The role of the emotive, moral, and cognitive components for the prediction of medical students’ empathic behavior in an Objective Structured Clinical Examination (OSCE)

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ABSTRACT
Objectives: Investigate whether medical students’ emotive abilities, attitudes, and cognitive empathic professional abilities predict empathic behavior in an Objective Structured Clinical Examination (OSCE).

Methods: Linear and multiple regressions were used to test concurrent validity between Interpersonal Reactivity Index (IRI), Jefferson Scale of Physician Empathy (JSPE-S), Situational Judgement Test (SJT-expert-based score (SJT-ES), SJT-theory-based score (SJT-TS)) and empathic behavior in an OSCE measured by Berlin Global Rating (BGR) and Verona Coding Definitions for Emotion Sequences (VR-CoDES).

Results: Highest amounts of explained variance of empathic behavior measured by VR-CoDES were found for the SJT-ES (R² = 0.125) and SJT-TS (R² = 0.131). JSPE-S (R² = 0.11) and SJT-ES (R² = 0.10) explained the highest amount of variance in empathic behavior as measured by BGR. Stepwise multiple regression improved the model for BGR by including SJT-ES and JSPE-S, explaining 16.2% of variance.

Conclusions: The instrument measuring the emotive component (IRI) did not significantly predict empathic behavior, whereas instruments measuring moral (JSPE-S) and cognitive components (SJT) significantly predicted empathic behavior. However, the explained variance was small.

Practice implications: The instrument measuring the emotive component (IRI) did not significantly predict empathic behavior, whereas instruments measuring moral (JSPE-S) and cognitive components (SJT) significantly predicted empathic behavior. However, the explained variance was small. In a longitudinal assessment program, triangulation of different instruments assessing empathy offers a rich perspective of learner’s empathic abilities. Empathy training should include the acquisition of knowledge, attitudes, and behavior to support learner’s empathic behaviors.

1. Introduction
Communication is one of the core competencies of health professionals. Effective clinical communication improves the quality of care and facilitates the collection of patients’ data, understanding patients’ perspective, providing information, making decisions about treatments, and handling patients’ emotions [1]. An empathic response to patients’ emotions is a key element of patient-centered care [2,3]. Positive effects of providers’ empathic communication on patient health outcomes have been shown [4–10] and many catalogues of educational objectives have integrated emotion-handling skills, like empathy, into their guidelines [11–14].

Although the role of empathy in clinical care has been studied for many years, there is no consensual definition. A frequently referenced definition by Mercer and Reynolds [15] defines clinical empathy as a complex multidimensional concept and as the “ability to: (a) understand...
the patients’ situation, perspective, and feelings (and their attached meanings); (b) communicate that understanding and check its accuracy; and (c) act on that understanding with the patient in a helpful (therapeutic) way” [15 p. 9]. Empathy can be conceived as a set of professional skills or competencies [15]. Morse et al. [16] distinguish four components: emotive, moral, cognitive, and behavioral. Fig. 1 shows the definitions of the four components. According to Hemmerdinger [17], empathy can be measured from three different perspectives: self-rating, patient-rating, and observer-rating. Fig. 2 gives an overview of the measurement perspectives and examples of relevant assessment instruments. Many instruments have been developed to measure communication skills in an Objective Structured Clinical Examination (OSCE) [22], either in the form of station-specific checklists or global ratings [23–28], like the Berlin Global Rating (BGR) [29]. Empathic behavior in real clinical situations can be evaluated by direct observation or by analyzing video or audio, using coding instruments for sequence analysis, like the Roter Interaction Analysis System (RIAS), OSCE [22], either in the form of station-specific checklists or global formats vary from single-best responses to rating and ranking formats. Many instruments have been developed to measure emotive competencies [35]. Morse et al. [16] distinguish four components during the OSCE, and acceptance of the SJT. Participation was voluntary and reimbursed with 20 Euros. The study was approved by the Ethics Committee at LMU Medical Faculty.

2. Methods

2.1. Setting

We conducted an experimental study at LMU Munich to develop and validate a SJT to assess medical students’ empathy [43] and an OSCE to assess communication skills in the field of general medical practice [57]. Medical students from all study years were invited. Eighty-seven medical students completed the SJT, the OSCE, and a questionnaire. The questionnaire contained 77 items covering demographic data, the Interpersonal Reactivity Index (IRI) [18,19], the Jefferson Scale of Physician Empathy (JSPE-S) [20,21], self-rated communicative competencies during the OSCE, and acceptance of the SJT. Participation was voluntary and reimbursed with 20 Euros. The study was approved by the Ethics Committee at LMU Medical Faculty.

