21.1)	183 (61.6) /114 (38.4)
Age	46.71 (12.81)	50.64 (11.97)	52.22 (14.36)	48.11 (12.8)
Education				
Primary/Secondary/Post-secondary	19 (9.5)/94 (47) /87 (43.5)	16 (20.5)/37 (47.4) /25 (32.1)	6 (31.6)/8 (42.1) /5 (26.3)	41 (13.81)/139 (46.8)/117 (39.39)
Income ¹				
Low/Average/High	107 (53.5)/40 (20) /53 (26.5)	58 (74.4)/2 (2.6)/18 (23)	12 (63.2)/2 (10.5)/ 5 (26.3)	177 (59.6)/44 (14.8)/76 (25.6)
Family status ²				
Living alone/Cohabiting	67 (33.5)/133 (66.5)	33 (42.3)/45 (57.7)	8 (42.1)/11 (57.9)	108 (36.4)/ 189 (63.6)
Medical variables				
Time from KT (months)	7.69 (3.49)	7.69 (3.39)	8.13 (4.35)	7.74 (4.21)
Kidney function (eGFR-Levey, ml/min)	55.7 (18.57)	56.86 (20.43)	49.23 (16.88)	54.73 (20.16)
Number of acute rejection episodes	0.44 (0.57)	0.67 (0.63)	0.33 (0.65)	0.49 (0.6)
Type of acute rejection episodes				
None/Cellular/Humoral/Combined /Biopsy not performed	131 (65.5) /17 (8.5)/7 (3.5) /4 (2)/41 (20.5)	35 (44.9)/9 (11.5)/12 (15.4)/2 (2.6)/20 (25.6)	15 (79)/-/-/-/4 (21)	181 (60.9)/26 (8.8)/19 (6.4)/6 (2)/65 (21.9)
Organ donor				
Deceased/Living	183 (91.5)/ 17 (8.5)	75 (96.2)/ 3 (3.8)	19 (100)/-	277 (93.3)/20 (6.7)
Dialysis before KT				
Haemodialysis/Peritoneal dialysis /Both	146 (73)/33 (16.5) /21 (10.5)	64 (82.1)/2 (2.6)/12 (15.3)	17 (89.5)/2 (10.5)/-	227 (76.4)/37 (12.5)/33 (11.1)
Duration of dialysis (years)	3.34 (2.52)	3.5 (2.83)	3.12 (2.33)	3.37 (2.57)
Primary kidney disease				
Glomerulonephritis/Tubointerstitial nephritis/Polycystic kidneys/Diabetes mellitus/Other or unknown causes	80 (40)/35 (17.5) /13 (6.5)/14 (7) /58 (29)	30 (38.5)/20 (25.6)/3 (3.8)/11 (14.1)/14 (17.9)	2 (10.5)/2 (10.5)/3 (15.8)/4 (21.1)/8 (42.1)	112 (37.7)/57 (19.2)/19 (6.4)/29 (9.8)/80 (26.9)
Current immunosuppressive protocol				
Pred ³ + CsA ⁴ + MMF ⁵ /Pred + MMF + Tac ⁶ /CsA + MMF/Other	134 (67)/44 (22)/12 (6)/10 (5)	45 (57.7)/23 (29.5)/6 (7.7)/4 (5.2)	17 (89.5)/-/-2 (10.5)/-	196 (66)/67 (22.5)/20 (6.7)/14 (5.8)
Mortality				
Average follow-up (years)	7.59 (2.11)	8.5 (2.23)	7.69 (2.14)	7.82 (2.17)
Patient mortality/ Graft loss	23 (11.5)/9 (4.5)	12 (15.4)/2 (2.6)	7 (36.8)/2 (10.5)	42 (14.1)/13 (4.4)

¹Low (≤ 1.5 times the min. wage), Average (1.5-2 times the min. wage), High (≥ 2 times the min. wage);²Cohabiting (Married/ In a cohabitating relationship), Living alone (Single/ Divorced/ Widowed);³Pred – prednisone; ⁴CsA – cyclosporine A; ⁵MMF – mycophenolate mofetil; ⁶Tac – tacrolimus;

Table 5.2 Characteristics of the sample: Side-effects of Immunosuppressive treatment and Social support

