A tensor-vector-scalar framework for modified dynamics and cosmic dark matter

Sanders, R. H.

Published in:
Monthly Notices of the Royal Astronomical Society

DOI:
10.1111/j.1365-2966.2005.09375.x

IMPORTANT NOTE: You are advised to consult the publisher's version (publisher's PDF) if you wish to cite from it. Please check the document version below.

Document Version
Publisher's PDF, also known as Version of record

Publication date:
2005

Link to publication in University of Groningen/UMCG research database

Citation for published version (APA):

Copyright
Other than for strictly personal use, it is not permitted to download or to forward/distribute the text or part of it without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license (like Creative Commons).

Take-down policy
If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

Downloaded from the University of Groningen/UMCG research database (Pure): http://www.rug.nl/research/portal. For technical reasons the number of authors shown on this cover page is limited to 10 maximum.
A tensor–vector–scalar framework for modified dynamics and cosmic dark matter

R. H. Sanders

Kapteyn Astronomical Institute, PO Box 800, 9700 AV Groningen, the Netherlands

Accepted 2005 June 27. Received 2005 June 22; in original form 2005 February 10

ABSTRACT
I describe a tensor–vector–scalar theory that reconciles the galaxy-scale success of modified Newtonian dynamics (MOND) with the cosmological scale evidence for cold dark matter (CDM). The theory provides a cosmological basis for MOND in the sense that the predicted phenomenology only arises in a cosmological background. The theory contains an evolving effective potential, and scalar field oscillations in this potential comprise the CDM; the de Broglie wavelength of these soft bosons, however, is sufficiently large that they cannot accumulate in galaxies. The theory predicts, inevitably, a constant anomalous acceleration in the outer Solar system, which, depending upon the choice of parameters, can be consistent with that detected by the Pioneer spacecrafts.

Key words: gravitation – cosmology: theory – dark matter.

1 INTRODUCTION
The cosmological evidence supporting the existence of a universal pressureless fluid (cold dark matter or CDM) appears to be compelling. The amplitude of the first two acoustic peaks in the power spectrum of the cosmic microwave background (CMB) anisotropies as observed by the Wilkinson Microwave Anisotropy Probe implies that this fluid comprises, at present, about 30 per cent of the mass density of the Universe. Given the even more compelling evidence for an $\Omega = 1$ universe, most of the remainder must consist of a negative pressure ‘dark energy’ component that may be identified with a positive cosmological constant (Page et al. 2003; Spergel et al. 2003). It is now well known that Type Ia supernovae (SNIa; Garnavitch et al. 1998; Perlmutter et al. 1999) near $z \approx 0.5$ are systematically dimmer by about 0.2 mag than would be expected in an empty, ‘coasting’ universe. These observations are often cited as evidence that the Universe is presently dominated by this vacuum energy. But, if we can trust that systematic effects are well understood, it is also true that the relative brightening of SNIa beyond $z \approx 1$ (Tonry et al. 2003) is equally strong evidence for matter domination at this relatively recent epoch, again implying that $\Omega_{\text{CDM}} \approx 0.3$. Several such independent tests have led to the emergence of a ‘concordance model’ for the Universe (Ostriker & Steinhardt 1995), which is now heralded as a major achievement in observational cosmology and in which CDM is a vital component.

Although the large-scale evidence for CDM is persuasive, the hypothesis is not without problems. While there is no shortage of candidate particles, the nature of this pressureless fluid remains elusive. Particle physics theory beyond the standard model provides no definitive prediction, and attempts at direct laboratory detection of CDM particles (neutralinos, axions, etc.) have, so far, proved unsuccessful. Moreover, the evidence for CDM on the scale of galaxies is far from compelling, with ‘complicated’, but poorly understood, astrophysical processes being invoked to explain various observed phenomena such as the tightness of the luminosity–rotation velocity relation for disc galaxies – the Tully–Fisher law – and the presence of an upper limit on the surface brightness of galaxies – the Freeman law (Dalcanton, Spergel & Summers 1997; van den Bosch & Dalcanton 2000). The problem of matching the predicted rotation curves of dark haloes emerging from cosmic $N$-body simulations with those actually observed in low surface brightness galaxies has provoked an ongoing controversy (e.g. de Blok, McGaugh & Rubin 2001).

These same phenomena, on a galactic scale, are well described by an ad hoc modification of Newtonian dynamics (MOND) suggested by Milgrom (1983). Here, it is proposed that below a critical acceleration, $a_0$, the effective gravitational acceleration, $g$, approaches $\sqrt{\frac{g_0 a_0}{2}}$ where $g_0$ is the usual Newtonian acceleration. This critical acceleration is, within an order of magnitude, comparable to the velocity of light times the present value of the Hubble parameter, i.e., $a_0 \approx cH_0/6$. This simple modification accounts for systematic aspects of galaxy photometry and kinematics, such as the Freeman law and the Tully–Fisher law, as well as successfully predicting the detailed form of galaxy rotation curves from the observed distribution of detectable baryonic matter. These successes have been well catalogued (Sanders & McGaugh 2002) and suggest that galaxy phenomenology can be understood without invoking the existence of dark matter (McGaugh 2004a).

