More than sugar in the milk: human milk oligosaccharides as essential bioactive molecules in breast milk and current insight in beneficial effects

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ABSTRACT

Human milk is the gold standard for newborn infants. Breast milk not only provides nutrients, it also contains bioactive components that guide the development of the infant’s intestinal immune system, which can have a lifelong effect. The bioactive molecules in breast milk regulate microbiota development, immune maturation and gut barrier function. Human milk oligosaccharides (hMOs) are the most abundant bioactive molecules in human milk and have multiple beneficial functions such as support of growth of beneficial bacteria, anti-pathogenic effects, immune modulating effects, and stimulation of intestine barrier functions. Here we critically review the current insight into the benefits of bioactive molecules in mother milk that contribute to neonatal development and focus on current knowledge of hMO-functions on microbiota and the gastrointestinal immune barrier. hMOs produced via genetically engineered microorganisms are now applied in infant formulas to mimic the nutritional composition of breast milk as closely as possible, and their prospects and scientific challenges are critically reviewed.
INTRODUCTION

It is widely accepted that breastfeeding is the gold standard for infant nutrition. It offers complete nutrition for the newborn but also contains many bioactive components that contribute to healthy development of the newborn [1]. These bioactive molecules can shape microbiota composition, modulate gastrointestinal physiology, promote proper development of the immune system, and enhance intestinal barrier function [2]. Many studies have focused on understanding the composition of mother milk and on identifying which factors contribute to healthy development of the child. This knowledge is essential for the development of effective infant formula that until several years ago was associated with higher frequencies of atopic allergies, a different microbiota composition, higher risks for infectious diseases, higher rates of obesity, and even higher frequency of diabetes when compared to children solely fed on mother milk [1, 3, 4]. Effective formulations will have a profound impact on child health, as over 70% of all infants receive infant formula, and thereby depend on cow milk-based infant formulas for daily supply of nutrients and bioactive components [5]. These cow milk-derived infant formulas do not have the same bioactive molecules as human milk [6].

The intestinal tract is the first organ that is in contact with bioactive molecules in mother milk. In the infant’s intestine the bioactive milk components impact the immature intestinal mucosa, which contains more than 80% of the body’s immune cells. The cells are matured by the bioactive factors facilitating the monitoring of luminal food components across the intestinal barrier and supporting regulation of defense-responses against undesired intruders. At the same time the maturation of immune cells supports regulatory responses to e.g. commensal bacteria that are needed for host survival [7]. The mucosal immunity is integrated into a physical barrier which is composed of a mucus layer and closely connected epithelial cells that protect the host from the harsh luminal content of the gut [8]. In newborn infants this barrier is more diffuse, and the maturation to an intact barrier is needed to prevent pathogens from entering the host [9]. It is assumed that also closing the barrier is supported by bioactive components in mother milk [10]. The bioactive molecules in mother milk also support colonization of the more than 100 trillion microbiota in the small and large intestine that digestive foods and produce fermentation products that are needed to support our metabolism, immunity and brain health [11, 12].
There is increasing evidence that bioactive molecules in human milk have short- and long-term benefits in the developing intestinal system with impact on the development of the neonate as a whole [13]. These bioactive molecules involve a large group of compounds, such as growth factors, carbohydrates, cells, cytokines and immunoglobulins [2]. In order to gain insight into the function of these molecules in maintaining health or stimulating specific maturation processes, many studies have focused on comparing the composition of preterm and term delivered infants. Preterm infants are more prone to inflammatory diseases such as necrotizing enterocolitis, and by comparing preterm milk compositions with term milk compositions researchers try to identify essential elements in milk composition that might lead to novel formulations to prevent inflammatory events in preterm infants [14, 15]. Also, over the course of lactation variations in the composition of mother milk has been reported, which is considered to be a response of the mother to the changing needs of the infant.

Not only identifying essential molecules for promoting health has been a major focus in infant formula research, also finding cost effective means to include the molecules in infant formula has been a major effort, as most molecules are too complex to produce or are subject to many regulatory issues [16, 17]. Recently, major advances have been made with the inclusion of human milk oligosaccharides (hMOs) in infant formula [18]. hMOs are the most abundant bioactive molecules in human milk [19]. Human milk contains more than 200 hMOs which are virtually absent in cow-milk based formulations [20]. Some hMOs that are abundantly present in mother milk can be produced via genetically engineered microorganisms and are now applied in infant formulas [18]. There is some evidence that this might lead to specific health benefits compared to traditional infant formulas that are supplemented with non-digestible carbohydrates [21]. Some hMOs have multiple functions which include support of growth of beneficial bacteria, anti-pathogenic effects, immune modulating effects and stimulating intestine barrier functions [22–24]. Whether these effects can be achieved with the addition of single hMO instead of a mixture of hMOs is currently subject of study [22, 23, 25].

In this review, we first briefly discuss the intestinal immunity in the small and large intestine, as most of the barrier immune effects of bioactive molecules start in the intestinal tract. Next, we critically review the current insight into the benefits of bioactive
hMOs as essential bioactive molecules in breast milk and their beneficial effects

molecules in mother milk, including growth factors, antimicrobial proteins, cytokines, immunoglobulins, and cells in mother milk, that are all considered to contribute to neonatal development. The focus of the review will be on current knowledge of specific structure-activity relations of hMOs, as the first infant formulas with synthetic hMOs such as 2'-FL have reached the market. We discuss the impact they may have on microbiota and the gastrointestinal immune barrier. Finally, we discuss the currently applied hMOs in infant formula as well as their prospects and scientific challenges in the field of infant nutrition research.