2.2. Measurement instruments

Fig. 3 gives an overview of the instruments we used to measure Morse’s four components of empathy [16], according to Hemmerdingers measurement perspective [17].

Emotive component: Interpersonal Reactivity Index (IRI).
The IRI measures self-assessed cognitive capabilities and the emotional reactivity of individuals [18,19]. The German version [6] comprises four subscales and 28 items which were answered on a five-point Likert-like scale from 1 (does not apply at all) to 5 (does completely apply). The subscales ‘Perspective Taking’ and ‘Fantasy’ cover cognitive aspects of empathy. The subscales ‘Empathic Concern’ and

![Components of medical professional empathy (Morse et al., 1992)](image-url)

**Fig. 1.** Components of medical professional empathy according to Morse et al. (1992).
‘Personal Distress’ cover emotional aspects of empathy [18,19]. For the purpose of this study, we used the subscale ‘Empathic Concern’ (7 items, Cronbach’s $\alpha = .63$).

**Moral component: Jefferson Scale of Physician Empathy-Student version (JSPE-S)**

The JSPE measures health care providers’ or students’ orientations and attitudes towards empathy [20,21]. In the German student version (JSPE-S) [58] students answered on a seven-point Likert-scale ranging from 1 (strong disagreement) to 7 (strong agreement) (20 items, Cronbach’s $\alpha = .79$).

**Cognitive component: Situational Judgement Test (SJT)**

The SJT consists of 12 case vignettes showing real-life situations of physicians and medical students interacting with patients and relatives. Each vignette consists of two consecutive parts and includes (1) a video representing a critical incident containing patients’ or relatives’ emotional concern(s) and/or cue(s), (2) a standardized lead-in-question, and (3) five response alternatives (115 responses in total). Of these, 28 were ‘Non-explicit – Reduce space’ (NR), 30 were ‘Explicit – Provide space’ (EP) according to VR-CoDES. Students were asked to rate each of the responses on a slider scale from 1 (very inappropriate) to 100 (very appropriate). The SJT provides two scores: (1) Expert-based-score (SJT-ES): students’ responses were compared with the responses of an expert panel (max. 23 points), (2) Theory-based-score (SJT-TS): students received a point if they identify those response(s), which provided space (according to VR-CoDES) as being appropriate (max. 57 points). The development, validation and psychometric properties of the SJT have been described elsewhere [43].

**Behavioral component: Objective Structured Clinical Examination (OSCE)**

The OSCE included four stations and was based on typical patient consultations in general practice. To simulate a primary care setting, students did not rotate through a circuit of stations but stayed in one room (like in a consultation room), and one standardized patient after another entered this room and presented their problem. All student interactions with standardized patients were recorded on video. The
development, validation, and psychometric properties of the OSCE have been described elsewhere [57].

We used two instruments to analyze students’ communication skills in the OSCE:

1. Berlin Global Rating (BGR) [29] is the German version of the Analytic Global Rating [26] and contains four items: response to patient’s feelings and needs (empathy), degree of coherence in the interview, verbal expression, and nonverbal expression. Raters used a five-point scale with verbal anchors for each item ranging from 1 (not competent) to 5 (competent). A training was conducted with four raters, each rating ten stations in parallel. Interrater-reliability was good for all raters (Spearman–Rho > 0.800). Subsequently, one rater rated all videos from the OSCE. Inter-station reliability was good for the BGR (α = 0.87) and specifically for the item ‘empathy’ (α = 0.79).

2. Video-recorded student interactions were also analyzed using Verona Coding Definitions for Emotional Sequences (VR-CoDES) [31]. First, units of analyses were defined, and patients’ and relatives’ cues and concerns analyzed by independent raters. Afterwards, students’ responses were coded into ‘explicit’ versus ‘non-explicit’ and into ‘provide space’ versus ‘reduce space’ answers and subsequently classified into the individual codes in VR-CoDES. Trainings with three raters were conducted for each station. After parallel and independent rating of ten videos, consensus discussions were conducted to ensure inter-rater agreement. For training videos 5–10, Cohen’s κappa was good (explicit vs. non-explicit x = 0.89; provide space vs. reduce space x = 1.0; x = 0.77 for the 17 different responses according to the VR-CoDES). Afterwards, one rater coded the remaining videos. Any ambiguous responses were discussed and coded by the researcher team.