	Excellent adherence n=200 N(%) or AM (SD)	Good adherence n=78 N(%) or AM (SD)	Poor adherence n=19 N(%) or AM (SD)	Whole Sample n=297 N(%) or AM (SD)
Side-effects of Immunosuppressive treatment (ESRD-SCL TM)				
Limited physical capacity	1.36 (0.8)	1.57 (0.68)	1.58 (0.69)	1.43 (0.77)
Limited cognitive capacity**	0.97 (0.71)	1.32 (0.64)	1.06 (0.74)	1.06 (0.71)
Side effects of corticosteroids	1.03 (0.75)	1.15 (0.76)	0.86 (0.63)	1.05 (0.74)
Cardiac and renal dysfunction	0.88 (0.75)	1.1 (0.64)	1.16 (0.82)	0.96 (0.73)
Increased growth of gums and hair**	0.59 (0.59)	0.96 (0.92)	0.63 (0.78)	0.69 (0.72)
KT-related psychological distress*	1.12 (0.71)	1.38 (0.72)	1.34 (0.62)	1.21 (0.72)
Social support (MSPSS)				
Social Support - Family**	25.34 (2.66)	23.87 (3.96)	24.38 (2.27)	24.33 (3.73)
Social Support - Friend*	22.29 (3.45)	20.67 (3.87)	21.38 (2.79)	24.89 (3.09)
Social Support - Significant Other *	24.85 (3.66)	23.27 (3.56)	23.32 (4.18)	21.8 (3.58)

MSPSS - Multidimensional Scale of Perceived Social Support, ESRD-SCL TM - End-Stage Renal Disease Symptom Checklist – Transplantation Module, * p≤0.05, **p≤0.01

GRAFT LOSS AND MORTALITY

Information on graft loss and on patient mortality was collected 3-11 years after the first data collection, with an average follow-up period of 7.1 (2.2) years.

The χ^2 of the Cox regression model 1 for graft loss was 16.77. According to the bootstrap analysis, age (HR 0.9, p≤0.05), sex (HR 0.02, p≤0.001) and poor adherence (HR 6.03, p≤0.05) contributed significantly to this model. Younger age, male sex and poor adherence significantly increased the odds of future graft loss.

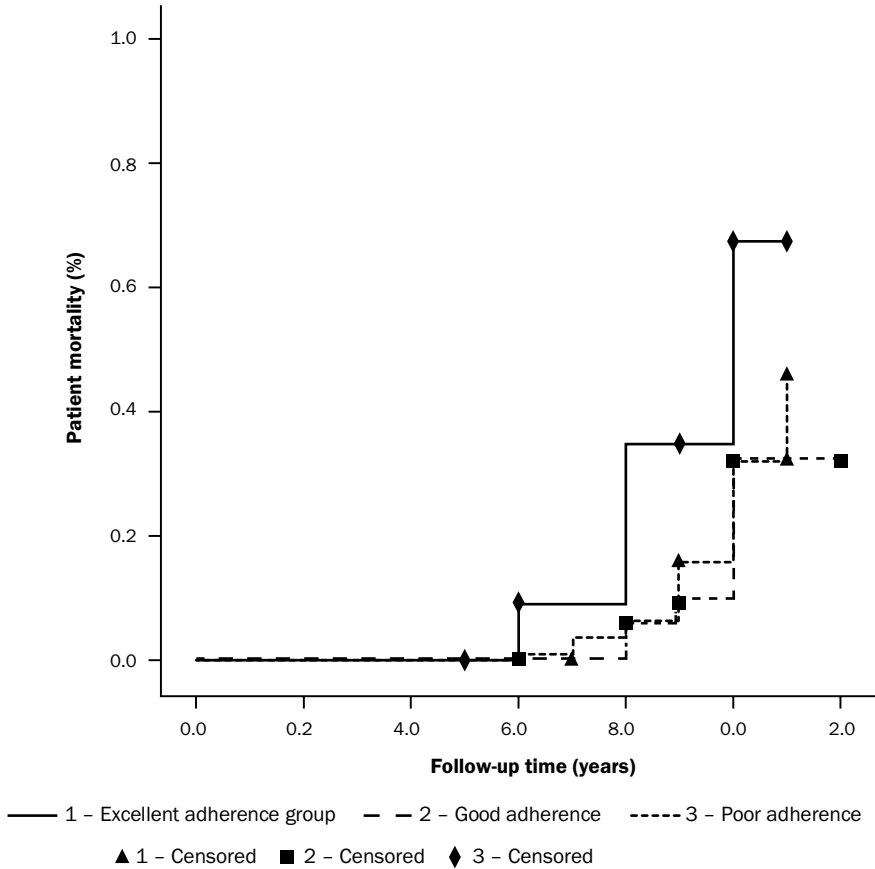
The χ^2 of the Cox regression model 1 for mortality was 12.1, with poor adherence as the single factor significantly contributing to this model (HR 3.07, p≤0.05) (Figure 5.3; Table 5.3).

