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Mihailetchi, VD; Xie, HX; de Boer, B; Koster, LJA; Blom, PWM; Mihailetchi, Valentin D.; Xie, Hangxing

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Charge Transport and Photocurrent Generation in Poly(3-hexylthiophene):Methanofullerene Bulk-Heterojunction Solar Cells**

By Valentin D. Mihailetchi, Hangxing Xie, Bert de Boer, L. Jan Anton Koster, and Paul W. M. Blom*

The effect of controlled thermal annealing on charge transport and photogeneration in bulk-heterojunction solar cells made from blend films of regioregular poly(3-hexylthiophene) (P3HT) and methanofullerene (PCBM) has been studied. With respect to the charge transport, it is demonstrated that the electron mobility dominates the transport of the cell, varying from $10^{-8} \, \text{m}^2 \, \text{V}^{-1} \, \text{s}^{-1}$ in as-cast devices to $\approx 3 \times 10^{-7} \, \text{m}^2 \, \text{V}^{-1} \, \text{s}^{-1}$ after thermal annealing. The hole mobility in the P3HT phase of the blend is dramatically affected by thermal annealing. It increases by more than three orders of magnitude, to reach a value of up to $\approx 2 \times 10^{-6} \, \text{m}^2 \, \text{V}^{-1} \, \text{s}^{-1}$ after the annealing process, as a result of an improved crystallinity of the film. Moreover, upon annealing the absorption spectrum of P3HT:PCBM blends undergo a strong red-shift, improving the spectral overlap with solar emission, which results in an increase of more than 60 % in the rate of charge-carrier generation. Subsequently, the experimental electron and hole mobilities are used to study the photocurrent generation in P3HT:PCBM devices as a function of annealing temperature. The results indicate that the most important factor leading to a strong enhancement of the efficiency, compared with non-annealed devices, is the increase of the hole mobility in the P3HT phase of the blend. Furthermore, numerical simulations indicate that under short-circuit conditions the dissociation efficiency of bound electron–hole pairs at the donor/acceptor interface is close to 90 %, which explains the large quantum efficiencies measured in P3HT:PCBM blends.

1. Introduction

Organic photovoltaic devices prepared from composite films of functionalized fullerenes as electron acceptors (A) and conjugated polymers as electron donors (D) have recently evolved as promising cost-effective alternatives to conventional inorganic solar cells.[1–3] Unlike inorganic solar cells, photon absorption in bulk-heterojunction (BHJ) D/A organic cells mainly creates excited electron–hole pairs (excitons) that subsequently dissociate at a heterojunction interface via an ultrafast charge transfer ($\approx 45 \, \text{fs}$) from the exited donor to the acceptor.[4] After dissociation, a geminate pair of a hole at the donor and an electron at the acceptor is formed across the interface, which further needs to be separated into free charge carriers through the assistance of an electric field. These photo-generated free holes and electrons are then transported through the donor and acceptor phases towards the electrodes, resulting in an external photocurrent density ($J_{\text{ph}}$). As a result, the external photocurrent does not solely depend on the photogeneration rate of free electrons and holes, but also on the transport properties of the electrons and holes in the acceptor and donor, respectively. Most of the previous work on the physics of BHJ solar cells was performed on blends of poly(2-methoxy-5-(3′,7′-dimethyloctyloxy)-p-phenylene vinylene) (MDMO-PPV) and soluble fullerene derivatives such as [6,6]-phenyl C$_{61}$-butyric acid methyl ester (PCBM). The power conversion efficiencies ($\eta$) reported for this type of devices, measured under AM1.5 (air mass 1.5) standard test conditions,[5] range from 2.5 to 3 %.[6,7] However, to achieve these efficiencies, up to 80 wt.-% of PCBM, a material which barely contributes to the absorption, has to be added into the MDMO-PPV:PCBM mixture. Recently, we demonstrated that one of the main reasons for this relatively large amount of PCBM being required is the enhancement of the hole transport in MDMO-PPV, which is the slowest carrier, when blended with PCBM.[8] Furthermore, a too-low hole mobility will also lead to a buildup of space-charge in the solar cell, which is detrimental to the fill factor and efficiency.[9] Therefore, an intrinsically higher hole mobility in the blend permits one to reduce the amount of PCBM and inhibits the occurrence of space-charge, which will further increase the magnitude of the photogenerated current and enhance the $\eta$.

