Kingfisher feathers - colouration by pigments, spongy nanostructures and thin films
Stavenga, Doekele G.; Tinbergen, Jan; Leertouwer, Hein L.; Wilts, Bodo D.

Published in:
Journal of Experimental Biology

DOI:
10.1242/jeb.062620

IMPORTANT NOTE: You are advised to consult the publisher's version (publisher's PDF) if you wish to cite from it. Please check the document version below.

Document Version
Publisher's PDF, also known as Version of record

Publication date:
2011

Link to publication in University of Groningen/UMCG research database

Citation for published version (APA):

Copyright
Other than for strictly personal use, it is not permitted to download or to forward/distribute the text or part of it without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license (like Creative Commons).

Take-down policy
If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

Downloaded from the University of Groningen/UMCG research database (Pure): http://www.rug.nl/research/portal. For technical reasons the number of authors shown on this cover page is limited to 10 maximum.
The colours of the common kingfisher, *Alcedo atthis*, reside in the barbs of the three main types of feather: the orange breast feathers, the cyan back feathers and the blue tail feathers. Scanning electron microscopy showed that the orange barbs contain small pigment granules. The cyan and blue barbs contain spongy nanostructures with slightly different dimensions, causing different reflectance spectra. Imaging scatterometry showed that the pigmented barbs create a diffuse orange scattering and the spongy barb structures create iridescence. The extent of the angle-dependent light scattering increases with decreasing wavelength. All barbs have a cortical envelope with a thickness of a few micrometres. The reflectance spectra of the cortex of the barbs show oscillations when measured from small areas, but when measured from larger areas the spectra become wavelength independent. This can be directly understood with thin film modelling, assuming a somewhat variable cortex thickness. The cortex reflectance appears to be small but not negligible with respect to the pigmentary and structural barb reflectance.

Key words: iridescence, scattering, feather barbs, feather cortex, pigment granules.

## INTRODUCTION

The plumage of many birds is highly attractive, especially when the feathers are patterned in strongly contrasting colours. A particularly striking bird is the common kingfisher, *Alcedo atthis* L., which shows a strong contrast between the orange feathers of the breast, the cyan feathers of the back and the blue tail feathers. Here, we investigated the anatomical basis and the optical characteristics of the kingfisher’s feathers.

Orange colours are generally caused by pigments that selectively absorb short-wavelength light. When these pigments are embedded in a diffusive medium, only the long-wavelength part of incident broadband light is reflected and scattered. In contrast, blue or green animal colouration is virtually always due to periodic structures that reflect and scatter incident light of a restricted short-wavelength range (Srinivasarao, 1999; Vukusic and Sambles, 2003; Kinoshita and Yoshioka, 2005; Prum, 2006). Pigmentary and structural colouration are often found simultaneously, not only in birds but also in many other animals, for example butterflies, beetles and lizards (Kinoshita, 2008). Birds possess various pigment classes, for instance carotenoids, pterins, porphyrins, psittacofulvins and melanins (McGraw, 2006; Hill and McGraw, 2006), and various mechanisms of structural colouration, namely thin films, multilayers, photonic crystals, keratin spongy nanostructures and nanofibres (e.g. Durrer, 1977; Shawkey et al., 2003; Shawkey et al., 2006; Yoshioka et al., 2007; Doucet and Meadows, 2009; Prum et al., 2009; Stavenga et al., 2010; D’Alba et al., 2011). The predominant location of colouration is the feathers, often either the barbs or the barbules. The colour of *A. atthis* feathers has been attributed to spectrally selective pigment and to a spongy nanostructure in the barbs (Finger, 1995). Here, we show that the barb cortex, which acts as a thin film of varying thickness, also contributes noticeably to the reflectance spectra.

## MATERIALS AND METHODS

The photograph of *Alcedo atthis* shown in Fig. 1A was taken by S. Puijman near Wedde (the Netherlands). The studied feathers were from specimens in the bird collection of the Groningen University (curator, S. Ackermann) and the Ecodrome museum (Zwolle, The Netherlands; curator, G. Beersma).

Scanning electron microscopy (SEM) on barb sections was performed with a Philips XL-30 ESEM. The images of the back and tail feather barbs, containing spongy structures, were Fourier transformed using Matlab or ImageJ.