Table 5.3 Final models of Cox regression containing predictors of graft loss and mortality

Model for graft loss (n=13)		Score 2Log Likelihood 40.95			χ^2 16.77**	
	B	SE	95%CI	Wald	HR	95%CI for HR
Age	-0.1*	0.05	(-0.18- -0.1)	-4.34*	0.9	(0.82-0.99)
Sex						
Female (reference)						
Male	-3.76***	5.18	(-20.35- -2.36)	5.07*	0.02	(0.001-6.2)
Excellent Adherence (reference)						
Good Adherence	ns					
Poor Adherence	3.88 ***	4.62	(1.79-15.14)	3.09	6.03	(0.46-78.55)
Model for mortality (n=42)		Score 2Log Likelihood 197.47			χ^2 12.1*	
	B	SE	95%CI	Wald	HR	95%CI for HR
Age	ns			ns		
Sex						
Female (reference)						
Male	ns			ns		
Excellent Adherence (reference)						
Good Adherence	ns			ns		
Poor Adherence	1.28*	1.35	(1.02-3.03)	3.98*	3.07	(1.02-9.25)

Ns - not significant; * p<0.05; ** p<0.01; *** p<0.001

Figure 5.3 Kaplan Meier Curve – Differences in patients’ mortality according to patients’ adherence groups



FACTORS ASSOCIATED WITH EXCELLENT AND GOOD ADHERENCE

When analysing the factors associated with excellent and good adherence, the model produced by multinomial regression explained 42.7% of variance. Female patients with higher education, a higher number of perceived side-effects of corticosteroids (ESRD-SCL-TM), better perceived cardiac and renal function (ESRD-SCL-TM) and higher perceived family social support in their first year post-KT were more likely to belong to the excellent adherence group than to the poor adherence group. Similarly, patients reporting a higher number of perceived side-effects of corticosteroids (ESRD-SCL-TM), better perceived cardiac and renal function (ESRD-SCL-TM) and higher perceived family social support in their first year post-KT were more likely to belong to the good adherence group than to the poor adherence group (Table 5.4).

Table 5.4 Multinomial regression analysis (main-effect model): factors associated with excellent and good adherence in the first year post-KT

		Factors associated with excellent adherence [†]			Factors associated with good adherence [†]		
		Wald	Exp (B)	95%CI	Wald	Exp (B)	95%CI
Intercept		ns			ns		
Age		ns			ns		
Sex ¹		4.37*	0.003	(0.00-0.7)	ns		
Family status		ns			ns		
Education ²	Primary	5.47*	0.007	(0.00-0.45)	ns		
	Secondary	ns			ns		
Kidney function (eGFR)		ns			ns		
Number of acute rejection episodes		ns			ns		
Limited physical capacity		ns			ns		
Limited cognitive capacity		ns					
Side effects of corticosteroids	(ESRD-SCL-TM)	8.04**	154.03	(4.73-5013.73)	6.52**	89.87	(2.84-2839.95)
Cardiac and renal dysfunction	(ESRD-SCL-TM)	5.11*	0.02	(0.00-0.58)	4.94*	0.16	(0.00-0.62)
Increased gum and hair growth		ns			ns		
KT-related psychological distress		ns			ns		
Social support - Family	(MSPSS)	7.56**	2.73	(1.33-5.59)	5.06*	2.3	(1.14-4.76)
Social support - Friends	(MSPSS)	ns			ns		
Social support - Significant Other	(MSPSS)	ns			ns		