Among the materials investigated so far, regioregular poly(3-hexylthiophene) (P3HT) has demonstrated promising...
Recently, we have developed a device model which quantitatively describes the behavior of PPV:PCBM BHJ solar cells. We have shown that the dissociation efficiency of bound electron–hole (e–h) pairs, created after photoinduced electron transfer at the D/A interface, is an important limiting factor in photovoltaic devices based on this materials system. Furthermore, with regard to the charge transport, we demonstrated that the photocurrent reaches the fundamental space-charge limit when the difference in electron and hole mobilities exceeds two orders of magnitude. Herein, we apply the model to understand the effect of postproduction heat treatment at different temperatures on the performance of composite P3HT:PCBM solar cells, and quantify the parameters that limit the device performance. To anticipate the results, the most important factor that leads to an increase in $J_{sc}$ of up to a factor of five is the enhancement of the hole mobility of P3HT in the blend by more than three orders of magnitude. For partially annealed devices (annealed at temperatures lower than the optimum), the difference in electron and hole mobility is too large, and we demonstrate that $J_{ph}$ is strongly limited by the buildup of space-charge. This regime can be discriminated by the 1/2 power dependence of the $J_{ph}$ on voltage (as inferred in Fig. 1 by the curve at 70°C) and the 3/4 power dependence on light intensity. In the space-charge limit, the FF is not able to exceed 0.42, being approximately constant when varying light intensity and temperature. At optimum annealing temperature (above 110°C), the difference in electron and hole mobilities is reduced to, typically, a factor of 20 and, consequently, the space-charge no longer limits the performance under normal operating conditions. Here, the $J_{ph}$ scales linear with light intensity, and the FF reaches values of 0.7. Furthermore, numerical simulation indicates that at short-circuit the dissociation efficiency of e–h pairs at the D/A interface is close to 90%, which explains the large quantum efficiencies measured in P3HT:PCBM blends. These results are valuable for the design of new materials and further improvement of the performance of organic photovoltaic devices.

2. Results and Discussion

2.1. Charge-Carrier Transport in Composite P3HT:PCBM Films

Prior to the investigation of the photocurrent of the P3HT:PCBM blends, knowledge about the hole and electron mobilities is indispensable. Recently, much work has been done to measure the hole mobility of pristine P3HT using field-effect transistors (FETs) and time-of-flight (TOF) photocurrent measurements and space-charge-limited (SCL) current in a sandwich structure as solar cells or light-emitting diode configurations. The measured hole mobility ranges from $10^{-8}$ m$^2$ V$^{-1}$ s$^{-1}$ in TOF and SCL current, up to $10^{-5}$ m$^2$ V$^{-1}$ s$^{-1}$ in FETs. It should be noted that regioregular P3HT self-organizes into a crystalline structure and, owing to the π-π stacking direction, the charge (hole) transport is extremely efficient. Since in FET measurements the current travels in the plane of the film (parallel to the substrate), the anisotropy in the polymer-chain orientation contributes strongly to the difference in the mea-
sured mobility. Moreover, a different molecular weight of P3HT, the presence of PCBM, and/or the application of a thermal treatment will also affect the measured electron and hole mobilities.[19,21] Therefore, the relevant values for charge-carrier mobilities can only be obtained when measured in the same configuration and experimental conditions as used in an operational solar-cell device.

Previously, we have shown that electron and hole mobilities in the blend can be determined from current–voltage measurements by using electrodes that either suppress the injection of electrons or holes, resulting in hole- or electron-only devices, respectively.[8,22] In this section, we have applied this technique to measure either the hole or electron current in blends of P3HT:PCBM as a function of the annealing temperature of the spin-coated films. To fabricate the hole-only devices, palladium was evaporated as the top electrode in an indium tin oxide (ITO)/poly(3,4-ethylenedioxythiophene):poly(4-styrene sulfonate) (PEDOT:PSS)/P3HT:PCBM structure. The work function of PEDOT:PSS matches the highest occupied molecular orbital (HOMO) of P3HT at 4.9 eV, forming an Ohmic contact for hole injection,[11,21] whereas palladium strongly suppresses electron injection into PCBM owing to the large mismatch between its work function and lowest unoccupied molecular orbital (LUMO) of PCBM.[8] A schematic diagram of a hole-only device is shown in the inset of Figure 1a. In order to suppress the hole injection into P3HT, the bottom contact must have a low work function. Recently, we demonstrated that the work function of a noble metal (such as silver) can be modified using a self-assembled monolayer (SAM).[24] This technique works very well and successful electron-only devices were constructed using blends of MDMO-PPV:PCBM.[8] Therefore, similar electron-only devices were also constructed for the P3HT:PCBM blends, the schematic band diagram of such an electron-only device is presented as an inset in Figure 2b.

Figure 2 shows the experimental dark-current densities (J_0) of P3HT:PCBM blends that were measured in hole-only (Fig. 2a) and electron-only (Fig. 2b) devices for different annealing temperatures on the completed device. For clarity, only the devices that were measured as cast, and after thermal annealing at 90 °C and 120 °C, are shown. The applied voltage was corrected for the built-in voltage (V_{BI}) and the voltage drop (V_{RS}) arising from the substrate’s series resistance.[25] When the applied voltage is greater than V_{RS}, J_D throughout all devices scales quadratically with voltage, indicative of SCL transport. This observation is common for low-mobility, disordered semiconductors and it allows for a direct determination of the mobility.[8,21,22] For the electron- and hole-only devices the SCL current is approximated by [\text{(1)}]:

\[
J_e(h) = \frac{9}{8} e \epsilon_0 \mu_{e(h)} \exp \left( \frac{0.891 \gamma_e(h)}{L} \right) \frac{V}{L} \frac{V^2}{L} 
\]

where J_{e(h)} is the electron (hole) current, \mu_{e(h)} the zero-field mobility of the electrons (holes), \gamma_{e(h)} the field activation factor, \epsilon_0 the permittivity of free space, \epsilon_r the relative permittivity of the material, and L the thickness of the active layer. The experimental data in Figure 2 were fitted using Equation 1, and the results are shown by the solid lines.