MOND is also not without problems. On a phenomenological level, the algorithm appears unable to account for the full mass discrepancy in rich clusters of galaxies; it remains necessary to invoke the presence of, as yet, undetected matter in these systems (Sanders 1999, 2003; Aguirre, Schaye & Quataert 2002). More-
over, the idea has long been criticized as an ad hoc, empirically based hypothesis without foundation in deeper theory. The absence of a viable relativistic theory has placed the issues of cosmology, structure formation and gravitational lensing beyond consideration in the context of MOND; that is to say, MOND cannot be confronted by this entire body of observed cosmic phenomena. Recently, Bekenstein (2004) has written down a fully covariant theory, tensor–vector–scalar theory (TeVeS), involving dynamic vector and scalar fields in addition to the usual tensor field of General Relativity (GR). This is an important development because the theory is free of the conspicuous pathologies of earlier attempts (e.g. acausal wave propagation, no enhanced gravitational lensing). However, in the theory as it now stands, there is no obvious connection between MOND phenomenology and cosmology as is suggested by the near coincidence between \( a_0 \) and \( cH_0 \). The free function of the theory has two discontinuous branches – one for cosmology and one for mass concentrations – as it would seem difficult to follow the cosmic growth of inhomogeneities in the context of a theory in which cosmology is mathematically disconnected from inhomogeneous structure. Finally, the theory does not address what is perhaps the greatest problem for MOND: the large-scale evidence for CDM cited above.

The construction of a cosmologically effective theory of MOND, and, in particular, the reconciliation of the cosmological evidence for CDM with the galaxy-scale success of MOND, is the topic of the present paper. I will show here that this is a possibility in the context of a generalization of an earlier theory also due to Bekenstein (1988a) – phase coupling gravity (PCG) – but a generalization that requires a vector field as in TeVeS.

The basic idea is this: PCG is a scalar–tensor theory with two scalar fields, one of which couples to matter, the second determining the strength of that coupling. In a cosmological context, the scalar field potential is an evolving effective potential, which can have a well-defined minimum (Sanders 1989). Initially, the potential evolves faster than the scalar field can respond, but at some point, the scalar falls and oscillates about the minimum. If the bare potential has quadratic form, these oscillations constitute CDM with, possibly, a long Compton wavelength – so long that these soft bosons cannot accumulate in galaxies. At the present epoch, the matter-coupling field provides a fifth force that appears below a critical value of the scalar field gradient as in MOND. Cosmological CDM exists as long wavelength excitations of the coupling-strength field.

In a universe with inhomogeneities, the scalar phase and amplitude (Einstein conformal frame) are
\[
\hat{\phi} = 3 \frac{\eta}{q^2} \Omega_0 a^{-3} \tau
\]
and
\[
\hat{\rho} + 3\hat{h}q = -V'(q) + q\hat{q}^2.
\]
where \( \Omega_0 \) is the density parameter of the baryonic matter, \( a \) is the universal scale factor in terms of the present scale factor, and \( \pi \) is in units of \( H_0^{-1} \) and \( h \) is the Hubble parameter in units of \( H_0 \).

2 A BRIEF HISTORY OF IDEAS

The basis for the suggested scalar–tensor theories of modified dynamics is the non-relativistic, but Lagrangian-based, modified Poisson equation of Bekenstein & Milgrom (1984):
\[
\nabla \cdot (\mu(\nabla \phi) / a_0) \nabla \phi = 4\pi G \rho,
\]
where \( \mu \) is the function interpolating between the Newtonian regime \( [\mu(x) = 1] \) and the MOND regime \( [\mu(x) = x] \). In a scalar–tensor theory, \( \phi \) would refer only to the scalar field and not to the total gravitational potential. That is to say, in the weak field limit, such a theory would be a two-field theory where, in addition to \( \phi \), there is the ‘normal’ Newtonian field satisfying the usual Poisson equation.

PCG is a covariant scalar–tensor generalization for such modified gravity proposed by Bekenstein (1988a,b). Here, the scalar field is complex \( (\xi = q e^{i\phi}) \) and the field Lagrangian is standard:
\[
L_4 = \frac{1}{2} [q^2 \phi,\phi + q,\phi q,\phi + 2V(q)].
\]
The non-standard aspect is that only the phase couples to matter jointly with the gravitational tensor \( g_{\mu\nu} \), i.e.,
\[
L_1 = L_1[\exp(-2\eta \phi) g_{\mu\nu}...].
\]
This leads to the scalar field equation,
\[
(q^2 \phi')_\mu = \frac{8\pi G \eta c^4}{q^2}.
\]

Here, it is obvious that the scalar amplitude squared plays the role of the MOND interpolating function \( \mu \).

PCG looks like a proper field theory, with the Lagrangian possessing a self-interaction potential \( V(q) \) as well as a standard kinetic term. Bekenstein demonstrated that MOND-like phenomenology in a static background can result if \( V(q) \propto -q^3 \); i.e. galaxy phenomenology requires a ‘negative sextic’ potential. In general, an attraction falling less rapidly than \( 1/r^2 \) requires \( V(q) = dV/dq < 0 \) over some range of \( q \) (Sanders 1988).

The theory is interesting because it can possess a viable cosmological limit where \( a_0 \) is identified with \( \eta q_c \) (Sanders 1989), and as such, becomes a candidate for a cosmological effective theory of MOND. In an isotropic, homogeneous universe (Robertson-Walker), the equations for the cosmic evolution of the scalar phase and amplitude (Einstein conformal frame) are
\[
\hat{\phi} = 3 \frac{\eta}{q^2} \Omega_0 a^{-3} \tau
\]
and
\[
\hat{\rho} + 3\hat{h}q = -V'(q) + q\hat{q}^2,
\]
where \( \Omega_0 \) is the density parameter of the baryonic matter, \( a \) is the universal scale factor in terms of the present scale factor, \( \pi \) is in units of \( H_0^{-1} \) and \( h \) is the Hubble parameter in units of \( H_0 \).

Looking at equation (6), we see that the evolution of the scalar phase can be described in terms of a time-dependent ‘time-like’ effective potential,
\[
V(q) = V(q) + \frac{1}{2} k(\tau)^2 q^2,
\]
where, from equation (5), we have
\[
k(\tau) = 3\eta \Omega_0 a^{-3} \tau.
\]
The obvious attraction point of such a potential, \( \hat{q} \), is a local minimum, which implies that the bare potential should be a monotonically increasing function of \( q \), as in \( V(q) = Aq^3 \).