Reflectance spectra of large feather areas were measured with an integrating sphere (AvaSphere-50-Ref; Avantes, Eerbeek, Netherlands). The light source was a halogen–deuterium lamp and the reflected light was collected by a photodiode array spectrometer (AvaSpec-2048-2; Avantes). A white diffusing reflectance standard (WS-2; Avantes) served as the reference.

Reflectance spectra of small barb areas (size, ~5–10μm) were measured with a microspectrophotometer, i.e. a microscope (Olympus 20× objective, NA 0.46) with an adjustable measurement diaphragm, connected to the Avantes spectrometer.

Angle-dependent reflectance spectra were measured with a set-up consisting of two fibres rotating independently from each other around the same axis in a plane containing the barb axis. One fibre, delivering light from a xenon lamp, illuminated an area with diameter ~4 mm; the other fibre captured the reflected light and delivered it to the spectrometer (see also Stavenga et al., 2011).

The feather barbs were further examined with an imaging scatterometer (Stavenga et al., 2009). The barbs were locally illuminated (spot size diameter, ~10μm), and the light scattered into a 180 degree hemisphere was recorded.

## RESULTS

### Feather colours

The common kingfisher, *A. atthis*, has an orange breast, a mostly cyan back (mantle) and a blue tail; the wings and head have a mix of blue and blue–green feather patches (Fig. 1A). The feathers strongly overlap (Fig. 1B), and all three main feather types (orange,
cyan and blue; Fig. 1C–E) are only coloured in fairly restricted tip areas, i.e. the areas that are exposed. The feather areas in the plumage that are covered by other feathers are greyish-black. Inspection with a light microscope revealed it is the feather barbs that are distinctly coloured, while the rachis and barbules are either whitish or grey, except for the tip area of the orange feathers, where the barbules are also coloured.

We measured the reflectance spectra of the tip areas of the three feather types with an integrating sphere (Fig. 2). The reflectance of the orange feathers is low in the short-wavelength range and high at long wavelengths, suggesting the presence of a pigment selectively absorbing in the shorter wavelength range, below 600 nm. The spectra of the cyan and blue feathers are quite different, with high reflectance below 500 nm and low reflectance above 600 nm. The cyan and blue spectra therefore probably have a structural basis. The spectral reflectance of the grey, non-coloured areas was in all three cases small, slightly increasing with wavelength, typical for melanin.

**Feather barb structure**

We applied SEM to reveal the structure of the feather barbs. The barbs appear to be compartmentalised into cells enveloped by a cortex (Fig. 3). The cellular compartments of the orange breast feather barbs are hollow (Fig. 3A–C). Attached to the cell walls, small (<0.5 μm) granules are seen, which presumably contain the pigment responsible for the orange colouration. In contrast, the barbs of the other feather types contain spongy-structured cells with a central vacuole (Fig. 3D–F). The marked differences in anatomy suggest that the different barb structures underlie the different colour appearances of the feathers.

Structural colouration requires some order or periodicity of the light-reflecting and -scattering structures. We investigated whether the sponge cells in the barbs of both the cyan back feathers and blue tail feathers are ordered by applying a fast Fourier transform (FFT) to SEM images of planar sectioned spongy structures. The barbs of both feather types appeared to be amorphous with a short-range order (Fig. 4A,B), but the dimensions of the spongy structures differ slightly, as indicated by their Fourier power spectra (Fig. 4C,D). The ring diameters in the FFT patterns of the cyan back feather barb and the blue tail feather barb correspond to spatial distances of 211±9 nm and 187±7 nm, respectively. This conforms with the cut-off of the back feather’s reflectance spectrum being at a longer wavelength compared with that of the tail feather (half-maximal values, ~570 and 550 nm, respectively).