Figure 3 shows the calculated zero-field mobility of electrons and holes in 50:50 wt.-% blends of P3HT:PCBM devices as a function of the annealing temperature. For comparison, the hole mobility of pristine P3HT, measured under the same experi-

\[
\text{Annealing Temperature [°C]} \\
\text{pristine P3HT} \\
\text{holes} \\
P3HT:PCBM \\
electrons \\
holes \\
\text{as-cast} \\
\text{P3HT} \\
\text{PCBM} \\
\text{P3HT:PCBM} \\
\text{PCBM LUMO} \\
P3HT HOMO \\
\text{Ag/SAM} \\
\text{LiF/Al} \\
\text{V-V_{RS}} - V_{BI} [V] \\
\text{V-V_{RS}} - V_{BI} [V] \\
\text{V-V_{RS}} - V_{BI} [V] \\
\text{V-V_{RS}} - V_{BI} [V] \\
\text{V-V_{RS}} - V_{BI} [V] \\
\text{V-V_{RS}} - V_{BI} [V] \\
\text{V-V_{RS}} - V_{BI} [V] \\
\text{V-V_{RS}} - V_{BI} [V] \\
\text{V-V_{RS}} - V_{BI} [V] \\
\text{V-V_{RS}} - V_{BI} [V] \\
\text{V-V_{RS}} - V_{BI} [V] \\
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\text{V-V_{RS}} - V_{BI} [V] \\
\text{V-V_{RS}} - V_{BI} [V] \\
\text{V-V_{RS}} - V_{BI} [V] \\
\text{V-V_{RS}} - V_{BI} [V]
sembles the value measured previously in MDMO-PPV:PCBM fraction the electron mobility in as-cast P3HT:PCBM films report in as-cast films is strongly unbalanced and the current is fully higher than the hole mobility. As a result, the charge transport in as-cast films is strongly unbalanced and the current is fully dominated by the electrons. Interestingly, for the same volume fraction the electron mobility in as-cast P3HT:PCBM films resembles the value measured previously in MDMO-PPV:PCBM blends. However, thermal annealing of P3HT:PCBM films results in an electron mobility enhanced by, typically, a factor of 30, with an onset corresponding to the same temperature observed for the hole mobility, as inferred from Figure 3.

Furthermore, modulated differential scanning calorimetry (DSC) has been used to assess the degree of crystallinity of the particular batch of regioregular P3HT used in these experiments. The DSC thermogram, measured using a scan rate of 2 K min⁻¹, reveals two weak changes in the gradient at ≈50 °C, which correspond to the onset of the side-chain melting temperature ($T_m$), and at ≈125 °C, corresponding to the onset of the glass-transition temperature ($T_g$); Furthermore, a strong melting peak appears at ≈215 °C. These results are valuable for understanding the film-formation mechanism and the charge transport in these blends. It has been shown that regioregular P3HT self-organizes into microcrystalline lamellae structure, forming well-defined whiskerlike entities. This predominantly crystalline film may already have been formed in the pristine P3HT directly after the spin-coating process and thermal annealing does not further improve the crystallinity. As a consequence, the hole mobility in the pristine P3HT is not expected to change after the annealing of the film. However, in the blend devices, the presence of PCBM molecules might prevent immediate crystallization of P3HT after the spin-coating process, resulting in a more amorphous film. This hypothesis is strengthened by a recent preposition made by Yang et al. who argue that a rather homogeneous nanoscale distribution of PCBM in the P3HT matrix is present in the film as cast. Consequently, the conformation of the P3HT chains and the way they pack together results in a weak interchain interaction which strongly suppresses the hole mobility. Upon thermal annealing, slow crystallization of P3HT takes place and demixing between P3HT and PCBM is observed, which leads to an enhanced charge transport. As a result, interpenetrating networks composed of P3HT crystals and aggregated nanocrystalline PCBM-rich domains are formed, which provide continuous pathways in the entire photoactive layer for efficient electron and hole transport. It appears from Figure 3 that the onset in both electron and hole mobility in P3HT:PCBM blends corresponds with $T_g$. Above this temperature the side-chain of P3HT melts, allowing PCBM molecules to diffuse and form nanocrystalline domains of pure PCBM which subsequently result in an enhanced electron transport, as seen experimentally. Moreover, heating above $T_g$ allows the freely formed chains in the polymer melt to disentangle into a lower-energy conformation. This presumed straightening and crystallization of the polymer strands upon annealing will lead to a more planar π–π stacking, thereby increasing the interchain interaction and hole mobility. In section 2.3, the experimental charge-carrier mobilities of Figure 3 will be used to analyze the photocurrent generation and the performance of the solar cells as a function of annealing temperature.