In a universe with inhomogeneities, the scalar phase and amplitude are given, in the quasi-static limit by the solutions to
\[
\nabla^2 \phi - q \nabla \phi \cdot \nabla \phi = V'(q) - \phi'^2.
\]
where \( \phi \) is the cosmic time derivative of the scalar phase given by equation (5) with \( q = \hat{q} \), its expectation value. Thus, the solution for
Here I am going to put back the kinetic term for \( q \). This is vital because I will identify oscillations in \( q \) with CDM, so its dynamics must be followed fully. The idea that long-wavelength scalar field oscillations may comprise dark matter is also not new: Press, Ryden & Spergel (1990) proposed that such ‘soft bosons’ would form after a late phase transition (after recombination) leading to the development of density fluctuations that would be the seeds for structure formation. The suggested potential provides a Compton wavelength of 30 kpc corresponding to a supposed current scale of large-scale structures (30 Mpc). In a unified model of dark energy and dark matter, Sahni & Wang (2000) also propose scalar field oscillations as dark matter and suggest that a large Compton wavelength could solve several of the outstanding observational problems of CDM haloes, such as the apparent absence of central density cusps and the low abundance of dwarf galaxies in the Local Group. They referred to such dark matter as ‘frustrated CDM’ (FCDM). This was also considered by Hu, Barkana & Gruzinov (2000) who emphasize that the de Broglie wavelength (the Compton wavelength extended by \( c/v \)) is the relevant clustering scale for such ‘fuzzy’ CDM. In the present context, the de Broglie wavelength should be sufficiently long that the bosons do not accumulate in galaxies at all; this could be termed SFCDM or ‘seriously frustrated CDM’.

For Galactic or Solar system phenomenology, we will see that it is necessary to take very weak coupling (\( q \ll 1 \)), so the scalar sector of the theory is very close to that of TeVeS. As in the stratified theory, I will not consider here the separate dynamics of the vector field; although, a dynamical vector field is a necessary requirement for General Covariance, and it must be included properly in any final theory. It does appear, however, that the only consequence of the dynamical vector field in the weak field limit is a rescaling of the local gravitational constant, \( G \), with respect to its cosmological value (Bekenstein 2004; Carroll & Lim 2004; Giannios 2005).

First of all, I describe a preferred-frame generalization of PCG because PCG, in a cosmological context, cannot produce the phenomenology of MOND.

3 BI-SCALAR–TENSOR–VECTOR (BSTV) THEORY

3.1 The scalar field equations

The normalized vector field, dynamical or not, takes its simplest (time-like) form in the cosmological frame. Therefore, a theory involving such a field is inevitably a preferred-frame theory. Moreover, the unit vector, \( A \), may be used to form a second scalar field invariant

\[
J = A^\mu A^\nu \phi_{,\mu} \phi_{,\nu}
\]

(14)

in addition to the usual invariant

\[
I = g^{\alpha \beta} \phi_{,\alpha} \phi_{,\beta}
\]

(15)

(Sanders 1997). Obviously, \( J = \phi^2 \) in the preferred cosmological frame, and

\[
K = I + J
\]

(16)

becomes the square of the spatial gradient of \( \phi \) in this frame \( (K = \nabla \phi \cdot \nabla \phi) \). This means that we can make use of the preferred frame to separate, and manipulate separately, the spatial and time derivatives of \( \phi \) at the level of the field Lagrangian.

Therefore, the theory that I consider will have the general scalar field Lagrangian,

\[
L_s = \frac{1}{2} \left[ q_{\mu} q^{\mu} + \hbar(q) K - f(q) J + 2V(q) \right].
\]

(17)
Taking combined with the matter action (equation 18 summed over particles).

For an ideal fluid, this becomes

$$ S_\phi = -mc \int \left[ -\bar{g}_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} \right]^2 dp, $$

where the physical metric $\tilde{g}_{\mu\nu}$ is given by equation (12), and $p$ is a parameter along the path.

The scalar field dynamics comes from the action

$$ S_\phi = \frac{c^2}{16\pi G} \int L_s \sqrt{-\tilde{g}} d^4 x $$

combined with the matter action (equation 18 summed over particles). Taking $\delta S_s = 0$ with respect to variations in $\phi$, one finds

$$ \left( P^{\mu\nu}_s \phi_a \right)_{,\mu} = \frac{8\pi G \eta}{c^2} T_{\mu\nu}[g^{\mu\nu} + (1 + e^{2\delta\phi})A^{\mu}A^{\nu}] $$

where $T_{\mu\nu}$ is the energy–momentum tensor in the physical frame and

$$ P^{\mu\nu}_s = h(q)g^{\mu\nu} + [h(q) - f(q)]A^{\mu}A^{\nu}. $$

For an ideal fluid, this becomes

$$ \left( P^{\mu\nu}_s \phi_a \right)_{,\mu} = \frac{8\pi G \eta}{c^2} (\bar{\rho} + 3\bar{p}) e^{2\delta\phi}, $$

where $\bar{\rho}$ and $\bar{p}$ are the density and pressure actually measured by a co-moving observer (see Bekenstein 2004 for a derivation of the source term). We note that, unlike usual scalar–tensor theory, photons and other relativistic particles act as a source for $\phi$. We also note that in the preferred frame $P^{\mu\nu}_s$ takes a particularly simple form with the space–space ($i$, $j$) and time–time (0, 0) components given by

$$ P^{ij}_s = h(q)g^{ij} - f(q). $$

Setting $\delta S_s / dq = 0$ yields the field equation for $q$:}

\begin{equation}
-\left( g^{\mu\nu} q_{,\mu} \right)_{,\nu} + \frac{1}{2} h'(q) \phi_{,a} q^{,a} - \frac{1}{2} \left[ h'(q) - f'(q) \right] A^{\mu}A^{\nu} \phi_{,\mu} \phi_{,\nu} + V'(q) = 0,
\end{equation}

which, in the preferred frame, simplifies to

\begin{equation}
\left( g^{\mu\nu} q_{,\mu} \right)_{,\nu} - \frac{1}{2} h'(q) \nabla \phi \cdot \nabla \phi + \frac{1}{2} f'(q) \phi^2 - V'(q) = 0.
\end{equation}

The complete theory includes the Einstein–Hilbert action for the tensor field and, in principle, an action for the vector field, but here the separate dynamics of the vector is not included. However, all operations are carried out in the preferred frame because this is where the equations assume their least complicated form.