For each student, the number and type of responses were counted for each station and overall, across all stations. A score for responses, which provide space was calculated based on all responses. As empathic responses according to the VR-CoDES (NPIm, EPAEm) were rare (only 3.4% of all responses), we used the number of providing space responses for further analysis.

2.3. Data analysis

We used R version 3.5.1 for OS X 10.14 with statistical significance levels set to p < 0.05 and Shapiro–Wilks’s to test assumptions of normal distribution. We checked for multicollinearity using the variance influence factor (VIF < 2). After correlating our predictor and outcome variables (Table 1), we calculated simple linear regressions for all predictor variable (IRI subscale ‘Empathic Concern’, JSPE-S, SJT-ES, SJT-TS) to show the amount of change of each variable in our criterion ‘variables for empathic behavior’ (VR-CoDES: provide space; BGR: empathy). We then calculated two multiple regression models to check additional explained variance in ‘VR-CoDES: provide space’ and ‘BGR: empathy’, compared to the initial models. Predictor variables were added stepwise in order of correlation with the criterion variable, highest correlation first.

3. Results

Table 2 shows the descriptive statistics for all scales. The simple linear regressions for each of the four predictors are reported per criterion variable in Table 3 (VR-CoDES: provide space) and Table 4 (BGR: Empathy). The highest amounts of explained variance in empathic behavior as measured by ‘VR-CoDES: provide space’ was found for the SJT-ES (R² = 0.125) and SJT-TS (R² = 0.131), whereas self-rating scales (IRI subscale ‘Empathic Concern’ and JSPE-S) only explained minor amounts of variance. The JSPE-S (R² = 0.141) explained the highest amount of variance in empathic behavior as measured by ‘BGR: empathy’. All other simple linear regressions were not significant as expected by the previous correlation.

The addition of predictors in a stepwise multiple regression did not significantly improve our prediction for empathic behavior as measured by ‘VR-CoDES: provide space’, leaving the SJT-TS as the single most fitting predictor. A stepwise multiple regression improved our simple model for ‘BGR: empathy’. The stepwise multiple regression model included the SJT-ES and JSPE-S, explaining 16.2% of variance. Addition of further predictors did not improve the model.

4. Discussion and conclusion

4.1. Discussion

This study investigated whether instruments capturing Morse’s emotional, moral and cognitive components of empathy [16] could predict medical students’ empathic behavior in an OSCE (see Fig. 3). Our findings showed that the instrument measuring the emotive component did not significantly predict empathic behavior, whereas the instrument measuring the moral component significantly predicted empathic behavior.

Both scores of the Situational Judgement Test (SJT-ES and SJT-TS) predicted empathic behavior; however, the theory-based score (SJT-TS) only significantly predicted behavior measured by VR-CoDES and not behavior measured by the BGR. All correlation coefficients were low to medium. Stepwise multiple regression showed that the theory-based score (SJT-TS) predicted empathic behavior measured by VR-CoDES and the expert-based score (SJT-ES) as well as JSPE-S predicted empathic behavior measured by the BGR.

Overall, it might be questioned whether the selected instruments sufficiently captured the four components of empathy as defined by Morse [16]. Davis, who developed the IRI and its four subscales [18,19], defined empathy in two facets, a cognitive and an affective facet. We used only the subscale ‘Empathic Concern’, which did not predict empathic behavior measured in an OSCE setting. To our knowledge, validity studies of the IRI only include personality traits and self-reported behavior [59–61] and not empathic behavior assessed by observer-rating.

Furthermore, studies revealed inconsistent evidence about correlations between self-assessment or self-reflection and clinical performance [62–64]. However, in our study, JSPE-S, which is based on self-rating, correlated significantly with empathic behavior measured by BGR. Hojat and colleagues, who developed the JSPE, defined empathy as a cognitive activity and distinguished it from sympathy [20,21,65]. Notwithstanding the definition of empathy, the JSPE was designed to measure orientation and attitudes towards empathy [21]. Therefore, it appears appropriate for measuring the moral component of empathy, because the moral component according to Morse manifests in attitudes