The intestinal immune system

The intestinal mucosa of a healthy adult contains more than 80% of the body’s immune cells, which makes the gastrointestinal tract the largest immunologically active organ of the human body [7]. Its primary function is to protect the human body from undesired intruders from the lumen of the intestine while at the same time inducing tolerance to the 100 trillion microbiota that are needed for digestive processes and for production of beneficial fermentation products such as short chain fatty acids (SCFAs) [11, 12]. The mucosal immune system is integrated into a physical barrier which is composed of a mucus layer and closely connected epithelial cells that protect the host from the harsh luminal content of the gut [8]. In the small intestine, the mucosal immune system is highly organized, and the part of the finger-like projections called villi, whose primary function is absorption of nutrients. It contains unique cells with specialized functions. At the base of the villi, in the crypts, the intestinal epithelial cells (IECs) are located [26], as well as the so-called Paneth cells which produce antimicrobial peptides and are responsible for maintaining a healthy balance in microbiota populations [27]. Goblet cells are scattered in between the epithelial cells located at the villus and secrete gel-forming mucins [28]. Mucus is the principal barrier between the lumen and the underlying epithelial cells [29].

The immune components of the gut-associated lymphoid tissue (GALT) are localized in microenvironments such as the Peyer’s patches (PPs), mesenteric lymph nodes (MLNs), and lamina propria (LP) (Figure 1A). The GALT is a secondary lymphoid organ that can be divided into an inductive site and an effector site [30]. The induction site is compartmentalized into the small intestinal PPs and the MLNs, while the effector site is spread over the entire region of the intestinal LP [30]. The small intestinal PPs are
lymphoid tissues containing immune cells. The PPs are partly covered with specialized epithelial cells called microfold cells (M cells) that sample antigens from the lumen [31]. The key function of the PPs is presenting antigens that are sampled by M cells or dendritic cells which protrude their dendrites into the lumen and regulate immune responses [32]. Due to this sampling and presentation function, the PPs are considered to be important for coordination of immune responses [33]. The PPs also contain follicles where B cells are located. Those B cells secrete IgA which binds and neutralizes pathogens and undesired food antigens [34]. The antigen present cells (APC) present in the PPs, such as CD103 positive dendritic cells, can migrate to the MLNs after uptake of antigens and activate naive lymphocytes [32]. Some of these cell populations are regulatory T cells (Treg), which induce tolerance to food antigens and microbiota, while more undesired antigens, such as those of pathogens, can induce formation of T helper (Th) cells [35].

The large intestine is considered to be a less immunologically active site as compared to the small intestine. In the large intestine there is less direct contact between the luminal antigens and molecules and the mucosal immune system due to a firm mucus layer on top of the epithelial cells (Figure 1B). The large intestine has no villi, only crypts. The goblet cell is one of the major cell types of the colonic crypt, and is responsible for the secretion of gel forming mucins that provides protection for pathogenic intruders and supports growth of commensal bacteria [26]. Unlike the thinner mucus layer in the small intestine, that promotes nutrient absorption and antigen sampling, the large intestine has a two-layered mucus structure [36]. The colonic mucus is organized in an inner and an outer layer. The inner layer is firmly adherent to the epithelial cells while the thicker but looser outer layer on top of the inner layer harbors the commensal microflora [37]. Only at the top of the crypts, there is some contact between the mucosal immune cells from the colonic lamina propria and the luminal content [26]. It is still unclear whether this part of the colon is of significant importance for immune signaling and tolerance. However the colonic microbiota are producing large quantities of fermentation products, such as vitamins, SCFAs, and secondary bile acids, that can modulate the host’s metabolism and are essential for human health [38]. For example, butyrate, a major intestinal bacterial metabolite, is closely linked to promoting the generation of Treg cells that induce tolerance for food antigens in infants [39].
Figure 1. The intestinal immune barrier. (A) The small intestinal immune barrier. The mucus and the underlying closely connected epithelial cells form a barrier between the immune system and luminal content, which contains food and microbiota. Different cells contribute to the maintenance of barrier function and to balancing immune responses. These include intestinal epithelial cells, M cells, Paneth cells, stem cells and goblet cells. The immune components of the gut-associated lymphoid tissue are localized in Peyer’s patches, mesenteric lymph nodes, and lamina propria. (B) The large intestine. Colonocytes and goblet cells are the major cell types of the colonic crypt. Goblet cells produce mucus to form the outer and inner mucus layer which serves as protective barrier. The outer mucus layer harbors the commensal flora.
At early stages in life, the intestinal immune system is immature and it develops rapidly in the early postnatal period under the influence of bioactive components in mother milk, and by encountering new dietary components and microbiota [40, 41]. Breast milk components such as growth factors, carbohydrates, cells, and cytokines facilitate and expedite the maturation process [2, 42]. The bioactive molecules in breast milk regulate the diversity of the microbiota that impact immune maturation [43–45] but they may also directly support development by interacting with the immune system [1]. In the next sections, the different bioactive molecules in mother milk that contribute to neonatal development are reviewed in view of its possible impact on the infant’s gastrointestinal immune system or gut barrier.

**Bioactive components in human milk**

It has become recognized in the past decade that human milk is more than a source of nutrients. It is also a source of bioactive components such as complex proteins, lipids, and carbohydrates, which impact the infant’s metabolism and immune system [46]. Bioactive components are defined as “elements that show effects on biological processes or substrates and thereby have an influence on body function or condition and ultimately, health” [47]. Human milk contains several essential bioactive components that regulate these processes. Some bioactive molecules are produced and secreted by the mammary epithelium, some are produced by the cells in breast milk, while others are from maternal serum and transferred across the mammary epithelium by receptor-mediated transport [48]. In response to the needs of the infant, the presence and absence as well as quantities of these bioactive components may vary in preterm and term delivery, or over the course of lactation.