General empirical considerations constrain the form of the free functions of the theory: $h(q)$, $f(q)$, and $V(q)$. First of all, assuming homogeneity and that $e^{2\delta\phi} \approx 1$ (justified below), the cosmic time derivative of $\phi$ in the matter-dominated epoch is found by integrating equation (22):

\begin{equation}
\dot{\phi} = \frac{-3\eta\Omega_0 \tau a^3}{f(q)}.
\end{equation}

Thus, in a cosmological setting ($\nabla q = 0$, $\nabla \phi = 0$), the evolution of $q$ (equation 25) is determined by a time-like effective potential (as in PCG)

\begin{equation}
V(q) = V(q) + \frac{1}{2} k(\tau)^2 \frac{f(q)}{f(q)}
\end{equation}

with $k(\tau)$ again given by equation (8). I wish to identify dark matter with $q$ oscillations in this effective potential. The fluid represented by such oscillations has an equation of state $p = w \rho$ and, for a power-law bare potential, it is straightforward to demonstrate that $w = (n - 2)/(n + 2)$ (Turner 1983). Identification of the scalar field oscillations with CDM ($w = 0$) requires $n = 2$; therefore, I take

$$ V(q) = \frac{1}{2} Aq^2 + B. $$

Although the theory contains an evolving component of dark energy (quintessence), it will be seen below that inclusion of the bare cosmological term $B$ is necessary to match the concordance model.

The cosmological expectation value of $q$ (i.e. $\bar{q}$) is given by the solution to

\begin{equation}
\frac{dV}{dq} = A\bar{q} - \frac{1}{2} \frac{k(\tau)^2 f'(\bar{q})}{f(\bar{q})} = 0.
\end{equation}

To be an attractor, this extremum in the potential must also be a minimum which requires that $V''(\bar{q}) > 0$. This condition, combined with equation (29), provides a general condition on $f(q)$: i.e.

$$ \frac{d\ln(f')}{d\ln(q)} < 1 $$

evaluated at $\bar{q}$.

One may question the use of such a time-dependent effective potential with a well-defined minimum because $V_s$ and $q$ are both functions of time. But the two time-scales are generally very different; the expectation value $\bar{q}$ varies on a cosmic time-scale while the period of oscillation about $\bar{q}$ is constant and fixed by the bare potential ($\propto 1/\sqrt{A}$). As long as the oscillation period is much shorter than the age of the universe (not true in the early universe) the concept of a time-like effective potential is meaningful.

In a universe with inhomogeneities, but where $\bar{q}$ can be neglected, we may view equation (25) as containing a space-like potential (as in PCG) that determines the spatial dependence of $q$:

\begin{equation}
V_s(\tau) = \frac{1}{2} Aq^2 + B - \frac{1}{2} \frac{k(\tau)^2}{f(q)} f(q).
\end{equation}

The extremum at $\bar{q}'$, given by the solution to $dV_s / dq = 0$, occurs at the same value of $q$ as the extremum in $V_s$; i.e. $\bar{q} = \bar{q}'$. But the extremum in this case must be a local maximum in $V_s(q)$; i.e. $V_s''(\bar{q}) < 0$, which implies a second condition on $f(q)$; i.e.

\begin{equation}
\frac{d\ln(f')}{d\ln(q)} > 1
\end{equation}
evaluated at $\bar{q}$. If conditions (30) and (32) are met, then the cosmological boundary condition on $q$ is satisfied; i.e. far from a mass concentration $q$ approaches its cosmological value.

One choice for the free functions that satisfy these conditions would be $h(q) = q^2$ and $f(q) = q^6$. This is appealing because it would provide a cosmological realization of Bekenstein’s negative sextic effective potential in static PCG and hence MOND phenomenology on an extragalactic scale. In fact, such a model leads to a scalar force rising as $1/r$ well into a galactic mass distribution; this fifth force, in addition to the Newtonian gravitational force, produces not flat but declining rotation curves in the outer parts of spiral galaxies. Moreover, this theory violates precise constraints on
1/r^2 attraction in the Solar system. These considerations require a slight modification of the free functions:

\[ h(q) = e^2 \left( 1 - e^{-q^2/\eta^2} \right) \]  

and

\[ f(q) = \frac{q^6}{e^2(q^2 + \eta^2)}. \]  

Here, in addition to the coupling strength parameter \( \eta \), a second parameter \( \epsilon \) (\( \approx 100 \eta \)) has been introduced.

It may appear that the presence of three free functions, \( V(q) \), \( h(q) \) and \( f(q) \), characterized by three free parameters, \( A \), \( \eta \) and \( \epsilon \), introduces a great deal of arbitrariness into the theory. In fact, given the structure of the theory (equation 17), the free functions must have forms similar to equations (28), (33) and (34). The potential must be introduced as \( \eta^2 \) to assure negligible scalar field effects and precise inverse square attraction. This is a theory strongly constrained by phenomenology.

3.2 BSTV as MOND

The quasi-static BSTV field equation for \( \phi \) in the presence of mass inhomogeneities (equation 22 with 23) is

\[ \nabla \cdot \left[ h(q) \nabla \phi \right] = \frac{8\pi G M \rho}{c^2} \]  

where \( q < \epsilon \), this becomes equivalent to that of static PCG, equation (9) \( h(q) \approx q^2 \).

