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Fault Detection and Isolation for Linear Structured Systems

Jiajia Jia^{ID}, Harry L. Trentelman^{ID}, and M. Kanat Camlibel^{ID}

Abstract—This letter deals with the fault detection and isolation (FDI) problem for linear structured systems in which the system matrices are given by zero/nonzero/arbitrary pattern matrices. In this letter, we follow a geometric approach to verify solvability of the FDI problem for such systems. To do so, we first develop a necessary and sufficient condition under which the FDI problem for a given particular linear time-invariant system is solvable. Next, we establish a necessary condition for solvability of the FDI problem for linear structured systems. In addition, we develop a sufficient algebraic condition for solvability of the FDI problem in terms of a rank test on an associated pattern matrix. To illustrate that this condition is not necessary, we provide a counterexample in which the FDI problem is solvable while the condition is not satisfied. Finally, we develop a graph-theoretic condition for the full rank property of a given pattern matrix, which leads to a graph-theoretic condition for solvability of the FDI problem.

Index Terms—Fault detection, fault diagnosis, linear systems.

I. INTRODUCTION

THIS letter is concerned with the FDI problem for linear time-invariant (LTI) systems with faults. This problem has received considerable attention within the control community in the past decades and this has led to several approaches to FDI, see [1]–[6] and the references therein. Among these references, those closer to the results presented in the current paper are [2] and [6], in which FDI for LTI systems is performed using unknown input observers that enable so-called output separability of the fault subspaces. If such observers exist, then we say that for the given system the FDI problem is solvable.

Although conditions for solvability of the FDI problem for a given LTI system have been introduced in [2], their application relies on the exact knowledge of the dynamics of this system, meaning that precise information on the system matrices is required. However, in many scenarios, such knowledge is unavailable, and only the zero/nonzero/arbitrary structure

can be acquired. This leads to the concept of linear structured system introduced in [7] which represents a family of LTI systems sharing the same structure. A large amount of literature has been devoted to analyzing system-theoretical properties for linear structured systems. For instance, strong structural controllability has been studied in [7]–[10], strong targeted controllability in [11], [12], and identifiability in [13].

Roughly speaking, in the framework of linear structured systems, the research on the FDI problem can be subdivided into two directions. The first direction aims at providing conditions under which the FDI problem is solvable for at least one member of a given structured system, see [5], [14], [15]. The other direction aims at establishing conditions to guarantee that the FDI problem is solvable for all members of a given structured system, see [6]. In the present paper, we will pursue the second research direction. For a given structured system, if the FDI problem for all systems in the structured system is solvable, then we say that the FDI problem for this structured system is *solvable*. To the best of our knowledge, in this direction the only existing work is [6], which has studied a special kind of linear structured system, named systems defined on graphs. The goal of the present paper is to provide conditions under which the FDI problem is solvable for a general structured system. The main contributions of this letter are the following:

- 1) We develop a necessary and sufficient condition under which the FDI problem is solvable for a given particular LTI system.
- 2) For linear structured systems we first establish a necessary condition for solvability of the FDI problem. Assuming that this necessary condition holds, we then establish a sufficient algebraic condition for solvability of the FDI problem. This condition is expressed in terms of a rank test on a pattern matrix associated with the structured system. Moreover, we provide a counterexample to show that this sufficient condition is not necessary.
- 3) Using the concept of colorability of a graph, we provide a graph-theoretic condition for solvability of the FDI problem for a given structured system.

This letter is structured as follows. In Section II, we review concepts and preliminary results on geometric control theory and the geometric approach to the FDI problem for particular LTI systems. In addition, we introduce the concept of linear structured systems and formulate the problem studied in this letter. Section III presents a necessary and sufficient condition under which for a given particular LTI system the FDI problem

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is solvable. Section IV provides a necessary and a sufficient algebraic condition for solvability of the FDI problem for structured systems. Next, in Section V we establish a graph-theoretic condition for solvability of the FDI problem. Finally, Section VI concludes this letter.

II. PRELIMINARIES AND PROBLEM STATEMENT

Let $\mathbb{R}$ and $\mathbb{R}^n$ denote the field of real numbers and the vector space of n -dimensional real vectors, respectively. Likewise, we denote the space of $n \times m$ real matrices by $\mathbb{R}^{n \times m}$. For a given matrix $M \in \mathbb{R}^{n \times m}$, the i th column of M is denoted by M_i . Moreover, I and 0 will denote identity and zero matrices of appropriate dimensions, respectively. Given $M \in \mathbb{R}^{n \times m}$ we define its image by $\text{im } M = \{Mx \mid x \in \mathbb{R}^m\}$ and its kernel by $\ker M = \{x \in \mathbb{R}^m \mid Mx = 0\}$. If $\mathcal{S}$ is a subspace of $\mathbb{R}^m$ then we define the image of $\mathcal{S}$ under M by $M\mathcal{S} = \{Mx \mid x \in \mathcal{S}\}$.