**Growth factors**

Human milk contains a large number of growth factors which impact infant development and gastrointestinal maturation. Epidermal growth factor (EGF) is one of these factors. It is important for intestinal and mucosal maturation and for development of a well-established gut barrier function. EGF has not only been found in breast milk but also in amniotic fluid [49]. The concentration of EGF in breast milk decreases during lactation, from approximately 100 ng/mL in colostrum to 50 ng/mL in mature milk [50].
The concentration of EGF in milk of mothers with extremely preterm neonates (less than 28 weeks) is 50-80% higher compared to that in milk of mothers with full-term infants, which is directly correlated with an improved mucosal maturation in preterm infants [50, 51]. Also found in human milk are insulin-like growth factor-I (IGF-I), IGF-II, and IGF binding proteins (IGFBP), which may play a role in preventing oxidative stress-induced intestinal damage [52, 53]. The levels of IGF are highest in colostrum and decline over time during lactation, which ranges from 20-50 ng/mL in colostrum and decrease to around 4 ng/mL in mature milk [54]. In addition, high concentrations of vascular endothelial growth factor (VEGF), which is important for lymphatic vascular development [55], are also present in breast milk. The concentration of VEGF is highest in colostrum, reaching a concentration of approximately 50 ng/mL, and lower in mature milk at a concentration of 20 ng/mL [56, 57]. Erythropoietin (Epo) is also present in human milk [58]. The concentration of Epo in human milk is increased during lactation. It is in the range of 4 to 5 mU/mL in the first 1 to 2 months and increase to 100 to 150 mU/mL by lactation [59]. It enhances intestinal tight junctions and thereby contributes to the development of barrier function and gastrointestinal development and function and is also associated with prevention of necrotizing enterocolitis [60].

Cells

A variety of cells are also found in human milk, including leukocytes and stem cells, which are involved in immunological development and modulation [61]. In colostrum, more than 106 cells/mL are found, but the numbers drop to approximately 103 cells/mL in the weeks after birth [62]. The predominant leukocytes in human colostrum are macrophages (40-50%), followed by polymorphonuclear neutrophils (40-50%), and lymphocytes (5-10%) [63]. T cells constitute the majority of lymphocytes (more than 80%) over B cells [64]. The immune cells in human milk protect against pathogens in the lumen during the development of the immune system of the newborn [10]. Also stem cells have been found in mother milk [65]. Hosseini et al. confirmed the presence of stem cells in human milk and demonstrated that they differentiate into neural cell lineages and thereby contribute to gastrointestinal function and development [66]. Recently, Briere et al. compared breast milk samples from mothers of preterm and term infants, and found no significant differences in the numbers of stem-like cells in these
two groups, while the authors did find differences in gene expression. Cell markers \( \text{SOX2}, \text{Nanog}, \text{CD90}, \text{and CD105} \) are up-regulated in the preterm milk samples, and \( \text{EpCAM} \) and \( \text{TJP1} \) were down-regulated compared to full-term milk samples [67]. This might indicate different functions of stem cells in preterm and term milk. However, overall it is still largely unknown to which processes stem cells in breast milk contribute [68].

**Cytokines and chemokines**

Cytokines and chemokines found in breast milk can pass the intestinal barrier, protect and contribute to immune development in the systemic circulation of the infant [69]. Cytokines in human milk have been shown to expedite immune maturation, to defend against infections, and to prevent inflammation [70]. The most abundant cytokine in breast milk is Transforming Growth Factor-\( \beta \) (TGF-\( \beta \)) [71]. TGF-\( \beta \) attenuates too strong immune responses and induces tolerogenic signals, which may be instrumental in preventing allergic diseases [70]. However, the key anti-inflammatory and immunoregulatory cytokine interleukin-10 (IL-10), is found in very high concentrations during the first 80 hours of lactation [72]. It has been suggested that IL-10 inhibits Th1 responses, contributes to the survival and expansion of B cells, and downregulates major histocompatibility complex-II (MHC-II) expression on monocytes [69]. Another regulatory cytokine found in breast milk is IL-7. This cytokine is involved in thymic development and supports T cell longevity, and thereby contributes to immunological development and adaptive immunity [73]. Also, proinflammatory cytokines are abundantly present in mother milk. TNF-\( \alpha \), IL-6, IL-8, and interferon-\( \gamma \) (IFN-\( \gamma \)) have all been found in human milk, and they generally decrease in concentration over the course of lactation [74]. The function of the proinflammatory cytokines in human milk is still a subject of debate, but it probably contributes to intestinal development and maturation of immune cells in the infants gastrointestinal tract [70].

**Immunoglobulins**

Immunoglobulins (Ig) are present in relatively high concentrations during early lactation and belong to the most studied immune mediators in human milk [10, 75, 76]. Infants are born with an immature adaptive immune system, and they need to rely on antibodies from mother milk for defense against infectious organisms [46]. The most predominant
form of immunoglobulin found in breast milk is secretory IgA (SIgA) [77]. SIgA is the primary protective agent in human milk. The SIgA antibodies in breast milk are specific for enteric and respiratory pathogens such as *Vibrio cholerae, Escherichia coli, Giardia lamblia* [78]. SIgA shows a high concentration of 12 mg/mL in colostrum and decreases to approximately 1 mg/mL in mature milk [79, 80]. While SIgA is the most abundant antibody in breast milk, it also contains IgG and IgM, which both contribute to defenses and immunoregulation and becomes more abundant in later lactation [81, 82]. IgG can facilitate antigen uptake and is involved in the prevention of allergic and autoimmune diseases [83]. Pentameric IgM also has been shown to neutralize HIV-1 intracellularly and prevents transcytosis [84].

**Antimicrobial proteins**

A multifunctional iron-binding glycoprotein, *i.e.* lactoferrin (LF), is also present in mother milk and affects microbiota activity by protecting against infection through degradation of gram-negative cell walls of pathogenic bacteria [85]. Lactoferrin is considered to be a so-called defensin [86]. Defensins are a family of proteins that are also produced by Paneth cells and reduce infection by deleting pathogens without affecting commensal bacteria [87]. The concentration of LF is typically highest in colostrum and reaches concentrations between 5 and 6 mg/mL. It decreases over lactation when the child is developing its immune system [77]. Lactadherin, another glycoprotein found in human milk, also known as milk fat globule-epidermal growth factor 8 (MFG-E8), has been shown to have specific anti-viral functions and prevents rotaviral infection in the newborn [88]. It also prevents intestinal inflammation by enhancing the phagocytosis of apoptotic cells [89]. A recent study showed that lactadherin can also prevent necrotizing enterocolitis (NEC) by enhancing intestinal barrier integrity [88]. Milk fat globules (MFGs) are milk lipids present in the form of dispersed droplets [90]. Several bioactive glycoproteins have been characterized in MFGs, which contain mucin 1 (MUC1) and mucin 4 (MUC4) [91]. Both MUC1 and MUC4 inhibit the invasion of *Salmonella enterica serovar typhimurium* in intestinal epithelial cells [92].