To ascertain whether the sponge structures inside the barb cortex are the source of the colouration, we observed a back feather barb with an epi-illumination light microscope, using a linear polariser in the incident beam (Fig. 5). With a parallel analyser, the barb had a blue–green, spotty appearance, with a central, whitish line, presumably due to surface reflections. With a crossed analyser, the line vanished but the main barb colour simultaneously changed to dark blue (Fig. 5B). Apparently, the light reflected by the barb interior was partly depolarised, and predominantly so for the shorter wavelengths. That the coloured reflections originate from the sponge cells was demonstrated by cutting the barb and exposing the cut-end to xylene, a fluid with a refractive index close to that of the barb material. The xylene rapidly invaded the barb, presumably due to capillary forces. Within 1 min the coloured reflections separated into small patches. With a parallel polariser and analyser the colour of the reflected light was found to be blue–green (Fig. 5C), but with a crossed polariser and analyser the cells became bluish (Fig. 5D). After a few more minutes the
coloured reflections vanished. The xylene evidently reduced the refractive index contrast in the sponges and thus ultimately annihilated the reflections.

The different sponge cells in the same barb did not all have the same colour, and occasionally fully aberrant coloured cells were encountered (Fig. 6A). Also, the cells were not homogeneously coloured. With proper focusing of the microscope the vacuoles could be recognised as dark areas with a bright centre (Fig. 6A). Nevertheless, the reflectance spectra of the differently coloured cells, measured with a microspectrophotometer, had a similar shape and appeared to be simply shifted along the wavelength scale (Fig. 6B). In the back and tail feathers, the barb tips have approximately the same colour. The small, light coloured feathers of the kingfisher’s head, however, have a central area where the barbs are bluish-green and towards the tips the barbs gradually become reddish (Fig. 6C). Indeed, reflectance spectra from barb areas in the central and tip region showed a distinct peak shift (Fig. 6D), indicating that the head feather barb tips also contain spongy structures.

**Feather iridescence**

Generally, pigment-coloured substances scatter light diffusely and structurally coloured materials exhibit directional reflections. We investigated the spatial aspects of the light reflected by the feather barbs with an imaging scatterometer, which provides images of the light scattered (or reflected) in the hemisphere above the illuminated object. A small area (diameter, ~5 μm) was illuminated by a white light beam with a narrow aperture of ~5 deg. The longitudinal axis of the barbs was oriented horizontally. In the case of an orange breast feather barb (Fig. 7A), orange light was scattered into virtually the full hemisphere, showing that the barb approximated a diffuser. However, superimposed on the wide-angle scattering a vertical whitish line emerged, due to reflections from the barb cortex. The vertical line featured interference patterns, indicating that the cortex acts as a thin film (see below). Fig. 7B–D show scatterograms of a blue tail feather barb, a cyan back feather barb and the red tip of a head feather barb. The spatial scattering, although spotty, was also wide angled, but the angular profile was wavelength dependent, with a larger angular spread occurring for the shorter wavelengths. In these cases too, a whitish, vertical line, due to cortex reflections, was superimposed on the coloured scattering. The cortex reflection lines did not always cover the full hemisphere (Fig. 7B–D), indicating that the barbs were not smooth circular cylinders.

The spongy barbs were clearly iridescent. We measured the angular dependence of the reflectance spectra of the tip area of a
Kingfisher feathers

back feather with a setup that consists of two coaxially rotating optical fibres (Fig. 8A). The feather was positioned so that the rotation axis was in the feather plane, perpendicular to the barbs. The spectra were measured while always applying the same, normal illumination; that is, the angle of light incidence was $\theta = 0^\circ$ (Fig. 8A, inset). The angle, $\varphi$, of the detection fibre, rotating in a plane parallel to the longitudinal axes of the barbs, was varied. The shape of the reflectance spectrum gradually changed with an increase of the scattering angle, with the peak shifting to shorter wavelengths (Fig. 8A; compare also Fig. 7B–D).

Fig. 6. Variations in the structural colouration of the barbs. (A) Back feather barbs with mainly similar bluish coloured cells. Occasionally an aberrant cell with a different colour (here pinkish) occurs. The vacuoles are distinguishable as dark areas with a central bright spot (arrowheads). Bar: 50 $\mu$m. (B) Reflectance spectra measured from small areas (~5 $\times$ 5 $\mu$m$^2$) of the blue and pink areas of the barbs in A. (C) Barbs of a head feather with blue–green cells in the central feather area (left), but towards the tip the colour becomes reddish. Bar: 100 $\mu$m. (D) Reflectance spectra from the central (C, left) and tip (C, right) area of the head feather barbs.
Repeating the same measurement with a polarisation filter in front of the detection fibre yielded very similar reflectance spectra for s-(or TE-) and p-(or TM-) polarised light at all detection angles; the peak wavelength (Fig. 8B) and the amplitude (Fig. 8C) had approximately the same angle dependence. Also, when the angle of light incidence was changed to \( \theta = 60 \) deg, the reflectance spectra...
obtained for TE- and TM-polarised light hardly differed at all detection angles (Fig. 8B,C). Interestingly, the reflectance showed a sudden high maximal value for a detection angle value $\varphi=\gamma=10^\circ$; that is, when $\varphi=\theta+\gamma$, where $\gamma=10^\circ$ is the local tilt angle of the feather barbs. The peak resulted from the specularity of the cortex (visible as the white line in Fig. 7B–D).