2.2. Optical Absorption Spectra

Absorption spectra of pristine P3HT and P3HT:PCBM mixtures were investigated before and after thermal annealing, using a Perkin–Elmer Lambda 900 UV-vis/NIR spectrometer. All films were measured in transmission mode on glass/ITO/PEDOT:PSS substrates and subsequently corrected for substrate absorption. The absorption spectra of the blends (Fig. 4) clearly show two peaks: one at 335 nm corresponding to the PCBM, while the other peak (500–550 nm) represents the conduction band absorption. The absorption spectra of pristine P3HT directly after the spin-coating process and thermal annealing does not further improve the crystallinity. As a consequence, the hole mobility in the pristine P3HT is not expected to change after the annealing of the film. However, in the blend devices, the presence of PCBM molecules might prevent immediate crystallization of P3HT after the spin-coating process, resulting in a more amorphous film. This hypothesis is strengthened by a recent preposition made by Yang et al. who argue that a rather homogeneous nanoscale distribution of PCBM in the P3HT matrix is present in the film as cast. Consequently, the conformation of the P3HT chains and the way they pack together results in a weak interchain interaction which strongly suppresses the hole mobility. Upon thermal annealing, slow crystallization of P3HT takes place and demixing between P3HT and PCBM is observed, which leads to an enhanced charge transport. As a result, interpenetrating networks composed of P3HT crystals and aggregated nanocrystalline PCBM-rich domains are formed, which provide continuous pathways in the entire photoactive layer for efficient electron and hole transport. It appears from Figure 3 that the onset in both electron and hole mobility in P3HT:PCBM blends corresponds with $T_g$. Above this temperature the side-chain of P3HT melts, allowing PCBM molecules to diffuse and form nanocrystalline domains of pure PCBM which subsequently result in an enhanced electron transport, as seen experimentally. Moreover, heating above $T_g$ allows the freely formed chains in the polymer melt to disentangle into a lower-energy conformation. This presumed straightening and crystallization of the polymer strands upon annealing will lead to a more planar π–π stacking, thereby increasing the interchain interaction and hole mobility. In section 2.3, the experimental charge-carrier mobilities of Figure 3 will be used to analyze the photocurrent generation and the performance of the solar cells as a function of annealing temperature.

![Figure 4. UV-vis absorption-coefficient ($\alpha$) spectra of P3HT:PCBM blend films, as-cast, and for different annealing temperatures (see legend). The annealing time was 4 min. For a better comparison, the absorption of a pristine P3HT film as cast is also shown (in arbitrary units). All films were measured on a glass/ITO/PEDOT:PSS substrate and corrected for substrate absorption.](image-url)
together of P3HT in the presence of PCBM is different, relative to that in pristine P3HT films, thereby altering the relevant optical and electronic properties that are critical for the operation of these devices. This change in the conformation is also reflected in the absorption spectra of the blend films. As shown in Figure 4, the UV-vis absorption-coefficient spectra of the blend film as cast is strongly blue-shifted with respect to the spectrum of pristine P3HT. This shift might originate from a tighter chain coil, produced by twisting of the polymer backbone or broken conjugation in the presence of PCBM, resulting in segments with a shorter conjugation length and weaker interchain interaction. This is consistent with absorption spectra of pristine P3HT, which show no change after annealing, but, rather, a small increase in the absorption intensity (not shown). Upon annealing, the PCBM demixes from the P3HT, as inferred by Yang et al.,[15] thereby increasing the degree of crystallinity of the polymer and consequently undergoing a noticeable shift to the red, approaching the spectrum of the pristine polymer. It appears from Figure 4 that the shift of the optical absorption is maximized when the devices are annealed above 100 °C, which closely corresponds with the temperature at which the hole mobility in the blend begins to approach that of the pristine polymer.

Nevertheless, the net effect of the red-shift of the optical absorption upon annealing, with respect to the nontreated film, is that it will improve the spectral overlap with solar emission, resulting in an increased absorption in the device. The absorption coefficients (a) shown in Figure 4 can be used to estimate the amount of additionally absorbed photons upon thermally annealing the P3HT:PCBM BHJ solar cell. The calculation was done using the following equation (as a function of wavelength, λ):

\[
I_{\text{abs}}(\lambda) = I_0(\lambda)[(1 - 10^{-a(\lambda)L}) + R(\lambda) \cdot 10^{-2a(\lambda)L}(10^{-a(\lambda)L})]
\]

(2)

where \(I_{\text{abs}}\) is the fraction of absorbed photons, \(I_0\) the light-source spectrum, and \(R\) the reflectivity of the top-electrode material (Al; \(R \approx 92%\) on the involved spectral range).[31] The results of the calculations are shown in Figure 5 together with the light-source spectrum used in our measurements (\(I_0\)), for a device with a thickness (L) of 96 nm. After integrating the area under the curves it appears that the annealed device improves light harvesting by approximately 60% relative to the nontreated device. In the next section this information will be used to explain the enhanced photocurrent generation in the annealed devices.