**Human milk oligosaccharides**

One of the most important bioactive components of mother milk is the human milk
oligosaccharides (hMOs). The concentration of hMOs ranges from 20 to 25 g/L in colostrum and 5 to 20 g/L in mature milk, which makes them the third-largest solid component in human milk [20]. hMOs are unique to humans and are not found in the same variety and composition in other mammals [20]. Several studies show that hMOs provide numerous health-promoting effects [1, 22, 23]. Before critically reviewing possible beneficially effects for gastrointestinal development and health, we review the structure and composition of hMOs.

**HMO structures and compositions**

hMOs are composed of five monomers: d-glucose (Glc), d-galactose (Gal), N-acetylglucosamine (GlcNAc), l-fucose (Fuc), and sialic acid (NeuAc) (Figure 2A). All hMOs are synthesized from lactose (Galβ1-4Glc), which can be extended by the addition of β1-3 or β1-6 linked lacto-N-biose (Galβ1-3GlcNAc-, LNB, type 1 chain) or N-acetyllactosamine (Galβ1-4GlcNAc-, LacNAc, type 2 chain). The addition of LNB terminates the chain, while type 2 chain LacNAc can be further extended. The β1-6 linkage creates branched structures, which are designated as iso-hMO; the linear structures without branches are called para-hMO (Figure 2B). The hMO backbone can be either sialylated or fucosylated, and in the case of the shortest hMOs this forms trisaccharides such as 2'-fucosyllactose (2'-FL), 3- fucosyllactose (3-FL), 3'-sialyllactose (3'-SL) and 6'-sialyllactose (6'-SL) (Figure 2C). The lactose core can also be extended with β1-3, β1-4 and β1-6 galactosyl residues and form galactosylactoses (GL) (Figure 2D), which are typically present in human colostrum rather than in mature milk [93]. Elongated hMOs can also be fucosylated in α1-2, α1-3 or α1-4 linkages and/or sialylated in α2-3 or α2-6 linkage to form a variety of structural isomers (Figure 2E).

hMOs are synthesized in the mammary gland. To date, approximately 200 different hMOs have been discovered and characterized [94]. The molecular structures and ratios vary among individuals and is depending on the expression of the α1-2-fucosyltransferase (FUT2) and α1-3/4-fucosyltransferase (FUT3) in lactocytes [95]. The hMOs profile is determined by Secretor (Se) and Lewis (Le) blood group genes [96]. The enzymes FUT2 and FUT3 are encoded by Se gene and Le gene respectively. Individuals with an active Se locus are called secretors, and individuals with an active Le locus are
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classified as Lewis positive. Based on the expression of Se and Le genes, human milk can be divided into four groups: Le-positive Secretors (FUT 2 active, FUT3 active, Se+Le+), Le-negative Secretors (FUT 2 active, FUT3 inactive, Se+Le-), Le-positive non-Secretors (FUT2 inactive, FUT3 active, Se-Le+), and Le-negative non-Secretors (FUT2 inactive, FUT3 inactive, Se-Le-). FUT2 is responsible for connecting Fuc to terminal Gal through α1–2

Figure 2. Structures of hMOs. (A) Building blocks and structural blueprint of hMOs. (B) Lactose can be elongated by addition of either lacto-N-biose (type I) or N-acetyllactosamine (type II) disaccharides. The addition of a β1-6 linkage produces branched structures (iso-hMO); the β1-3 linkage leads to linear structures (para-hMO). (C) Lactose is fucosylated or sialylated through different linkages to generate trisaccharides. (D) Galactosyl residues are linked to lactose through β1-3, β1-4- and β1-6 linkages to form galactosyllactoses. (E) Elongated type I or II chains can be fucosylated or sialylated in different linkages to form a variety of structural isomers.
linkages, and 2'-FL is the most abundant hMO in secretor women [97, 98]. In contrast, the milk of non-secretor women does not contain α1-2-fucosylated hMOs, i.e. 2'-FL. FUT3 is responsible for connecting Fuc to GlcNAc in type 1 chains through an α1-4 linkage on type 1 chains, and as a result Le-negative woman do not have these specific α1-4-fucosylated hMOs, such as lacto-N-fucopentaose II (LNFP II) [99].

**Beneficial effects of human milk oligosaccharides**

In this section, we focus on current knowledge of hMO functions. The evidence for these functions will be critically reviewed. Figure 3 illustrates some of the discussed effects. We critically review the evidence for the effects of hMOs on gut microbiota composition, immune defense, intestine epithelial cells, and the gastrointestinal barrier. We also discuss the addition of hMOs to infant formula as well as the challenges for further studies to investigate the effects of hMOs and creation of optimal formulations for adding to infant formula.

**hMOs and microbiota**

hMOs are considered to have a strong impact on colonization of the intestine by bacteria that are essential for health [100]. In early life, the intestine is colonized by 1014 bacterial cells [38]. The first year of life is critical for intestinal microbiome establishment, and infant diet is one of the most important factors for gut microbiome development [101]. As many hMOs resist the gastric acidity and the host enzyme’s hydrolysis processes in the small intestine, high concentrations of hMO can reach both the small and large intestine, and modulate the activity and composition of the resident microbiota [102, 103].