Nagelkerke pseudo R²=42.7%[†]Reference category: poor adherence group; ¹Reference category: Female sex;²Reference category: University; *p<0.05; **p<0.01; ESRD-SCL-TM: End-Stage Renal Disease Symptom Checklist; MSPSS: Multidimensional Scale of Social Support

DISCUSSION

This study explored the different levels of adherence as reported by patients and physicians in the first year after kidney transplantation and the long term clinical consequences of poor adherence in terms of graft loss and mortality and factors associated with adherence as well. We found that poor adherence predicted mortality, but not graft loss. Regarding factors associated with excellent

adherence, we found that female patients with higher education, a higher number of perceived side-effects of corticosteroids, better perceived cardiac and renal function and higher perceived family social support in their first year post-KT were more likely to belong to the excellent adherence group than to the poor adherence group. The last three factors also made it more likely for patients to belong to the good adherence group than to the poor adherence group.

In line with the literature, the vast majority of the patients (67.4%) rated themselves and were considered by their physicians as fully adherent to their prescribed immunosuppressive regimen, with only 26.3% admitting to skipping/or being suspected of skipping or changing one dose over the past month, and only 6.3% admitting/being suspected of skipping or changing more than 2 doses over the past month.^{6, 7, 45} Clinical consequences such as graft loss have been confirmed as being linked to poor adherence^{8, 26, 46}; this was also confirmed in our sample – patients who admitted or were considered as delaying, skipping or altering their medication twice a month in the first year after kidney transplantation were more likely to lose their graft or to die in the future. In this study we found no association between sub-clinical adherence (delaying, skipping or altering their medication once a month) and poor long-term patient outcomes. The ‘poor adherence’ group of patients did contain a higher percentage of patients with diabetes, polycystic kidneys or systemic diseases listed as their primary kidney disease, and although these differences were not significant, we cannot rule out that the burden of their primary disease could have affected their survival. It is also quite possible that patients who are not adhering to their immunosuppressive treatment have a tendency to skip their other medications, too, which in turn can increase their odds of dying. Unfortunately, in this study we only focused on the immunosuppressive treatment and were not able to verify this theory.

Contrary to our results, some previous studies found age to be positively associated with adherence, favouring the group of patients between their late forties and early sixties over patients in their twenties^{24, 47, 48}, while others contradict these results.⁴⁹ In spite of the slight differences between the groups regarding their adherence, the multinomial regression produced models with some differences between the excellent and good adherence group. The excellent adherence group consisted of significantly more females and patients with higher education. Both groups reported a higher number of perceived side-effects of corticosteroids (ESRD-SCL-TM), better perceived cardiac and renal function (ESRD-SCL-TM) along with higher perceived family social support in their first year post-KT in comparison with the poor adherence group. It appears that patients in their first year post-transplant are able to tolerate some negative side-effects of immunosuppressive treatment and not deviate from the prescribed regimen more than once a month, as long as they are able to also perceive the positive impact it has on their overall health. On the other hand, patients not receiving sufficient social support from their family were more likely to behave less adherent.

It is possible, that family support provides additional benefits to maintaining adherence, ones that a “friend” or “significant other” may not be able to facilitate, whether it is instrumental support with immunosuppression – collecting medication from the pharmacy, daily reminders to take medication or being available when dealing with physical and psychological side-effects of treatment. In our sample, more than 90% of the patients were treated with a protocol containing a corticosteroid or prednisone, which are commonly associated with higher side-effects.^{50, 51} Surprisingly, patients reporting more corticosteroid-related side effects also tended to be more adherent. These patients, however, also perceived their cardiac and renal function as better, which could hypothetically mean that patients can endure side-effects without altering their adherence as long as they are convinced that the treatment is effective. Since the literature suggests increased rates of non-adherence over time, it is possible that this effect eventually wears off.^{6, 7, 46}

In line with previous findings, family social support was found to be associated with better adherence.¹⁹ Similarly, in our study the more support patients received from their families, the more likely they were to fully adhere to their prescribed medication and vice versa – the less support from family patients perceived, the more likely they were to break their prescribed regimen.