The scalar force in the low-velocity limit is given by

\[ f_s = \eta^3 \nabla \phi. \]  

Then we find, by identification of equation (35) with equation (1), that the MOND interpolating function \( \mu \) is equivalent to \( q^2/2\eta^2 \). In the limit of weak coupling, where \( q^2 \ll \eta^2 \), may be neglected in the Lagrangian as in equation (13), and where \( q^2 \ll \eta^2 \) (the outskirts of galaxies) we may neglect the first and final terms in equation (25). With equations (33) and (34), this yields

\[ \mu = \frac{q^2}{2\eta^2} = \frac{\epsilon}{\sqrt{12}} \sqrt{\nabla \phi \cdot \nabla \phi} \]  

That is to say, in this limit the relation between \( q \) and \( \nabla \phi \) becomes algebraic (as in TeVeS). Equation (37) then implies that the MOND acceleration parameter should be identified as

\[ \alpha = \frac{a_0}{cH_0} = \frac{\sqrt{12} \eta \phi}{\epsilon}, \]  

where \( \phi \) is in units of the inverse Hubble time. In this theory, as in PCG, there is a clear cosmological origin of \( a_0 \) which is identified with the cosmic time derivative of the matter-coupling scalar field, \( \phi \).

The acceleration parameter evolves with cosmic time, and I take \( \delta_0 = 50 \) and \( \alpha_0 = 0.125 \) as its current value.

Far beyond a bounded mass distribution of total mass \( M \), the scalar force (equation 36) approaches

\[ f_s = \frac{3GM}{r^2}, \]  

where

\[ \delta = \frac{2\eta^2}{q^2}. \]  

That is to say, the total force, scalar plus Newtonian, becomes \( 1/r^2 \) with a ratio of the total to Newtonian force of \( 1 + \delta \).

The full solution for \( q \) and \( \phi \) about a mass concentration involves solving equation (35) simultaneously with equation (25) which, in this limit, may be written as

\[ \nabla^2 q - h(q) \nabla \phi \cdot \nabla \phi = V'_s(q) \]  

where the space-like effective potential is

\[ V_s(q) = \frac{1}{2} \left[ Aq^2 - f(q)q^2 \right] + B \]  

with \( h(q) \) and \( f(q) \) given by equations (33) and (34).

The basic parameters of the theory are the scalar mass-squared \( A \), the coupling constant \( \eta \) and an additional parameter \( \epsilon \) which has no effect on galactic fields so long as \( \epsilon > 100 \eta > q \). The procedure followed here is to take values of the MOND acceleration parameter \( \alpha_0 \) and maximum mass discrepancy, \( \alpha_0 \) and \( \delta_0 \), that are consistent with galaxy phenomenology in the context of MOND (i.e. \( \delta_0 > 25 \) and \( \alpha_0 < 0.2 \)) and then to determine the parameters of the theory that are required by these phenomenological constraints. In fact, the values of \( A \) and \( \eta \) are not specified by these considerations but are related through the condition that \( V'_s(q_0) = 0 \).

Figure 1. The log of the Newtonian force, \( f_n \), scalar force, \( f_s \), and total force, \( f_{tot} \), in units of \( a_0 \) plotted against the log of the radial distance from a point mass in units of the MOND radius \( \sqrt{GM/a_0} \). Also shown are the Newtonian force and the total force.

\[ \frac{\delta_0}{\alpha_0} = 50 \]  

and \( \alpha_0 = 0.125 \).
3.3 BSTV as CDM

The cosmological expectation value of $q$, given by the condition (29), is

$$ q^4 = \frac{k(\tau)^2e^2}{2A} \left[ 1 + \left\{ 1 + 12\frac{A\eta^4}{(k(\tau)e^2)} \right\}^{\frac{1}{4}} \right]. $$

(46)

Given the form of $k(\tau)$ is evident that $q^4$ decreases as the universe ages.

The actual value of $q$ will oscillate about this expectation value and is given by the solution to equation (25); in the cosmological (homogeneous) limit this becomes

$$ \dot{q} + 3h\dot{q} = -V'_{\Omega}(q), $$

(47)

where $V'_{\Omega}$ is determined from equation (45). The Einstein field equation for the gravitational metric remains the same but the two scalar fields contribute to the energy–momentum tensor. Thus, the Friedmann equation for the expansion of the universe becomes

$$ h^2 = \Omega_a a^2 + \Omega_\phi e^{-2\phi}\dot{a}^{-3} + \frac{1}{6} q^2 + f(q)\phi^2 + 2V(q), $$

(48)

where $\Omega_a$ is the density parameter of radiation, and I have assumed that $\Omega_\phi = 1$.

The response time of the scalar field to the effective potential is comparable to the oscillation period at the bottom of the time-like potential well; i.e. for small oscillations,

$$ \tau_{\phi} = \frac{2\pi}{\sqrt{V'_{\Omega}(q)}}, $$

(49)

where

$$ V'_{\Omega}(\phi) = A \left[ 1 + \frac{d\ln(f^2(q)\phi^2 + 2V(q))}{d\ln(q)} \right]. $$

(50)

Then, from equation (43) it follows that,

$$ \tau_{\phi} \approx \frac{2.2}{\delta_0 \eta} \delta_0. $$

(51)

For the parameters yielding the MOND phenomenology, described above, $(\eta = 3 \times 10^{-3}, A = 6.9 \times 10^8 H_0^2)$ this is $\approx 2.6 \times 10^{-5} H_0^{-1}$ (about $3.2 \times 10^5$ yr if $H_0 = 75$). While the universe is younger than $\tau_{\phi}$, the scalar field cannot respond to the evolving effective potential. The scalar field $q$ will remain at its initial value until $\tau \approx \tau_{\phi}$, will then seek the effective potential minimum and oscillate about that value. The oscillations would thus constitute dark matter, in the form of soft bosons, with a Compton wavelength of about $c\tau_{\phi} \approx 110$ kpc.