**hMOs support beneficial bacteria**

hMOs are specifically known to support the growth of beneficial microorganisms (Figure 3A), especially *Bifidobacterium* species, which is a dominant species in breast-fed infants [104]. One of the *Bifidobacterium* species, *Bifidobacterium longum* supsp. *infantis* (*B. longum* supsp. *infantis*) grows well when cultured with pooled hMOs isolated from human milk as the sole carbohydrate source. In those cultures, all of the hMOs are consumed entirely by this *Bifidobacterium* strain. The concentrations of 2'-FL, 3-FL, LDFT, LNT, LNnT, LNFP I, LNFP II, LNFP III, LNDFH I, and LNDFH II all rapidly decreased when the cells entered the
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Figure 3. Overview of known functions of hMOs in the intestine. (A) Support the growth and colonization of beneficial bacteria. (B) Antimicrobial and antiviral effects by serving as decoy for pathogens and/or inhibit the growth of pathogens. (C) Exert a direct influence on intestinal epithelial cells by enhancing barrier function. (D) Immunomodulatory effects. (E) Effects on intestinal barrier function.

logarithmic phase [105]. In the same study, it was found that *Bifidobacterium longum* subsp. *longum* (*B. longum* subsp. *longum*), and *Bifidobacterium breve* (*B. breve*) are only able to consume lacto-N-tetraose (LNT) [105]. This study demonstrates that multiple bifidobacteria species can consume hMOs but that not all *Bifidobacterium* species can use hMOs as only carbohydrate sources [106].

Bacteria can degrade hMOs using both intracellular and extracellular glycoside hydrolases [107, 108]. Intracellular degradation, which can be done by *B. infantis*, *B. breve*, and *B. longum* subsp. *longum*, is based on the uptake of intact hMOs inside the bacteria. The uptake is accomplished by several Solute Binding Proteins (SBPs). After being transported into the bacteria, the intracellular glycosyl hydrolases (GHs) degrade the hMOs and release monosaccharides in the cytoplasm instead of outside the bacteria [108,
The extracellular degradation is different and found in, for example, *Bifidobacterium bifidum* (*B. bifidum*). In contrast to *B. infantis*, *B. bifidum* relies on the membrane-bounded extracellular GHS, which show similar enzymatic affinities for hMOs as in *B. infantis* [107]. Gotoh *et al.* showed that the extracellular hMO degradation of *B. bifidum* results in the production of sugars and thereby stimulate the growth of other species that can utilize these carbohydrates as source of energy [110].

hMOs do not only support the growth of bacteria, but they can also enhance binding of commensal bacteria to epithelial cells and thereby support microbiota colonization in infants. For example, Kavanaugh *et al.* demonstrated that a mixture of 3'-SL and 6'-SL increase *B. longum* subsp. *infantis* ATCC 15697 adhesion to human HT-29 intestinal cells [111]. Wickramasinghe *et al.* found that an hMO mixture enhanced the anti-inflammatory effects of bifidobacteria on intestinal cells [112]. Besides the direct influence of hMOs on bifidobacteria, it can also modulate the growth and fermentation activity of other bacterial species [25, 113]. Salli *et al.* found that 2'-FL promoted the growth of bifidobacteria, and significantly increased production of SCFAs as well as of lactic acid [25]. Schwab *et al.* investigated the interaction between bifidobacteria and *Eubacterium hallii* (*E. hallii*), one of the first butyrate producers in the infant’s gut. They demonstrate that *E. hallii* consumes acetate, lactate and 1,2- propanediol, which are the hMOs fermentation products of bifidobacteria, and subsequently produces the SCFAs butyrate and propionate, thereby supporting gut barrier function and the immune system [113].

**hMOs prevent pathogen infection**

hMOs can reduce pathogen infection in infants in several ways (Figure 3B). They can do so by serving as soluble decoy receptors or by inhibiting the growth of pathogens [114]. Many viruses and pathogens need to attach to the intestinal epithelial glycocalyx to colonize or invade the host [23]. By structural resemblance to the glycocalyx layer, hMOs can bind to pathogens and serve as antiadhesive antimicrobials, and prevent microbial infections [115]. For example, *Campylobacter jejuni* (*C. jejuni*), which is one of the most common causes of bacterial diarrhea and infant mortality, can bind to α1-2-fucosylated hMOs, such as 2'-FL, and reduce its binding and infection of intestinal cells [116]. Jantscher-Krenn *et al.* found that LNT significantly reduced the attachment
and cytotoxicity of the protozoan parasite *Entamoeba histolytica* (*E. histolytica*) to intestinal epithelial cells [117]. Interestingly, although 2'-FL can reduce host-adhesion and infection of *C. jejuni*, it does not affect *E. histolytica* attachment and cytotoxicity, which indicates that the decoy effect of hMOs is species dependent.

Recent studies have shown that hMOs also exhibit antimicrobial and antibiofilm effects against *Group B Streptococcus* (GBS), which cause invasive infections in newborns [118, 119]. In these studies, hMOs isolated from human milk significantly inhibited the growth of GBS up to 89% and inhibited biofilm formation up to 90%. Ackerman *et al.* found that hMOs isolated from human milk possessed significant antimicrobial activity against *Acinetobacter baumannii* (*A. baumannii*) and could inhibit adhesion up to 11%. It could also inhibit biofilm formation of *Staphylococcus aureus* (*S. aureus*) up to 60% [120].

**hMOs show direct modulatory effects on intestinal epithelial cells**

hMOs do not only have a strong impact on microbes, they can also directly influence intestinal epithelial cells (Figure 3C). A study by Kuntz *et al.* showed that both acidic and neutral hMOs isolated from human milk had inhibitory effects on intestinal epithelial cells proliferation under homeostatic conditions [121]. However, Wang *et al.* showed that pooled hMOs could increase the proliferation of crypt cells under inflammatory conditions which might protect against necrotizing enterocolitis [122]. These studies indicate that hMOs might have different functions depending on the nature of the inflammatory conditions. Holscher *et al.* also found that 6'-SL, 2'-FL, and LNnT all could inhibit proliferation of HT-29 and Caco-2Bbe cells, while they enhanced differentiation of HT-29 and Caco-2Bbe cells [123]. These results suggest that hMOs may have a specific role in the maturation of the gastrointestinal tract. The maturation of intestine epithelium requires a shift from sialylation to fucosylation [124], hMOs can also modulate intestinal epithelial cells through modification of the intestinal glycome [125]. There are also studies showing that hMOs can alter the structure of the intestinal epithelial cell glycocalyx layer [23, 126]. Angeloni *et al.* found that 3'-SL lowered gene expression of the sialyltransferases ST3Gal1, ST3Gal2, and ST3Gal4, which resulted in reduction in α2-3-, α2-6-sialylation on cell surface glycans of Caco2 cells, and as a consequence reduced the enteropathogenic *Escherichia coli* (EPEC) adherence to about 50% [126]. Kong *et
al. showed 2'-FL as well as 3-FL significantly increased the thickness of the glycocalyx layer [23]. These observations indicate that hMOs stimulate glycocalyx development in a structure-dependent manner.