2.3. Device Characterization under Illumination

2.3.1. The Effect of Thermal Annealing on Solar-Cell Performance

After the investigation of the charge transport and optical absorption spectroscopy we proceeded with the analysis of the solar-cell performance upon thermal annealing of the photoactive P3HT:PCBM layer. The devices consisted of 50:50 wt.-% P3HT:PCBM blends which were spin-coated from a single chloroform solution on an ITO/PEDOT:PSS substrate, followed by the evaporation of a thin samarium (8 nm)/aluminum (100 nm) top electrode. The annealing was performed, after completing the devices, in a N2 atmosphere on a hot plate for a duration of four minutes. The active layer thickness ranged from 94 to 97 nm for all fabricated devices.

Figure 6 shows the variation of the principal photovoltaic parameters (i.e., their mean values together with the standard deviations) as a function of annealing temperature. The devices were illuminated from a halogen lamp with the spectral range and shape shown in Figure 5, calibrated using a silicon diode at...
an intensity of approximately 1.15 Sun (115 mW cm\(^{-2}\)). The open-circuit voltage \(V_{OC}\) is relatively constant and varies less than 40 mV, showing a slight increase above 150 °C. Conversely, all remaining device parameters show a very fast increase with annealing temperature between 50 and 110 °C, followed by saturation. The onset of this enhancement strongly corresponds with the onset in the hole mobility of P3HT in the blend (Fig. 3), and occurs above \(T_{sc}\). Furthermore, the saturation appearing in \(J_{SC}\), FF, and \(\eta\) when annealed above 110 °C seems also to be related to the P3HT hole mobility. Above this annealing temperature the enhancement of the hole mobility of P3HT in the blend is maximized, and, consequently, the difference between the electron and hole mobilities is reduced, typically, to a factor of 20, leading to a much more balanced transport. In particular, the thermal treatment produced a five-fold enhancement of \(J_{SC}\), a twofold increase of FF, and, consequently, a tenfold gain of \(\eta\) (as inferred from Fig. 6). It is worth mentioning that the \(\eta\) does not show a clear optimum at a particular annealing temperature, but is, rather, unchanged above 110 °C, in contrast with previously reported results\(^{[10-12,15]}\). We have identified that the source of the decreasing performance in these studies, when annealing above 130 °C, is the degradation of the LiF/Al top electrode. With a samarium cathode, as used in our study, this problem does not occur. As a further test we applied a LiF/Al cathode after the thermal-annealing step, and in that case also no decrease in performance was observed at higher annealing temperatures.

To identify the main origin of the performance enhancement as a function of annealing treatment, the effects of enhanced absorption owing to the absorption red-shift and the increased charge transport must be disentangled. With regard to the absorption, the shaded area in Figure 5 represents the additional fraction of photons absorbed by the film upon annealing. This shaded area is now plotted in Figure 7 in the form of the relative enhancement between electron and hole mobility is too large\(^{[9]}\). The origin of the decrease of \(G_{max}\) above 130 °C is presently not known. Thus, as a first step, we have demonstrated that the increase of the photocurrent with a factor of 1.6 at high reverse voltages is solely the result of an increase of the optical absorption. However, for comparison, \(J_{SC}\) increases by a factor of five upon annealing, as shown in Figure 6. Therefore, this strong increase, together with the enhancement of the FF, cannot be attributed to the absorption increase of the film upon annealing. An important question that remains is what mechanism dominates such a strong enhancement in \(J_{SC}\) and FF of the cell and what limits the performance of an as-cast device or a device annealed at lower temperature.

Recently, we have demonstrated that the photocurrent in polymer/fullerene blends can be limited by the buildup of space-charge, even under normal operation conditions (1 Sun illumination). This SCL photocurrent occurs when the difference between electron and hole mobility is too large\(^{[9]}\). The fingerprints of a SCL photocurrent are its square-root dependence on voltage and a 3/4 dependence on light intensity\(^{[9]}\). The mobility measurements presented in Figure 3 show that there is a greater than two orders of magnitude difference between the electron and hole mobilities in as-cast devices and devices annealed up to 90 °C. As expected, the photocurrents shown in Figure 1 indeed exhibit a square-root dependence on voltage for both the as-cast film and the device annealed at 70 °C. In the following section, the dependence of the photocurrent on light intensity of devices annealed at low temperatures is further investigated.