STRENGTHS AND LIMITATIONS OF THE STUDY

The main strength of this study is the combination of sociodemographic, medical and psychosocial variables in a prospective follow-up for a minimum of 3 and a maximum of 12 years. We used collateral reports to assess adherence, as the most cost-effective way of monitoring adherence in a clinical environment.⁹ Another strength of this study is the fact that the average number of patients undergoing kidney transplant at the Louis Pasteur University Hospital Transplantation centre in Kosice, Slovakia, during the observation period was 31.4 per year – representing about one quarter or all kidney transplantations carried out in Slovakia. Therefore, our cohort contained a substantial proportion of national transplant recipients, and for this study all consecutive patients fitting the inclusion criteria were asked to participate to prevent selection bias. However, this may also be considered as one of the limitations of the study – all of our patients were enrolled from a single centre, and the sample consisted of rather younger and predominantly white Caucasian patients, and the number of patients who lost their graft or died was quite small; therefore, our findings cannot be generalized without further consideration. Similarly, we have limited information on patients who dropped out prior to the start of this study due to graft loss or mortality. Similarly, it is difficult to determine the adherence rates in patients who did not agree to participate in the study. Finally, as this was an experimental observational study, causal associations between predictors and outcomes cannot be definitely confirmed.

CONCLUSIONS

We found that older males with lower education, lower social support from family and worse perceived kidney function were more likely to skip/alter 2 or more doses of their prescribed immunosuppressive medication per month in their first year after successful kidney transplant. In our sample, subclinical non-adherence was not associated with worse patient outcomes. However, poor adherence in the first year post-transplantation was associated with increased risk of poor future graft and patient outcomes in the following 12 years. The results show that medical staff and intervention programmes need to target patients who admit to skipping/changing even as little as one dose every 2 weeks in their first year after transplantation due to the potential severe consequences. Special attention should be paid to the side-effects reported by patients and to their social support network, especially their family. Further research is needed to determine the pathways between adherence and future patient outcomes and other factors that come to play in this process, such as depression, functional status and overall health-related quality of life.

REFERENCES

1. Laupacis A, Keown P, Pus N, et al. A study of the quality of life and cost-utility of renal transplantation. *Kidney Int.* 1996;50(1):235-242.
2. Wolfe RA, Ashby VB, Milford EL, et al. Comparison of mortality in all patients on dialysis, patients on dialysis awaiting transplantation, and recipients of a first cadaveric transplant. *N Engl J Med.* 1999;341(23):1725-1730.
3. Brickman AL and Yount SE. Noncompliance in end-stage renal disease: A threat to quality of care and cost containment. *J Clin Psychol Med S.* 1996;3(4):399-412.
4. Laederach-Hofmann K and Bunzel B. Noncompliance in organ transplant recipients: A literature review. *Gen Hosp Psychiatry.* 2000;22(6):412-424.
5. Dickenmann MJ, Nিকেleit V, Tsinalis D, et al. Why do kidney grafts fail? A long-term single-center experience. *Transpl Int.* 2002;15(9-10):508-514.
6. Butler JA, Roderick P, Mullee M, et al. Frequency and impact of nonadherence to immunosuppressants after renal transplantation: A systematic review. *Transplantation.* 2004;77(5):769-776.
7. Denhaerynck K, Dobbels F, Cleemput I, et al. Prevalence, consequences, and determinants of nonadherence in adult renal transplant patients: a literature review. *Transpl Int.* 2005;18(10):1121-1133.
8. Denhaerynck K, Burkhalter F, Schafer-Keller P, et al. Clinical consequences of non adherence to immunosuppressive medication in kidney transplant patients. *Transpl Int.* 2009;22(4):441-446.
9. Schafer-Keller P, Steiger J, Bock A, et al. Diagnostic accuracy of measurement methods to assess non-adherence to immunosuppressive drugs in kidney transplant recipients. *Am J Transplant.* 2008;8(3):616-626.
10. Fine RN, Becker Y, De Geest S, et al. Nonadherence Consensus Conference Summary Report. *Am J Transplant.* 2009;9(1):35-41.
11. Griva K, Davenport A, Harrison M, Newman SP. Non-adherence to Immunosuppressive Medications in Kidney Transplantation: Intent Vs. Forgetfulness and Clinical Markers of Medication Intake. *Ann Behav Med.* 2012;44(1):85-93.
12. Mitchell B, Armour C, Lee M, et al. Diabetes Medication Assistance Service: The pharmacist's role in supporting patient self-management of type 2 diabetes (T2DM) in Australia. *Patient Educ Couns.* 2011;83(3):288-294.
13. Penn C, Watermeyer J, Evans M. Why don't patients take their drugs? The role of communication, context and culture in patient adherence and the work of the pharmacist in HIV/AIDS. *Patient Educ Couns.* 2011;83(3):310-318.