<table>
<thead>
<tr>
<th>Variable</th>
<th>VR-CoDES Provide Space</th>
<th>BGR-Empathy</th>
<th>SJT-ES</th>
<th>SJT-TS</th>
<th>JSPE-S</th>
</tr>
</thead>
<tbody>
<tr>
<td>BGR-Empathy</td>
<td>Pearson’s r</td>
<td>0.601 ***</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>SJT-ES</td>
<td>Pearson’s r</td>
<td>0.379 ***</td>
<td>0.341 **</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>SJT-TS</td>
<td>Pearson’s r</td>
<td>0.362 ***</td>
<td>0.199</td>
<td>0.597 **</td>
<td>—</td>
</tr>
<tr>
<td>JSPE-S</td>
<td>Pearson’s r</td>
<td>0.231</td>
<td>0.334 **</td>
<td>0.347 **</td>
<td>0.135 —</td>
</tr>
<tr>
<td>IRI-Empathy</td>
<td>Pearson’s r</td>
<td>-0.034</td>
<td>0.108</td>
<td>0.044</td>
<td>-0.006</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.538 *</td>
</tr>
</tbody>
</table>

* p < 0.05, ** p < 0.01, *** p < 0.001.
Accordingly, experts do not simply use a theory or theoretical knowledge in practice by adapting their behavior to the context. Empathy.

Table 2
Mean and Standard Deviation for all scales for the entire sample and split by gender.

<table>
<thead>
<tr>
<th>Score</th>
<th>VR-CoDES Provide Space</th>
<th>BGR Empathy</th>
<th>SJT-ES</th>
<th>SJT-TS</th>
<th>JSPE-S</th>
<th>IRI Empathy</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>M</td>
<td>SD</td>
<td>M</td>
<td>SD</td>
<td>M</td>
<td>SD</td>
</tr>
<tr>
<td>Gender</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female (n = 64)</td>
<td>9.10</td>
<td>3.26</td>
<td>6.89</td>
<td>2.73</td>
<td>11.42</td>
<td>3.72</td>
</tr>
<tr>
<td>Male (n = 20)</td>
<td>6.10</td>
<td>2.65</td>
<td>4.85</td>
<td>2.06</td>
<td>9.25</td>
<td>3.67</td>
</tr>
<tr>
<td>total sample (n = 84)</td>
<td>8.26</td>
<td>3.41</td>
<td>6.40</td>
<td>2.70</td>
<td>10.83</td>
<td>3.77</td>
</tr>
</tbody>
</table>

Table 3
Simple Regression Results for SJT-ES, SJT-TS, IRI Empathy and JSPE-S on VR Codes – Provide Space.

<table>
<thead>
<tr>
<th>Score</th>
<th>B</th>
<th>SE</th>
<th>β</th>
<th>R²</th>
<th>t</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>SJT-ES</td>
<td>.012</td>
<td>.004</td>
<td>.353</td>
<td>.125</td>
<td>3.556</td>
<td>&lt; .001</td>
</tr>
<tr>
<td>SJT-TS</td>
<td>.004</td>
<td>.001</td>
<td>.362</td>
<td>.131</td>
<td>-0.315</td>
<td>&lt; .001</td>
</tr>
<tr>
<td>IRI Empathy</td>
<td>-.001</td>
<td>.004</td>
<td>-.034</td>
<td>.001</td>
<td>2.189</td>
<td>0.753</td>
</tr>
<tr>
<td>JSPE-S</td>
<td>.003</td>
<td>.001</td>
<td>.231</td>
<td>.053</td>
<td>0.031</td>
<td>0.997</td>
</tr>
</tbody>
</table>

Table 4
Simple Regression Results for SJT-ES, SJT-TS, IRI Empathy and JSPE-S on BGR Empathy.

<table>
<thead>
<tr>
<th>Score</th>
<th>B</th>
<th>SE</th>
<th>β</th>
<th>R²</th>
<th>t</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>SJT-ES</td>
<td>.148</td>
<td>.077</td>
<td>.205</td>
<td>.042</td>
<td>1.311</td>
<td>0.058</td>
</tr>
<tr>
<td>SJT-TS</td>
<td>.035</td>
<td>.026</td>
<td>.142</td>
<td>.020</td>
<td>1.000</td>
<td>0.194</td>
</tr>
<tr>
<td>IRI Empathy</td>
<td>.086</td>
<td>.086</td>
<td>.108</td>
<td>.001</td>
<td>3.738</td>
<td>0.320</td>
</tr>
<tr>
<td>JSPE-S</td>
<td>.105</td>
<td>.028</td>
<td>.376</td>
<td>.141</td>
<td>1.920</td>
<td>&lt; .001</td>
</tr>
</tbody>
</table>

In our study, the JSPE-S predicted empathic behavior to a low to moderate level, and Hojat et al. reported significant correlations between the JSPE and an OSCE [66].