### 2.3.2. Light-Intensity Dependence

To gain further insight in the operation of P3HT:PCBM devices and quantify the limiting parameters, the light-intensity \((P_{light})\) dependence of the photocurrent has been studied for the device annealed at 70 °C. The \(P_{light}\) was varied from 1000 W m\(^{-2}\) (upper curve) down to 76 W m\(^{-2}\) using a set of neutral density filters with a constant optical density over the
The intensity was varied from 1000 (upper curve) down to 76 W m\(^{-2}\), V. D. Mihailetchi et al. J. Adv. Funct. Mater. 2006, 16, 699–708 © 2006 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim www.afm-journal.de 705

where the exponent \(S\) ranges from 0.75 in the case of SCL photocurrent to 1.0 for the space-charge-free limit.[9]

Figure 8 shows the \(J_{\text{ph}}-(V_{0}-V)\) characteristics of the P3HT:PCBM device, after thermal annealing at 70 °C as shown in Figure 1, as a function of \(P_{\text{light}}\). It is observed that for \((V_{0}-V)<0.03\) V, the \(J_{\text{ph}}\) shows linear dependence on voltage at all light intensities, which is caused by the opposite effects of drift and diffusion of charge carriers.[9,16,17] Above 0.03 V, however, a square-root dependence on voltage of the experimental \(J_{\text{ph}}\) is observed, as is predicted for blends with a large difference in electron and hole mobilities.[9] At even larger voltages the \(J_{\text{ph}}\) shows a clear transition to the saturation regime where it becomes limited by the field \((E)\) and temperature \((T)\) dependence of the dissociation of bound e–h pairs; \(J_{\text{ph}}=q G(E,T) L\).[15] These results are distinctly different when the devices are annealed at higher temperature, where the electron and hole transport is more balanced. In that case, no square-root dependence of \(J_{\text{ph}}\) is observed, as is predicted for blends with a large difference in electron and hole mobilities.[9] At even larger voltages the \(J_{\text{ph}}\) shows a clear transition to the saturation regime where it becomes limited by the field \((E)\) and temperature \((T)\) dependence of the dissociation of bound e–h pairs; \(J_{\text{ph}}=q G(E,T) L\).[15] These results are distinctly different when the devices are annealed at higher temperature, where the electron and hole transport is more balanced.

Figure 9 displays, on a double-logarithmic scale, the experimental \(J_{\text{ph}}\) taken from Figure 8 as a function of light intensity for two different voltages; at \((V_{0}-V)=0.1\) V in the square-root regime and at \((V_{0}-V)=3\) V in the saturation regime. The slope \(S\) determined from the linear fit (solid lines) to the experimental data is written on the figure.

From Figure 8 it is clear that the buildup of space-charge dramatically reduces the device performance, since it causes a fundamental limitation on FF and \(J_{\text{SC}}\). This space-charge is a direct result of the unbalanced transport of electrons and holes in the device. Owing to the strongly increased hole mobility, leading to a better balanced transport, this limitation is prevented under normal operating conditions in the device annealed above 110°C. The absence of space-charge effects is further evidenced by a completely linear \((S=1)\) dependence of \(J_{\text{ph}}\) on \(P_{\text{light}}\) (data not shown). Thus, the enhancement in the device efficiency by a factor of ten upon thermal annealing is mainly a result of the improved hole mobility of P3HT inside the blend by more than three orders of magnitude, rather than by improving light harvesting (with \(\approx 60\%\)). With increased hole transport, the devices recover from the space-charge limitation to become space-charge-free, as a result of a more balanced transport. As shown in Figure 1, the absence of the square-root voltage dependence in the device annealed at 110°C leads to a strong enhancement of both FF and \(J_{\text{SC}}\). In order to fully quantify the device performance we have analyzed the photocurrent generation in P3HT:PCBM solar cells further, with the help of numerical simulations.[16]