The evolution of a model universe with these properties has been followed by numerically integrating equations (26), (47) and (48). Here, I have set $\Omega_a = 0.04$, which requires $\epsilon = 2 \times 10^{-5}$ to be consistent with the supposed MOND phenomenology ($\alpha_0 = 0.125, \delta_0 = 50$). In integrating equations (47) and (48), it is assumed that the scalar field oscillations remain coherent down to the present epoch. The results are shown in Figs 3–5. In Fig. 3, we see the early evolution of the scalar field $q$ as a function of scale factor $a$. This illustrates the point made above: for $a \geq 10^{-3}$, corresponding to an age of roughly $3 \times 10^{-5} H_0^{-1}$, the response time of the scalar field to the effective potential becomes less than the age of the universe; $q$ feels the potential and seeks its minimum. But it overshoots the equilibrium point and runs against the ‘centrifugal’ barrier $k(\tau)^2/f(q)$. The oscillations persist through the present epoch; their contribution to the present density of the universe depends upon the amplitude, which depends upon the initial value of the scalar field $q$. Here, it was necessary to set this to 1.2 $M_\odot$ (Planck mass) to achieve $\Omega_\phi = 0.3$. The time-dependent density parameters of radiation ($\Omega_\phi$),
In the text. The heavy solid line shows the cosmic evolution of the expectation value, \(\bar{q}\) (the bottom of the potential well). For \(a < 10^{-3}\) the response time of the scalar field to the effective potential is longer than the age of the universe.

In this theory, there is an evolving component of the vacuum energy (quintessence) equal roughly to the bare quadratic potential \(V \approx Aq^2\). With \(A\) given by equation (43) and making use of equation (40), we find that \(V \approx \alpha_0^2/\delta_0^3\); i.e. the evolving component cannot comprise a large fraction of the present total energy density and be consistent with MOND. Therefore, I have set the bare cosmological term \(\delta = 2.1\) to force the present composition of the universe to be that of the concordance model (\(\Omega_L = 0.7\)).

Over the course of the evolution of the model universe, from \(a = 10^{-3}\) to \(a = 2\), the quantity \(2\delta \phi\) changes from its initial assumed value of zero to \(-1.4 \times 10^{-3}\). Therefore, the approximation \(\exp(-2\delta \phi) \approx 1\) in determination of \(\phi\) (equation 26) appears to be justified. This also implies that the effective value of the constant of gravity \(G\) has not varied by more than a 0.5 per cent over the history of the universe, so there is no contradiction with the standard big bang nucleosynthesis.

However, because of the cosmic evolution of \(\bar{q}\) and \(\bar{\phi}\), those aspects of galaxy kinematics related to MOND, the acceleration parameter, \(\alpha\), and the maximum mass discrepancy, \(\delta\), will evolve with cosmic time. This is shown in Fig. 5 where we see these quantities as a function of scale factor. The change in the MOND critical acceleration, \(\alpha\), would be noticeable as an evolution of asymptotic rotation velocity and consequently of the Tully–Fisher relation for spiral galaxies. For example, at a redshift of \(z = 1\), the acceleration parameter is roughly half its present value, which implies that the asymptotic circular velocity corresponding to an object of a given mass would be only 85 per cent of its present value.

The Compton wavelength of the bosonic dark matter, in units of \(c\), is given by \(\langle \tau_c \rangle\), equation (51). Taking \(h_0 = 0.75\), this may be written as

\[
\lambda_c = 8.8 \times 10^6 \frac{\delta_0 \eta}{\alpha_0} \text{kpc.} \tag{52}
\]

The observations of the CMB anisotropies place an upper limit on \(\lambda_c\) because the scalar dark matter must form well before recombination \((\tau_r < 3 \times 10^{-5})\). If the soft bosons are to cluster on at least the scale of the third peak in the CMB power spectrum, then \(\lambda_c < 40\text{kpc}\).

The condition that the bosons do not collect in galaxies places a lower limit on \(\lambda_c\). The minimum clustering scale is the de Broglie wavelength given by \(\eta = \lambda_c (c/\nu)\), where \(\nu\) is the velocity dispersion of a bound object \((v \approx 300\text{ km s}^{-1}\) for a massive galaxy). If, for example, \(\lambda_c > 50\text{kpc}\), it must be the case that \(\eta > 0.05\text{kpc}\).

These two conditions taken together restrict the range of \(\eta\) from equation (52) we find

\[
7 \times 10^{-12} \leq \eta(0.125/\alpha_0)(\delta_0/100) \leq 6 \times 10^{-9}. \tag{53}
\]

If \(\eta\) lies within these limits, then the bosons could collect in clusters of galaxies \((\eta \leq 1\text{ Mpc})\) while avoiding galaxies. This is a possible solution to the problem of the remaining mass discrepancy in clusters in the context of MOND (Sanders 2003).

Below, we see that Solar system phenomenology places an even more stringent upper limit on \(\eta\) and hence, via inequality (53), a lower limit on the maximum mass discrepancy \(\delta_0\).

### 4 SOLAR SYSTEM CONSTRAINTS

The solution of the BSTV field equations about a galaxy-scale \((10^{11} \text{M}_\odot)\), albeit spherical, mass distribution, as shown in Fig. 1,
implies that \( q \approx 2.2 \eta \) at the approximate position of the Sun \( (r = 8 \text{ kpc}) \), corresponding to a local discrepancy of \( \delta \approx 0.4 \). In other words, in the outer Solar system there is a scalar field acceleration that is comparable to the Newtonian acceleration.