Immunomodulatory effects of hMOs

Although the influence of hMOs on microbiota may affect the immune system indirectly, studies also suggest that hMOs can modulate immune function in different ways (Figure 3D). A study by He et al. shows that hMOs derived from pooled human colostrum can attenuate pathogen-associated molecular pattern (PAMP) induced production of inflammatory cytokines such as IL-8 in the immature intestinal mucosa [127]. In addition, an elevation of levels of cytokines such as MIP-1-α involved in tissue repair and homeostasis in immature human intestinal mucosa was observed. Such an hMO effect might help to promote the maturation of the intestinal mucosal immune system [127]. He et al. also demonstrated that 2'-FL could attenuate type 1 pili pathogens derived lipopolysaccharide (LPS) induced IL-8 production in T84 and H4 cells by decreasing CD14 induction [128]. The hMO disialyllacto-N-tetraose (DSLNT) was shown to suppress necrotizing enterocolitis-like inflammation in neonatal rats and is therefore also identified as an immunomodulating and immune activation attenuating hMO [129].

hMOs have also been shown to have immune-stimulating or maturation promoting properties. Kurakevich et al. [130] found that 3'-SL stimulates MLN CD11c+ dendritic cells and increases TNF-α, TGF-β1, IL-12, and IFN-γ production. Those cytokines typically induce Th1 and Th17 cell frequencies. Also, Thomas et al. [131] demonstrated that LNFP III induces strong Th2 responses and promote dendritic cell 2 (DC2) maturation.

It is currently unknown which receptors and signaling pathways are involved in transducing hMO-mediated effects; however, some studies suggest that hMOs can interact with pattern recognition receptors (PRRs) and interfere with immune processes. It has been found that the immunomodulatory effects of hMOs can be induced via Toll-like receptors (TLRs), which are a family of PRRs. Asakuma et al. found that 3'-SL, 6'-SL, and 6'-GL enhanced both TLR2 and TLR4 expression, while LNFP I only increased the gene expression of TLR4 [132]. 3-FL and LNT2 have been observed to activate TLRs. In a study by Cheng et al., 3-FL could activate TLR2, while LNT2 could activate all TLRs and induced both IL-10 and TNF-α in THP1 macrophages. 6'-SL showed a synergistic
hMOs as essential bioactive molecules in breast milk and their beneficial effects

effect on ssRNA40-induced TLR8 activation [22]. Beside activation effects, hMOs also show inhibiting effects on TLRs signaling. He et al. [127] found that 3'-GL, 4'-GL, and 6'-GL are able to attenuate the inflammatory response through TLR3. Cheng et al. also demonstrated that 2'-FL, 6'-SL, and LNNt inhibit TLR5 and 7, while 3-FL inhibit TLR5, 7, and 8 [22]. This illustrates the complexity by which mixtures of hMOs modulate immune responses and how variations in composition can lead to different types of immune modulation opening novel venues to prevent diseases in infants on infant formula.

Galectins are β-galactoside binding proteins that are involved in many immune responses [133]. Some hMOs contain β1-3- or β1-4-linked α-galactose at the non-reducing end, which can be the potential target for galectin-mediated interactions. The binding affinities of a library of 31 free hMOs with galectins Gal-1, Gal-3, and Gal-7 have been studied by catch-and-release electrospray ionization mass spectrometry (CaR-ESI-MS). It was shown that hMOs have high binding affinity to galectins [134]. Whether hMOs are able to modulate galectin-mediated immune response still needs further investigation, but the CaR-ESI-MS method provided another possible approach for rapid screening of the interaction between free hMOs and receptors to find the potential hMO receptors.

Since around 1% of the hMOs can reach the systemic circulation, it is plausible that hMOs not only impact the intestinal tract but also influence development of other organs [135]. Mixtures of hMOs isolated from pooled human milk significantly reduced uropathogenic Escherichia coli (UPEC) internalization in bladder epithelial cells. It also attenuated the cytotoxic and proinflammatory effects induced by UPEC [136]. Duska-McEwen et al. showed that hMOs can also enhance innate immunity to airway infections [137]. 2'-FL could decrease respiratory syncytial virus (RSV) viral load while 6'-SL and LNNt were able to decrease influenza viral load in airway epithelial cells [137]. They also showed that 2'-FL could attenuate RSV induced inflammatory cytokines IL-8 and TNF-α production in airway epithelial cells, while 6'-SL down-regulated TNF-α in RSV infected peripheral blood mononuclear cells (PBMCs) [137]. This again illustrates the specificity of different type of hMOs for health benefits.

hMOs enhance intestinal barrier function

The primary function of the gastrointestinal tract is to digest and absorb nutrients, while at the same time it has to act as a barrier and protect against toxic agents or potential
pathogenic intruders [12]. However, the intestinal tract of neonates is functionally immature at birth [138]. hMOs support intestinal barrier function both through indirectly influencing microbiota composition and directly by modulating intestinal cells (Figure 3E). A study by Fukuda et al. shows that fermentation of hMOs by bifidobacteria leads to acetate formation, which can enhance gut barrier function and protect the host against lethal infection induced by enterohemorrhagic *Escherichia coli* O157:H7 [139]. Fermentation products of hMOs secreted by the bifidobacterial strain *B. infantis* are also reported to enhance epithelial cell barrier function. Guo et al. showed that *B. infantis* conditioned media (BCM) protects Caco2 cells against IL-1β stimulation through signaling through the NF-κB pathway [140]. BCM up-regulated protein expression of claudin-1 and occludin, which are responsible for the preservation of intestinal barrier integrity [138]. Therefore, hMOs can support intestinal barrier function by promoting the growth and supporting production of fermentation products by *Bifidobacterium* species. Chichlowski et al. found that *B. infantis* ATCC15697 grown on mixtures of hMOs isolated from mother milk had a significantly higher capacity to adhere to HT-29 cells, which induced higher expression of tight junction proteins such as occluding and junctional adhesion molecule (JAM-A) in gut epithelial cells [141]. Wu et al. demonstrated that hMOs isolated from pooled milk could increase mucin expression in intestinal cells both *in vitro* and *in vivo* [142]. They found that administration by oral gavage of hMOs to mouse pups increased MUC2 protein levels and decreased the permeability of the intestine to macromolecular dextran. Their study also showed that hMOs in human milk can induce MUC2 gene expression and increase cell permeability during an enterohemorrhagic *Escherichia coli* O157:H7 challenge in intestine epithelial cells [142].