14. De Geest S, Borgermans L, Gemoets H, et al. Incidence, determinants, and consequences of subclinical noncompliance with immunosuppressive therapy in renal transplant recipients. *Transplantation*. 1995;59(3):340-347.
15. Moons P, De Geest S, Abraham I, et al. Symptom experience associated with maintenance immunosuppression after heart transplantation: Patients' appraisal of side effects. *Heart Lung*. 1998;27(5):315-325.
16. Nevins TE and Thomas W. Quantitative Patterns of Azathioprine Adherence After Renal Transplantation. *Transplantation*. 2009;87(5):711-718.
17. Takemoto SK, Pinsky BW, Schnitzler MA, et al. A retrospective analysis of immunosuppression compliance, dose reduction and discontinuation in kidney transplant recipients. *Am J Transplant*. 2007;7(12):2704-2711.
18. World Health Organisation. Adherence to long term therapies. Evidence for action. 2003:209.
19. DiMatteo MR. Social support and patient adherence to medical treatment: A meta-analysis. *Health Psychol*. 2004;23(2):207-218.
20. Denhaerynck K, Steiger J, Bock A, et al. Prevalence and risk factors of non-adherence with immunosuppressive medication in kidney transplant patients. *Am J Transplant*. 2007;7(1):108-116.
21. Korb-Savoldelli V, Sabatier B, Gillaizeau F, et al. Non-adherence with drug treatment after heart or lung transplantation in adults: A systematic review. *Patient Educ Couns*. 2010;81(2):148-154.
22. Matas AJ, Halbert RJ, Barr ML, et al. Life satisfaction and adverse effects in renal transplant recipients: a longitudinal analysis. *Clin Transplant*. 2002;16(2):113-121.
23. Moons P, Vanrenterghem Y, Van Hooff JP, et al. Health-related quality of life and symptom experience in tacrolimus-based regimens after renal transplantation: a multicentre study. *Transpl Int*. 2003;16(9):653-664.
24. Couzi L, Moulin B, Morin M, et al. Factors Predictive of Medication Nonadherence After Renal Transplantation: A French Observational Study. *Transplantation*. 2013;95(2):326-332.
25. Massey EK, Tielen M, Laging M, et al. The role of goal cognitions, illness perceptions and treatment beliefs in self-reported adherence after kidney transplantation: A cohort study. *J Psychosom Res*. 2013;75(3):229-234.
26. Nevins TE and Matas AJ. Medication noncompliance: another iceberg's tip. *Transplantation*. 2004;77(5):776-778.