2.3.3. Numerical-Simulation Results

Recently, we have shown that the photocurrent in conjugated polymer/fullerene blends is dominated by the dissociation probability \([P(E,T)]\) of e–h pairs at D/A interface, which is a field- and temperature-dependent process.[17] In the saturation...
regime the photocurrent is given by \( J_{ph} = q G(E,T) L \), where \( G(E,T) = P(E,T) G_{max} \). The probability \( P \) depends on the initial e–h separation distance \( a \) and the decay rate of bound pair \( (k_F) \). Once separated, the free electron and hole can again bi-molecularly recombine at the interface to form a bound pair with a rate constant \( (k_R) \), which may dissociate again during its lifetime. To fit the experimental data, the \( G(E,T) \) has been taken into account in a numerical model which solves the steady-state continuity equations for electrons and holes including diffusion, recombination, and space-charge effects via the Poisson equation. For MDMO-PPV:PCBM-blend devices, this model qualitatively explained the behavior of the photocurrent as a function of temperature and PCBM composition. The most limiting factor in these blends is the dissociation efficiency of bound e–h pairs across the D/A interface, which is only 60% at room temperature under short-circuit conditions. To calculate the photocurrent for the P3HT:PCBM devices, the input parameters required in the model are: the charge-carrier mobilities of electrons and holes, the spatially averaged dielectric constant \( (\varepsilon_0) \) of P3HT and PCBM, the initial (e–h) separation distance \( (a) \), the bound-pair lifetime \( (k_F^{-1}) \), the maximum generation rate \( (G_{max}) \), and the semiconductor bandgap \( (E_g) \). Since \( \varepsilon_0 \) for P3HT and PCBM are known, the \( E_g \) is estimated from the HOMO(P3HT)–LUMO(PCBM) difference, \( G_{max} \) is determined from the saturation of the photocurrent (Fig. 7), and the charge-carrier mobilities are presented in Figure 3; the only adjustable parameters of the model that remain are \( a \) and \( k_F^{-1} \). Similarly to MDMO-PPV:PCBM blends, \( a \) and \( k_F \) can be independently determined from the field-dependent dissociation probability \( P(E,T) \) by fitting the temperature dependence of the photocurrent. Under sufficient applied reverse bias (\( \leq 3 \) V), all e–h pairs are dissociated and the \( J_{ph} \) approaches full saturation, being field- and temperature-independent. This saturation allows determination of \( a \). Subsequently, \( k_F \) is determined by fitting the field dependence of the \( J_{ph} \). Figure 10 shows the temperature dependence of the \( J_{ph} \) versus \( V_0–V \) of a P3HT:PCBM device after thermal annealing at 120°C. It appears from the figure that the experimental \( J_{ph} \) shows an extremely weak field and temperature dependence in the saturation regime for \( (V_0–V) > 0.3 \) V, where \( J_{ph} = q P(E,T) G_{max} L \). For effective voltages \( (V_0–V) > 3 \) V, the experimental \( J_{ph} \) clearly approaches full saturation meaning that all e–h pairs are dissociated \( (P \rightarrow 1) \) and \( J_{sat} = q G_{max} L \). By comparing the \( J_{ph} \) with the experimentally observed \( J_{sat} \), the dissociation probability at any effective voltage can be read directly from the experimental data. For example, under short-circuit conditions \( (V = 0 \) V), the dissociation probability of e–h pairs \( (\eta SC) \) is close to 0.9 (at room temperature), which is considerably larger than the previously reported value for MDMO-PPV:PCBM devices \( (\eta SC \approx 0.6) \). The solid lines in Figure 10 represent the numerical calculation of \( J_{ph} \) including the field- and temperature-dependent generation rate \( G(E,T) \). From the best fit to the experimental data an e–h separation distance of \( a = 1.8 \) nm and room-temperature bound-pair decay lifetime \( (k_F^{-1}) = 50 \) μs were obtained. Compared to the MDMO-PPV:PCBM system it is observed that both \( a \) and \( k_F^{-1} \) are larger, leading to an enhanced dissociation probability. Because of this efficient dissociation, the field dependence of \( J_{ph} \) is extremely weak, and if we think in terms of photocurrent–voltage characteristics, it is now possible to obtain a FF as high as 0.7, as observed experimentally (Fig. 6). The microscopic origin of the enhanced dissociation is the subject of further study. Next, we have used the numerical model to calculate the \( J_{ph} \) of the P3HT:PCBM devices as a function of annealing temperature. The only input parameters that change with annealing temperature are the mobilities (Fig. 3) and the \( G_{max} \) (Fig. 7), as obtained from the fully saturated part of the \( J_{ph} \). Figure 11 shows the experimental \( J_{ph} \) characteristics under illumination of the devices presented in Figure 6 as a function of thermal-annealing treatment (symbols). For clarity, not all curves are shown. Assuming the same e–h separation distance \( (a) \) and lifetime \( (k_F^{-1}) \), the model exactly predicts the photocurrent at any annealing temperature, as seen by the solid lines in Figure 11a. From the excellent agreement between simulated and experimental \( J_{ph} \), it follows that the model accurately predicts the FF and \( \eta \) of all these cells. Moreover, Figure 11b shows the calculated \( P_{SC} \) at all annealing temperatures. Weak dissociation of bound e–h pairs is observed for as-cast or devices annealed at low annealing temperatures as a result of the low hole mobility of P3HT in the blend. The maximum dissociation efficiency of 88% is reached when annealed above 110°C, which corresponds to the maximum enhancement in hole transport. Furthermore, the good agreement of the numerical simulation with the experimental data presented above enables us to predict the behavior of the P3HT:PCBM devices under any other conditions. For example, with the measured electron and hole mobilities of the device annealed at 120°C, the numerical calculations indicate that space-charge will fully limit the \( J_{ph} \) only at intensities over 30 Sun illumination in 100 nm film. Finally, these results allow a true comparison between P3HT:PCBM (1:1)- and MDMO-PPV:PCBM (1:4)-blend devices. With respect to the charge transport, the P3HT-based devices (Fig. 3) have similar mobilities to those measured recently in MDMO-PPV:PCBM devices. However, a larger
volume fraction of absorbing material (P3HT) combined with more red-shifted absorption increases the maximum rate of charge-carrier generation ($G_{\text{max}}$) in 50:50 wt.-% P3HT:PCBM devices by more than a factor of two, as compared to the 20:80 wt.-% MDMO-PPV:PCBM devices. Combining this with a higher separation efficiency of photogenerated bound e–h pairs under short-circuit conditions increases the $J_{\text{SC}}$ by more than a factor two for the P3HT-based devices. Also, the FF of the P3HT:PCBM solar cells is larger with respect to the values measured in MDMO:PCBM devices. Since the difference in electron and hole mobility is reduced to typically a factor of 20 and, consequently, the space-charge no longer limits the performance under normal operation conditions leading to fill factors as high as ~70%. Furthermore, numerical simulations indicate that at short-circuit the dissociation efficiency of bound electron–hole pairs at the donor/acceptor interface is close to 90%, which explains the large quantum efficiencies measured in P3HT:PCBM blends. These results are valuable for the design of new materials and further improve the performance of organic photovoltaic devices.