**Barb cortex acting as a thin film**

To further investigate the separate contributions of the sponge cells and the enveloping cortex to the reflectance measurements, we obliquely sectioned a tail feather barb. This showed the sponge cells as irregularly shaped, coloured blocks within the cortex (Fig. 9A; see also Fig. 3E). The reflectance spectra measured with a microspectrophotometer from the exposed sponge cells slightly varied in amplitude and spectral location (Fig. 9B; see also Fig. 6). In the sectioned barbs not only coloured sponge cells became exposed but also cells with grey–black melanin pigments, positioned below the sponge cells. The latter clearly serve as an absorbing layer below the sponge cells, so as to enhance colour contrast.

The spectra measured from larger areas of isolated cortex, of $>10\mu m$ in size, were more or less flat, but small areas featured periodic oscillations, which increased in amplitude with increasing wavelength (Fig. 9B). The oscillations were sometimes also easily recognisable in the spectra of intact barbs and thus demonstrate that the cortex acts locally as a thin film.

It is well known that the reflectance spectrum of a thin film with thickness $d$ and refractive index $n$ has extrema (minima and maxima) for wavelengths $\lambda_0=\upsilon/u$, where $c$ is a constant and $\upsilon>0$ and an integer (minima for even values of $u$ and maxima for odd values of $u$). The constant $c=4nd\cos\theta$, where $\theta$ is the angle of light incidence, reduces to $c=4nd$, because in the reflectance measurements of Fig. 9B, $\theta=0^\circ$. We evaluated the wavelengths of the extrema in the cortex spectra of Fig. 9B and plotted their inverse values in Fig. 9C as a function of their extremum number $\upsilon$; the value of the extremum numbers was deduced with the assumption that the inverse wavelengths have to fit a linear function: $1/\lambda_0=\upsilon/c$. The slope of the linear function, $1/c=1/(4nd)$, yielded the thickness, $d$, of the cortex by using the refractive index value $n=1.58$ (Brink and van der Berg, 2004). We thus derived that the thicknesses of cortex 1 and 2 (Fig. 9B,C) were $2.7\pm0.1\mu m$ and $3.8\pm0.1\mu m$, respectively, in fair agreement with the SEM images (Fig. 3).

For an ideal thin film, the amplitude of the oscillations is constant, but the amplitude of the cortex spectra increased with increasing wavelength (Fig. 9B). This indicates that the cortex thickness in the measurement areas was not constant. We investigated the effect of a non-constant thin film thickness in Fig. 9D by assuming a Gaussian-distributed thickness with mean $d=3\mu m$ and standard deviation $\sigma=0$, $0.05$, $0.10$ and $0.15\mu m$. The calculations show that a variation of the thickness of only a few percent is sufficient to create the wavelength-dependent amplitude and at the same time severely reduce the amplitude of the oscillating reflectance spectrum. The two different cortex spectra of Fig. 9B as well as the anatomical data indicate that the cortex thickness varies considerably, and therefore the thin-film characteristics will only be recognised in microspectrophotometrical measurements from (very) small areas.
DISCUSSION

The chemical and physical colouration mechanisms of birds are in principle the same as those applied by other living systems. Pigments, used for chemical colouration, are often deposited in granules, and therefore the granules attached to the walls of the hollow cells of the orange breast feather barbs most probably contain a short-wavelength-absorbing pigment (Fig. 3B,C). The very irregular spatial organisation of the barb cells causes randomisation of the direction of incident light, resulting in diffuse scattering of the little-absorbed long-wavelength light (Fig. 7A).