**hMOs in infant formula and regulatory considerations**

Although the World Health Organization (WHO) recommends six months of exclusive breastfeeding after birth, this period is rarely fulfilled as breastfeeding is not always possible. For a variety of reasons, around 70% of the infants cannot be solely fed with breast milk [143]. These infants receive cow milk-derived infant formulas, which attempts to mimic the nutritional composition of breast milk as closely as possible [144]. Despite many beneficial effects of hMOs, only 2'-FL and LNnT are currently used in infant formula, mainly because hMOs are complex molecules and because synthesis of hMOs
Non-digestible fibers such as galacto-oligosaccharides (GOS) and inulins are nowadays often added to commercially available infant formulas to substitute some of the hMO’s beneficial effects [144]. However, recent improvements in production processes make it possible to produce some smaller molecular weight hMO molecules in sufficient quantities to allow application in infant formulas [18]. The commercially available hMO analogs are not isolated from human milk but are the fermentation products of genetically engineered microorganisms, which include strains of *E. coli* and yeast [145]. Although hMOs are natural carbohydrates that are being recognized as safe for a long time, the use of chemical- or biotechnology-based processes to produce synthesized hMOs makes it necessary to evaluate the safety of the production procedure as well as the novel compounds through a series of the registration process [146].

Nowadays, the European Union (EU) considers 2'-FL and LNnT, two produced hMOs, as authorized novel foods that can be used in infant formulas (Commission Implemented Regulation (EU) 2017/2470). On 29 June 2015, the European Food Safety Authority (EFSA) concluded that a concentration up to 1.2 g/L of 2'-FL, alone or in combination with LNnT at a ratio of 2:1, is safe for infants when added to infant and follow-on formula [147]. In the same year, the Panel concluded that LNnT and 2'-FL are considered as safe as food supplements for 1-3-year-old toddlers. Intake of these hMOs may not exceed 0.6 g of LNnT and 1.2 g of 2'-FL (alone or in combination) per day. For 4-18 years old children, 1.5 g of LNnT and 3 g of 2'-FL (alone or in combination) per day is recommended [148]. The USA’s Food and Drug Administration (FDA) considers three hMOs to be Generally Regarded as Safe (GRAS). Those hMOs are 2'-FL (GRAS notice no 546/571/650/735), LNnT (GRAS notice no 659), as well as 3'-SL (GRAS notice no 766). Through scientific procedures, the FDA considers 2'-FL to be GRAS at a maximum use level of 2.4 g/L in reconstituted formula; LNnT is GRAS at a maximum use level of 0.6 g/L in infant formula and 3 g/serving in follow-up formulas; and 3'-SL is GRAS at a level up to 0.23 g/L in infant formula and 3.1 g/serving in 12-24 months old toddler foods. As a consequence of these approvals, there are now hMOs in infant formulas on the market containing 2'-FL in the USA and 2'-FL and LNnT in Europe since 2016 [18, 149].

The safety assessments of more synthesized hMOs are currently ongoing. Lately, Pitt *et al.* conducted *in vitro* and *in vivo* safety assessment experiments of genetically
modified \textit{E. coli} K12 strain produced 3-FL and concluded that 3-FL is safe as a nutritional ingredient in foods [150]. More studies on the safety evaluation of hMOs are expected to follow soon, making it possible to consider more hMOs as novel foods in products soon.

\textbf{Concluding remarks and future considerations}

The importance of hMOs in human milk and their beneficial effects on the infant are unequivocal, however, many questions about hMO synthesis, metabolism, and functions are still unanswered, and to date, there is little evidence available to support the beneficial function of individual hMOs. One of the major roadblocks remains the limited availability of synthesized hMOs to confirm their health in neonates. The limited resources and the high price of the synthesized hMOs make that scientists, and formula companies have to make decisions on which individual hMOs to study. At this moment, only some tri- and tetrasaccharides can be produced in kilogram quantities to study their functions, but the identification of benefits of more complex and/or the mixture of different hMOs still need more research efforts. The efforts are urgently needed as many studies have shown that health benefits of hMOs are very specific for specific hMO types and many more benefits are to be expected if mixtures with confirmed bioactivities can be applied in infant formula.

While the beneficial effects of mixtures of hMOs have been broadly proven, it is crucial in future efforts to identify the composition of the hMOs in the mixtures. As outlined in our review the composition varies considerable between breast milk from mothers with premature or mature infant and over the course of lactation. Also, non-secretor women have a different hMO composition than milk from secretor mothers. During recent years it has been shown by us and others that individual hMOs have different effects and that the final outcome of a specific health benefit dependents on the composition of the mixture and or quantity of individual hMOs [22, 23, 25]. Side-by-side comparison of hMO composition of the mixtures will contribute to a better understanding of sometimes contradictory results and may lead to a better understanding of the impact of specific hMOs for infant health.