In the inner Solar system, the avoidance of locally detectable preferred frame effects limits the contribution of a scalar field to the total force (Sanders, in preparation); specifically, the acceleration due to the scalar field gradient must be substantially smaller than the Newtonian acceleration, i.e. \( f_s < 10^{-4} f_n \). Moreover, within the orbit of Neptune, planetary motion restricts the total weak field force, Newtonian plus scalar, to be quite precisely inverse-square, such that any spatial variation of Kepler’s constant, \( GM_\odot \), is less than several parts in about \( 10^5 \) (Anderson et al. 1995). Therefore, the substantial scalar field acceleration present in the Galaxy at the radius where a test particle would begin to feel the acceleration of the Sun (about 1 pc) must become significantly smaller than the Newtonian force within the orbit of Neptune. This provides, from the following argument, an upper limit on the scalar field coupling \( \eta \).

In the limit where \( q < \epsilon \), the quasi-static field equation for \( q \) about a point mass (equation (41)) may be written as

\[
\nabla^2 q = \frac{2qGM}{c^2q^2} \frac{1}{r^2} - \frac{1}{2} f'(q) \eta \hat{\mathbf{r}}^2.
\]

Defining \( q = q/\delta_0 \) and \( x = r/r_m \) where \( r_m = \sqrt{GM/\delta_0} = \sqrt{\rho_\odot r/\odot} \) (\( r_s \) is the Schwarzschild radius and \( r_H \) is the Hubble radius) and making use of equations (38) and (40), this may be rewritten as

\[
\frac{2q^2}{\delta_0 \delta_0} \frac{r_H}{r_s} \nabla^2 y = \frac{1}{6} \frac{\delta_0}{\delta_0} y.
\]

Here for the final term I have assumed that \( q > \eta f'(q) \approx 2q/\epsilon^2 \) which is generally the case within the Galaxy. Equation (55) may be used to estimate the radius at which a massive object begins to affect the variation of \( q \). Setting \( \nabla^2 y \approx 1/x^2 \) (i.e. \( \Delta y = 1 \)) we find a critical radius given by

\[
r_c \approx \frac{1}{2} \frac{\delta_0}{\eta} r_s.
\]

This means that the anomalous force about the Sun would increase as \( 1/r^2 \) into \( r_c \), within which mass of the Sun would affect the radial dependence of \( q \), leading to a non-inverse square force. The requirement that this deviation from \( 1/r^2 \) be unnoticeable in the inner Solar system places a lower limit on \( r_c \) (e.g. 1000 au) and therefore a revised upper limit on \( \eta \); i.e.

\[
\eta < 10^{-11} \delta_0 \left( \frac{M}{M_\odot} \right)^{0.5} \left( \frac{1000 \text{ au}}{r_c} \right).
\]

Fig. 6 illustrates the numerical solution to the BSTV field equations (equations 35 and 41) about a 1-M\(_\odot\) object with \( q_0 = 2\eta \delta_0 = 0.4 \) at infinite radius (the star is embedded in the Galaxy). I have taken three different values of \( \eta \) (2, 3 and \( 5 \times 10^{-12} \)). In all cases, \( \epsilon = 141\eta \). The dashed line on the log–log plot is the Newtonian force and the long dashed, dotted and solid curves show, respectively, the anomalous scalar force for these three different assumed values of \( \eta \). We see that in all cases, the anomalous force increases as \( 1/r^2 \) into about 1000 au, and then becomes constant at a value between 4 and \( 100 \times 10^{-8} \text{ cm s}^{-2} \) depending upon the assumed value of \( \eta \). The scalar force remains constant until \( q \rightarrow \epsilon \) at which point the scalar force is given by \( f_s = (2q^2/\epsilon^2) f_n \); i.e. at smaller radii the force is again \( 1/r^2 \) but a factor of \( 2q^2/\epsilon^2 \) below the Newtonian force. This, in fact, is an additional motivation for the parameter \( \epsilon \) as given in equation (33).

It would appear that a constant force in the outer Solar system is unavoidable in the context of this theory. This is interesting in view of the anomalous acceleration detected in the outer Solar system by the Pioneer spacecrafts – the ‘Pioneer anomaly’ – which is on the order of \( 10^{-7} \text{ cm s}^{-2} \) (Anderson et al. 1998). If the predicted anomalous force is to be less than the Pioneer anomaly, then \( \eta < 3 \times 10^{-12} \). This upper limit, combined with the lower limit given by the condition that the soft bosons should not accumulate in galaxies, inequality 53, places a limit upon the maximum discrepancy in the present universe; i.e. \( \delta_0 \geq 2000 \) if \( \eta \leq 3 \times 10^{-12} \). Thus the predicted galaxy phenomenology approaches that of pure MOND with a very large maximum discrepancy.

In this limiting case, corresponding to \( \lambda_c \approx 50 \text{ pc} \), the response time of the scalar field would be about 160 yr; i.e. scalar field oscillations would appear when the universe approximately 200-yr old or at \( a = 5 \times 10^{-6} \), well before decoupling. This would seem to guarantee that the soft bosons could cluster down to the scale of the third or fourth peak in the CMB angular power spectrum, although detailed calculations would be required to confirm consistency with the observed power spectrum. This limit would also assure that the bosons could penetrate to the cores of rich clusters of galaxies.

As in all scalar–tensor theories, there is a predicted time variation of the baryonic mass unit or, equivalently, of the gravitational constant. In this case, it arises because the source term for the gravitational metric, \( g_{\alpha\mu} \), contains the expression \( -\eta \phi \). Therefore, the cosmological variation of \( G \) would be given by

\[
\frac{G}{G_0} = -\eta \phi = 2\epsilon a_{0} H_0.
\]

Given that the MOND acceleration parameter \( a_0 \approx 0.2 \) and that \( \epsilon \approx 200 \eta \), then with the above limit on \( \eta \) this would imply that \( G/G_0 \approx 10^{-11} H_0 \), well below the current limit imposed by lunar laser ranging; i.e. \( G/G_0 < 0.1 H_0 \) (Williams et al. 2004).