Although the spatial organisation of the sponge cells inside the kingfisher’s back and tail feather barbs is irregular (Fig. 4A,B), there still exists sufficient quasi-order (Fig. 4C,D) to create structural colouration, which changes in hue when observed from different directions (Fig. 7). The spectral peak depends on the dimensions of the sponge structures (Dyck, 1971; Finger, 1995; Shawkey et al., 2009). Spongy barbs are widespread among birds (Prum et al., 1999; Shawkey et al., 2003; Prum, 2006; Shawkey et al., 2006), but so far the optics of the sponge structures have evaded ready analysis because of the complexity of the structures and the deviations from regularity (Prum, 2006). Important progress has recently been made, however (Noh et al., 2010a; Noh et al., 2010b).

Many birds have iridescent feathers due to regularly structured barbules (Durrer, 1977; Kinoshita and Yoshioka, 2005; Prum, 2006; Yoshioka et al., 2007; Kinoshita, 2008; Stavenga et al., 2010). An extensively investigated case is that of the neck feathers of pigeons, which were demonstrated to be coloured as a result of light interference in the barbule cortex, which envelopes heavily melanin-pigmented cells (Yoshioka et al., 2007; Nakamura et al., 2008). Interestingly, the reflectance spectra measured from the pigeon feather barbules showed oscillations, which increased in amplitude with increasing wavelength (Osorio and Ham, 2002; Nakamura et al., 2008). This phenomenon was explained by assuming that in the sampled area the cortex acted as a thin film with a non-constant thickness (Nakamura et al., 2008). The oscillations in the pigeon spectra are very similar to those of the cortices of the common kingfisher’s barbs (Fig. 9B).

We also have concluded that a non-constant cortex thickness is the most likely explanation for the increasing amplitude of the oscillations with increasing wavelength (Fig. 9D).

In the modelling of Fig. 9D, we assumed normal illumination, but the aperture of the microspectrophotometer objective used for measuring the reflectance spectra was not negligible, so the assumption of normal light incidence, θ=0deg, might not be fully justified. Further modelling showed that the objective aperture only very slightly affected the modulations of the reflectance spectra. Also, the reflected light captured by the objective depends on the shape of the reflecting object. For instance, the local curvature of the cortex will determine how much light is captured by the objective aperture. Small irregularities in the cortex will therefore cause spatial reflection profiles that affect the reflectance spectrum (Fig. 9B). We note that the reflectance spectra are measured relative to a white diffuser, which tends to overestimate the reflectance of a mirror-like object.

Generally, the cortex will contribute noticeably to the total barb reflectance, especially with oblique illumination (Fig. 5C). The cortex contribution will be more or less wavelength independent in measurements from areas with diameter >10μm. The cortex reflectance then forms an approximately constant background in the reflectance spectra from intact barbs (Fig. 2, Fig. 9B). Possibly the distinct reflectance at wavelengths >600 nm in the experimentally measured spectrum of bluebird feathers (Shawkey et al., 2009) is mainly due to the background reflectance contributed by the barb cortices.

The dependence of feather colours on illumination and viewing angles has been explored in several bird species (Osorio and Ham, 2002). Structural coloured feathers commonly exhibit iridescence because of the interference of light in the periodic structures. Iridescence occurs when applying illumination with a narrow-aperture light source (Fig. 7, Fig. 9B,C), but the feathers appear non-iridescent under omni-directional illumination, because of the isotropic nature of the sponges (e.g. Noh et al., 2010a). In the case of the plumage of intact birds, the reflectance spectra will be similar to those of the measured barbs because only the tip areas of the feathers are exposed. The feather iridescence of birds in the natural habitat, with wide-field illumination, will no longer be prominently visible (Osorio and Ham, 2002).

CONCLUSIONS

The bright colours of the common kingfisher A. athos are created by two types of feather barb: one filled with pigment granules and the other with quasi-ordered channel-type keratinous sponges. A broad-band background reflection is added by the cortex of the shiny feathers, especially when the feathers are illuminated from oblique directions.

ACKNOWLEDGEMENTS

Drs M. D. Shawkey and H. Ghiradella read a preliminary version of the manuscript.

FUNDING

This study was supported by the Air Force Office of Scientific Research/European Office of Aerospace Research and Development (AFOSR/REGARD) [grant F16555-08-1-3012].

REFERENCES