Another change in experimental design with might lead to a better understanding of health benefits of hMOs is studying impact of individual or well-defined
mixtures of hMOs on human intestine cells. In particular, there is a need to understand whether different structures of hMOs modulate different types of cells such as epithelial cells, Paneth or goblet cells, in a structure-dependent manner. This knowledge is urgently needed to propose formulations of mixtures that support barrier function in *e.g.* premature babies with enhanced risk for development of NEC. It is also essential to understand which signaling pathways of different hMOs are involved in different cells to gain more insight in mechanisms involved and therewith to support acceptance of health benefits of hMOs. Also, the impact on microbiota of infants requires more study. Interestingly it was recently shown that 2’-FL, which currently is approved for and applied in infant formula, is poorly fermentable by 2-12 weeks baby microbiota, and had lower production of acetate and lactate compared with lactose or GOS [25]. What this implies for support of microbiota in infants requires further investigation but it seems that most effects of 2’-FL relate to direct effects on intestinal cells rather than on support of microbiota [23, 25].

It has been shown that infant microbiota varies considerably in composition and diversity depending on the age of the infant [151–154]. Especially in the first 8 weeks infants do not have the full enzymatic ability to ferment all hMOs [25, 155]. Blood group-specific differences were also found [156, 157]. This is probably due to the fact that the mucus layer in the intestine has blood group-specific oligosaccharide epitopes [158]. As microbiota use mucus as substrate, this probably predisposes to blood group specific microbiota and utilization of hMOs. To gain more insight in efficacy of hMO on microbiota development and colonization process it is needed to study impact on microbiota of different age classes and blood groups. Also, there are indications that fermentation is different in healthy and diseased children [159].

Understanding how and which hMOs are responsible for specific effects not only contributes to future design of hMO-containing infant formulas, it might also provide new ways to explore the function of hMOs as therapeutics. For example, as members of the “ESKAPE” group of pathogens, the leading cause of multidrug-resistant (MDR) nosocomial infections throughout the world, *A. baumannii* and *S. aureus* both show methicillin-resistance [160]. The antimicrobial and antibiofilm properties of hMOs on *A. baumannii* and *S. aureus* could potentially be used as new therapeutics to treat or prevent infectious disease, which provides a new way to manage drug-resistant bacteria.
Rationale and Outline of the Thesis

As discussed in the preceding sections, the beneficial effects of hMOs in human milk are broadly acknowledged but the underlying mechanisms are still insufficiently understood, especially the impact of individual hMOs on the intestinal immune system are still largely unknown. It is relevant to study this as current approaches in functional foods and infant formula focus on the application of single instead of mixtures of hMOs. Therefore, in this thesis, we tested different individual hMOs as well as a hMO’s acid hydrolysis product on different cellular systems that represent essential parts of the gastrointestinal immune barrier. The explored strategy allows us to identify possible mechanisms by which hMOs can contribute to the reinforcement of the gastrointestinal immune barrier in infants and adults. Also, we applied experimental designs allowing to unravel the structure-function relationships of hMOs, which combined may lead to the design of hMOs containing products with more predictable beneficial effects for different target groups.

Since the immune effects by hMOs can be induced via Toll-like receptors (TLRs), we first tested four individual hMOs and one hMO’s acid hydrolysis for their possible immunomodulatory effects through Toll-like receptor (TLR) signaling in Chapter 2. We studied TLR-activation and inhibition by hMOs of different chemical structures. The results served to test the hMOs for activation effects on immune cells to confirm their immunomodulatory capacity. The results provide important new insight in immunomodulatory differences between different hMO structures.

As hMOs in breast milk are considered to attenuate intestinal inflammation directly, we studied the anti-inflammatory properties of hMOs on gut epithelial cells in Chapter 3. We studied the anti-inflammatory effects of six different hMOs and one hMO’s acid hydrolysate product on TNF-α induced inflammatory events in both immature (fetal) and adult human epithelial cells. First, we determined possible differences in the efficacy of hMOs in modulating inflammatory events in immature or adult gut epithelial cells under homeostatic or TNF-α induced inflammatory conditions. The effective anti-inflammatory hMOs were selected and used to identify the epithelial-receptors involved and the underlying mechanisms. To this end, we studied the interaction of hMOs with TNF-α receptor TNF receptor 1 (TNFR1) through multiple experimental strategies.
The intestine physical barrier is composed of closely connected epithelial cells and a mucus layer that protects the host from the harsh luminal content of the gut. In chapter 4, we studied the effects of two hMOs and one hMO’s acid hydrolysate on mucus-producing goblet cell function. We also compared their effects with GOS, which is currently being applied in infant formula as a substitute for hMOs and known to support mucus production. We first examined gene expression alterations in the human goblet cell after exposure to hMOs under homeostatic conditions and confirmed it at protein level. Then, we investigated the modulatory properties of hMOs under pro-inflammatory conditions, to test mucus-modulatory efficacy of hMOs under diseased conditions.

Not only cells but also intestinal bacteria play contribute to the adequacy of the gastrointestinal immune barrier. hMOs are known to support the growth of beneficial microorganisms, especially *Bifidobacterium* species, which is a dominant intestinal species in breast-fed infants. In chapter 5, we studied the effects of three hMOs and one hMO’s acid hydrolysate on three *Bifidobacteria* and one *Faecalibacterium*, and introduced a co-culture system of two bacteria strain to study possible cross-feeding in presence and absence of hMOs. We first investigated the growth pattern of different bacteria strains by using individual hMOs as only carbohydrate source in mono- and co-culture systems. Then, we further explored the metabolic processes by analyzing the fermentation products as well as glycosidic degradation of effective hMOs.

In the previous chapters, and in most cases, the effects of hMOs are tested in steady culture systems without the shear forces that cells are exposed to during the peristaltic movement in the bowel. In chapter 6, we studied the effects of two hMOs and one hMO’s acid hydrolysate on bacteria-epithelial cell co-culture systems during exposure to shear forces. During these forces, we studied the modulatory effects of hMOs and hMO’s acid hydrolysate on cell glycocalyx development as well as on tight junction expression. Then, we introduced human intestine commensal bacteria into the culture system and studied adhesion in the absence and presence of hMO.

Finally, in chapter 7, we provide a comprehensive summary and discussion as well as future perspectives for hMOs application and the need for follow up studies.
References


hMOs as essential bioactive molecules in breast milk and their beneficial effects


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