### 4. Experimental

#### 4.1. Materials and Solutions

The regioregular poly(3-hexylthiophene) (P3HT, electronic grade; regioregularity greater than 98.5%; received from Rieke Metals Inc.) was dissolved in distilled toluene, dedoped with hydrazine at 60 °C, and precipitated in methanol. The fraction collected was Soxhlet extracted for at least 64 h with methanol, n-hexane, CHCl$_3$, and finally with CHCl$_3$. The chloroform fraction was precipitated in methanol, dried under vacuum and stored in the glove box under a N$_2$ atmosphere. The typical weight-average molecular weight is $M_w = 50\,000$ g mol$^{-1}$. The thermal transition behavior of P3HT powders was measured using modulated differential scanning calorimetry (DSC) with a scan rate of 2 K min$^{-1}$. The [6,6]-phenyl C$_{61}$-butyric acid methyl ester (PCBM) synthesized by the University of Groningen (The Netherlands) was used as received. To study the device performance, blend solutions of 50:50 wt.-% P3HT:PCBM were prepared using chloroform as the solvent at a solid contents varying from 8 to 12 mg mL$^{-1}$. The solutions were prepared in a N$_2$ atmosphere and rigorously stirred for more than 14 h on a hot plate at 50°C.

#### 4.2. Device Fabrication

All solar-cell devices used to investigate the photocurrent in this study were prepared using glass substrates coated with indium tin oxide (ITO; $\approx 15\Omega$/square). To supplement this bottom electrode, a hole-transport layer of PEDOT:PSS (Baytron P VP Al 4083 grade) was spin-coated from an aqueous dispersion under ambient conditions, before the substrates were dried at 140 °C for 10 min. Next, composite layers of 50:50 wt.-% P3HT:PCBM were spin-coated on top of the PEDOT:PSS layer. To complete the solar-cell devices, 8 nm samarium (Sm) topped with aluminum (Al, 100 nm) electrodes were deposited by thermal evaporation under vacuum ($1\times10^{-7}$ mbar; 1 mbar = 100 Pa). The hole-only devices, used to investigate hole transport in P3HT:PCBM blends, were fabricated following the same procedure presented above except for the top electrode which was replaced with palladium (Pd; 40–50 nm). Electron-only devices were fabricated by spin-coating the active layer either on top of glass/Au (50 nm)/self-
assembled monolayer (SAM) substrates, followed by the evaporation of a lithium fluoride (LiF; 1 nm)/Al(100 nm) top electrode. The preparation of the SAM is described elsewhere [24].

4.3. Measurements

The current-density versus voltage curves were measured in a N2 atmosphere (<1 ppm O2 and <1 ppm H2O) with a computer-controlled Keithley 2400 Source Meter. To measure the current density under illumination (I\textsubscript{L}), the devices were illuminated at the transparent ITO electrode by a white-light halogen lamp calibrated to approximately 1.15 Sun (115 mW cm\textsuperscript{-2}), with a Si diode. The thermal annealing of the devices was performed in the N2 atmosphere on a hot plate for 4 min. The device temperature during the annealing process was measured using a point-contact thermocouple on top of a glass substrate, in order to exactly reproduce the sample conditions. The standard deviation in the annealing temperature was less than 2 °C. The optical absorption of the blend films and pristine P3HT was measured in transmission mode using a Perkin–Elmer Lambda 900 UV/Vis/NIR spectrometer. All the blend films and pristine P3HT was measured in transmission mode through this paper.


For hole-only devices $V_{\text{bi}}$ ($V_{\text{bi}} = 0.2 \text{ V}$) was estimated from the difference between the work function of Pd and HOMO level of P3HT, whereas for electron-only devices $V_{\text{bi}} = 0 \text{ V}$, since both Sm and LiF/Al form Ohmic contact with LUMO level of PCBM. The series resistance was determined from the reference devices fabricated without the photoactive layer and was found to be 4–5 Ω for electron-only devices, and 25–35 Ω for hole-only devices.


\[ J_{\text{ph}} = J_{\text{L}} - J_D \]

The photocurrent ($J_{\text{ph}}$) is the measured current under illumination ($J_L$) corrected for the dark current ($J_D$), whereas $V_0$ is the compensation voltage. $V_0$ is defined as the voltage at which $J_{\text{ph}}$ is zero: $V_{\text{OC}} < V_0 < V_{\text{OC}} + 0.048 \text{ V}$ for all the experiments presented throughout this paper.


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