SJT's are relatively new in the field of medical education although extended research has been published in the field of job admission and selection procedures [41,44-47,50]. Our results about the low but significant correlations between SJT results and performance-based scores are comparable to other publications in the field of medical education [42,67,68]. However, given that VR-CoDES informed the scoring of the SJT and part of the OSCE, it might not be surprising that the theory-based score of the SJT (SJT-TS) predicted that equivalent part of the OSCE score. However, the SJT-TS was not able to predict the OSCE result measured by the BGR. The expert-based score of the SJT (SJT-ES) was able to predict both OSCE results, the one based on VR-CoDES and the one based on BGR. So, what is the difference between the score based on theory and the score based on an expert panel? Experts apply their knowledge in practice by adapting their behavior to the context. Accordingly, experts do not simply use a theory or theoretical knowledge and apply it. They also adapt their behavior to the specific patient and to the specific situation in accordance with their knowledge and experience. A score based on an expert panel might be closer to real clinical practice and perhaps also student behavior in an OSCE than a score based solely on a theoretical model.

Nevertheless, there might be some bias in our sample. Medical students participated voluntarily and the cohort might be a selection of highly motivated students or students with special interest in communication skills or even empathy. We tried to reduce the bias precautionary by not mentioning the content of our study in the invitation email, i.e. students were invited to help us develop new assessment instruments for medical education. However, women were overrepresented in our sample [43] and female students achieved higher empathy scores than male students, which is concordant with other findings in the literature. Possible reasons could be sociocultural and social learning factors. However, there might also be evolutionary reasons, like women seem to better be equipped with a larger capacity for social relationship, show more sensitivity to social stimuli and emotional cues and concerns and demonstrate more care-oriented abilities from an early age on [69,70].

Also, we used a study design with only one measurement point. Humphris [71] found that Objective Structured Video Examinations (OSVE) results predicted short-term but not long-term OSCE results. Therefore, it would be interesting to replicate our results in a prospective study using the JSPE-S, SJT and other scores in an OSCE and workplace-based assessments with a group of medical students over their course of study and the start of their residency to see if there are any long-term predictions and get a real impression of learners’ behavior.

4.2. Conclusion

We conclude that we can approximate to an understanding of empathy and how to measure it by combining different instruments covering its individual components on different measurement perspectives (Fig. 3). We based our study on a definition and on instruments, which are well-established and validated in the field of medical education, and we also tried to find a range of instruments for every component of this conceptualization of empathy. Our study provides evidence that the components in Morses’ multidimensional model of empathy [16] are intercorrelated and not separate entities. Although the components of empathy are intercorrelated, assessment instruments measure different facets of empathy. Therefore, within a longitudinal assessment program, triangulation of assessment instruments including self-assessments, tests, OSCEs and workplace-assessments can provide a more complete picture of students’ abilities from different perspectives than single stand-alone course assessments [72,73]. Within a longitudinal assessment program these instruments might be used in adaption to the learners’ level of competence and to the level of training. Based on our findings, we would assume that for the acquisition of empathic abilities, all components need to be trained and learnt. Procedural and conceptional knowledge, the ability to share others’ emotions and the willingness to approach others in an empathic way lead to better empathic behavior towards patients in real-life situations.

4.3. Practice implications

We believe that, like in an assessment program of clinical competence in general, no single instrument or assessment method can cover all aspects. We suggest a longitudinal assessment program with a multi-source collection of information about learners using different instruments to get a rich impression of their empathic attitudes, knowledge, and behavior to predict empathic behavior as valid as possible. Training and assessment should address all components of empathy to support the acquisition of empathic abilities in learners.

CRediT authorship contribution statement

Tanja Graupe: Conceptualization, Methodology, Development of SJT (dissertation), Data collection, Writing – original draft. Patrick...
Giensa: Methodology, Data analysis, Writing – original draft. Katharina Schaefer: Conceptualization, Methodology, Data collection, Coding and data analysis of VR-CoDES (dissertation), Writing – review & editing. Martin R. Fischer: Conceptualization, Methodology, Writing – review & editing. Jan-Willem Strijbos: Conceptualization, Methodology, Writing – review & editing. Claudia Kiessling: Conceptualization, Methodology, Data collection, Writing – original draft.

Declaration of Competing Interest

The role of the emotive, moral, and cognitive components for the prediction of medical students’ empathetic behavior in an OSCE. All authors declare there are no conflicts of interest. No funding was expended for this study.

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