5 CONCLUSIONS

The near numerical coincidence between the MOND acceleration parameter and \( cH_0 \) suggests that the proper theory of MOND should...
be an effective theory – that MOND phenomenology results only in a cosmological background. If so, then the goal is not to derive a relativistic theory that predicts, on the one hand, MOND phenomenology about mass concentrations, and on the other hand, leads to a viable cosmology in a homogeneous universe. Rather, the correct theory may well be one in which MOND reflects the influence of cosmology on local particle dynamics and arises only in a cosmological setting.

It goes without saying that this theory is not GR, because in the context of GR local particle dynamics is immune to the influence of cosmology. This may be demonstrated via the Birkhoff theorem (Will & Nordtvedt 1972), but ultimately comes down to the strict application of the Equivalence Principle (the Strong Equivalence Principle) in GR, which permits no environmental influence on local particle dynamics (apart from tides). But, as was first fully appreciated by Dicke (1962), this does not apply to scalar–tensor theory where the cosmology-encoded scalar field, determined by the universal mass distribution and its time evolution, pervades every corner of the universe. This suggests that a cosmological basis for MOND may be provided by scalar–tensor theory.

However, as was also appreciated by Dicke, if scalar–tensor theory is to satisfy the precise experimental constraints on the universality of free fall (the Weak Equivalence Principle), then it must couple to matter jointly with the Einstein metric. The simplest such coupling, via a conformal transformation of the Einstein metric, leads to the serious problem of gravitational lensing in the context of MOND — no enhanced deflection of photons due to the scalar field. This problem may be solved by postulating a non-conformal relation between the Einstein and the physical metrics involving a normalized dynamical vector field (as in TeVeS), but such a field may also produce unobserved effects of the preferred cosmological frame on local particle dynamics.

The PCG field equation, or its weak coupling limit, provides a mechanism by which unwanted local dynamical effects of the scalar or vector fields may be suppressed in the limit of high field gradients (e.g. in the Solar system) where the proper theory of gravity is very nearly GR. In this context, the outskirts of galaxies would be the transition region between a preferred-frame tensor–vector–scalar cosmology and a GR-dominated local dynamics that is protected from cosmological influence. This transition would be observable as an acceleration-dependent deviation from Newtonian dynamics, or MOND.

I have shown here that a preferred-frame generalization of PCG, i.e. a BSTV theory, can be an effective theory of MOND in the sense that it provides a cosmological realization of Bekenstein’s negative sextic potential in the context of static PCG. Moreover, it is a cosmologically evolving effective potential, and the MOND phenomenology can only result in the context of an Friedmann–Robertson–Walker cosmology. The theory is characterized by three parameters: in addition to the mass-squared of the bare potential, $A$, and the coupling strength parameter, $\eta$, it was necessary to add a third parameter, $\epsilon$, which permits a sensible value of $\Omega_2$ in the presence of MOND phenomenology (equation 44), provides a return to $1/r^2$ attraction in the inner Solar system (Fig. 6) and tames the rapid evolution of $G$ (equation 58).

The evolving potential also provides a mechanism which, with the quadratic bare potential, inevitably produces cosmological CDM in the form of scalar field oscillations. This unavoidable appearance of cosmological dark matter is a primary motivation for the theory. Such dark matter appears to be required by a variety of considerations ranging from CMB anisotropies to the observed relative dimming and re-brightening of SNIa.

However, if the dark matter is not to accumulate in galaxies, then it must be seriously frustrated; i.e. the Compton wavelength, extended by $c/\epsilon v_{\text{int}}$ should be larger than the scale of galaxies. I have demonstrated that this implies a lower limit to the strength of the scalar field coupling to baryons (equation 53) — a limit that also depends upon the maximum ratio of the scalar-to-Newtonian forces (the maximum discrepancy). There is also an upper limit on the coupling parameter required by the suppression of a non-inverse square anomalous force in the outer Solar system — that is to say, any non-inverse square force must be smaller than that implied by the Pioneer anomaly or by planetary motion. However, in view of the Pioneer anomaly, it is of interest that the theory requires, at some level, a constant anomalous acceleration in the outer Solar system.

I emphasize that the present theory is not an alternative to Bekenstein’s TeVeS; it is an example of TeVeS, but one which explicitly involves two scalar fields; in Bekenstein’s theory, the second field is implicit (or not explicitly dynamical). However, this BSTV theory is incomplete. The complete covariant theory requires consideration of the full dynamics of the vector field, and, then, following Bekenstein, an examination of the properties of wave propagation. There remains the danger of acausal propagation or instability of the background solution. I leave this for later consideration because, as is evident, the procedure followed in constructing this theory, and that of Bekenstein, is different from what is usually done in relativity and cosmology. Here, a theory is built up from the bottom by adding bits and pieces as required by phenomenology. This may seem unfamiliar or cumbersome because the usual methodology in this field is more deductive; here the approach to the final theory is incremental.

It may be that the basis of MOND lies in another direction entirely — as a modified non-local particle action (Milgrom 1994). It may also be that the observational case for CDM has been overstated, as argued by McGaugh (2004b). But the weight of present observational evidence implies that the final theory of MOND should reproduce, or at least simulate, the effects of CDM upon cosmic expansion and upon CMB anisotropies.

ACKNOWLEDGMENTS

I am grateful to Jacob Bekenstein and Moti Milgrom for helpful criticisms and comments, which had a major positive impact on this work. I also thank Stacy McGaugh for helpful comments on the manuscript.

REFERENCES


Jacobson T., Mattingly D., 2001, Phys. Rev. D, 64, 024028
Ostriker J. P., Steinhardt P. J., 1995, Nat, 377, 600

This paper has been typeset from a TEX/LATEX file prepared by the author.