27. Murray MD, Morrow DG, Weiner M, et al. A conceptual framework to study medication adherence in older adults. *Am J Ger Pharmacother.* 2004;2(1):36-43.
28. Kidney Disease: Improving Global Outcomes (KDIGO) Transplant Work Group. KDIGO clinical practice guideline for the care of kidney transplant recipients. *Am J Transplant.* 2009;9 Suppl 3:S1-155.
29. Levey AS, Stevens LA, Schmid CH, et al. A New Equation to Estimate Glomerular Filtration Rate. *Ann Intern Med.* 2009;150(9):604-U7.
30. Levey AS and Stevens LA. Estimating GFR Using the CKD Epidemiology Collaboration (CKD-EPI) Creatinine Equation: More Accurate GFR Estimates, Lower CKD Prevalence Estimates, and Better Risk Predictions. *Am J Kidney Dis.* 2010;55(4):622-627.
31. Denhaerynck K, Schafer-Keller P, Young J, et al. Examining assumptions regarding valid electronic monitoring of medication therapy: development of a validation framework and its application on a European sample of kidney transplant patients. *Bmc Med Res Methodol.* 2008;8.
32. Rosenberger J, Geckova AM, van Dijk JP, et al. Prevalence and characteristics of noncompliant behaviour and its risk factors in kidney transplant recipients. *Transplant Int.* 2005;18(9):1072-1078.
33. Greenstein S and Siegal B. Compliance and noncompliance in patients with a functioning renal transplant: a multicenter study. *Transplantation.* 1998;66(12):1718-1726.
34. Franke GH, Reimer J, Kohnle M, et al. Quality of life in end-stage renal disease patients after successful kidney transplantation: Development of the ESRD symptom checklist - Transplantation module. *Nephron.* 1999;83(1):31-39.
35. Nagyova I, Benka J, Chylova M, et al. Measuring health and quality of life in the chronically ill. *Kosice;* 2009.
36. Franke GH, Reimer J, Philipp T, Heemann U. Aspects of quality of life through end-stage renal disease. *Qual Life Res.* 2003;12(2):103-115.
37. Zimet GD, Dahlem NW, Zimet SG, Farley GK. The Multidimensional Scale of Perceived Social Support. *J Pers Assess.* 1988;52(1):30-41.
38. Kimmel PL, Peterson RA, Weihs KL, et al. Psychosocial factors, behavioral compliance and survival in urban hemodialysis patients. *Kidney Int.* 1998;54(1):245-254.
39. Shidler NR, Peterson RA, Kimmel PL. Quality of life and psychosocial relationships in patients with chronic renal insufficiency. *Am J Kidney Dis.* 1998;32(4):557-566.
40. Cohen SD, Patel SS, Khetspal P, et al. Pain, sleep disturbance, and quality of life in patients with chronic kidney disease. *Clin J Am Soc Nephrol.* 2007;2(5):919-925.

41. Siegal BR and Greenstein SM. Postrenal transplant compliance from the perspective of African-Americans, Hispanic-Americans, and Anglo-Americans. *Adv Ren Replace Ther.* 1997;4(1):46-54.
42. Greenstein S and Siegal B. Odds probabilities of compliance and noncompliance in patients with a functioning renal transplant: a multicenter study. *Transplant Proc.* 1999;31(1-2):280-281.
43. Raiz LR, Kilty KM, Henry ML, Ferguson RM. Medication compliance following renal transplantation. *Transplantation.* 1999;68(1):51-55.
44. Vasquez EM, Tanzi M, Benedetti E, Pollak R. Medication noncompliance after kidney transplantation. *Am J Health Syst Pharm.* 2003;60(3):266-269.
45. Butler JA, Peveler RC, Roderick P, et al. Modifiable risk factors for non-adherence to immunosuppressants in renal transplant recipients: a cross-sectional study. *Nephrol Dial Transplant.* 2004;19(12):3144-3149.
46. Morrissey PE, Flynn ML, Lin S. Medication noncompliance and its implications in transplant recipients. *Drugs.* 2007;67(10):1463-1481.
47. Chisholm-Burns M, Pinsky B, Parker G, et al. Factors related to immunosuppressant medication adherence in renal transplant recipients. *Clin Transplant.* 2012;26(5):706-713.
48. Russell CL, Ashbaugh C, Peace L, et al. Time-in-a-bottle (TIAB): a longitudinal, correlational study of patterns, potential predictors, and outcomes of immunosuppressive medication adherence in adult kidney transplant recipients. *Clin Transplant.* 2013;27(5):E580-E590.
49. Russell CL, Cetingok M, Hamburger KQ, et al. Medication Adherence in Older Renal Transplant Recipients. *Clin Nurs Res.* 2010;19(2):95-112.
50. Reimer J, Franke GH, Philipp T, Heemann U. Quality of life in kidney recipients: comparison of tacrolimus and cyclosporine-microemulsion. *Clin Transplant.* 2002;16(1):48-54.
51. Franke GH, Trampenau C, Reimer J, et al. Switching from cyclosporine to tacrolimus leads to improved disease-specific quality of life in patients after kidney transplantation. *Transplant Proc.* 2006;38(5):1293-1294.