A. Geometric Control Theory

Geometric control theory plays a fundamental role in this letter. Therefore, in this subsection, we will give a brief review of some basic concepts in this field. Consider the LTI system

$$\begin{aligned}\dot{x} &= Ax + Bu \\ y &= Cx,\end{aligned}\quad (1)$$

where $x \in \mathbb{R}^n$, $u \in \mathbb{R}^m$ and $y \in \mathbb{R}^p$ are the state, input and output, respectively, and A , B and C are matrices of appropriate dimensions. A subspace $\mathcal{S} \subseteq \mathbb{R}^n$ is called (C, A) -invariant if $A(\mathcal{S} \cap \ker C) \subseteq \mathcal{S}$. This condition is equivalent to the existence of a matrix $G \in \mathbb{R}^{n \times p}$ such that $\mathcal{S}$ is $(A + GC)$ -invariant, i.e., $(A + GC)\mathcal{S} \subseteq \mathcal{S}$. Such a G is called a *friend* of $\mathcal{S}$. A family $\{\mathcal{S}_i\}_{i=1}^k$ of (C, A) -invariant subspaces of $\mathbb{R}^n$ is called *compatible* if the subspaces $\mathcal{S}_i$ have a common friend. Given the system (1), a family of subspaces $\{\mathcal{S}_i\}_{i=1}^k$ is called *output separable* if for $i = 1, 2, \dots, k$

$$C\mathcal{S}_i \cap \left(\sum_{j \neq i} C\mathcal{S}_j\right) = \{0\}.$$

Any output separable family of (C, A) -invariant subspaces is compatible [2, Lemma 2]. Moreover, if it also satisfies the condition that $C\mathcal{S}_i \neq \{0\}$ for $i = 1, 2, \dots, k$, we say that the family $\{C\mathcal{S}_i\}_{i=1}^k$ is *independent*.

For a given subspace $\mathcal{D} \subseteq \mathbb{R}^n$, there exists a smallest (C, A) -invariant subspace containing $\mathcal{D}$, denoted by $\mathcal{S}^*$. Such a minimal subspace can be computed by the following subspace algorithm (see the conditioned invariant subspace algorithm [16, p. 111]):

$$\begin{aligned}\mathcal{S}^0 &= \mathcal{D} \\ \mathcal{S}^k &= \mathcal{D} + A(\mathcal{S}^{k-1} \cap \ker C) \text{ for } k = 1, 2, \dots\end{aligned}\quad (2)$$

Denote the dimension of $\mathcal{D}$ by $\dim(\mathcal{D})$. It follows from [16, Th. 5.8] that there exists $k \leq n - \dim \mathcal{D}$ such that $\mathcal{S}_k = \mathcal{S}_{k+1}$, and hence $\mathcal{S}^* = \mathcal{S}_k$.

B. The Geometric Approach to the FDI Problem for LTI Systems

In this subsection, we will review the geometric approach to the FDI problem for LTI systems. Consider the LTI system

$$\dot{x} = Ax + Lf$$

$$y = Cx, \quad (3)$$

where $x \in \mathbb{R}^n$, $f \in \mathbb{R}^q$ and $y \in \mathbb{R}^p$ are the state, fault and output, respectively, and A , L and C are matrices of appropriate dimensions. We denote the system (3) by (A, L, C) . We say that the i th fault occurs if $f_i \neq 0$ (i.e., not identically equal to 0), where f_i is the i th component of f . Following the approach proposed in [2], the FDI problem for (3) amounts to finding $G \in \mathbb{R}^{n \times p}$ such that the family of subspaces $\{C\mathcal{V}_i\}_{i=1}^q$ is independent, where $\mathcal{V}_i$ is the smallest $(A + GC)$ -invariant subspace containing $\text{im } L_i$. Here, L_i denotes the i th column of L . If such G exists, then we say that the FDI problem is *solvable*. In what follows, we will briefly explain this approach. Suppose that we have found a G satisfying the above constraints. Consider the state observer

$$\dot{\hat{x}} = (A + GC)\hat{x} - Gy. \quad (4)$$

Define the *innovation* as

$$r := C\hat{x} - y$$

and *error*

$$e := \hat{x} - x.$$

By interconnecting (3) and (4), we obtain

$$\begin{aligned}\dot{e} &= (A + GC)e - Lf \\ r &= Ce.\end{aligned}\quad (5)$$

Note that in this letter, we do not consider any stability requirement on the observer, which means that we do not require $e(t) \rightarrow 0$, and we assume that $e(0) = 0$. Under this assumption, for any fault f , the resulting error trajectory $e(t)$ lies in the reachable subspace of $(A + GC, L)$, which is clearly equal to $\mathcal{V}_1 + \mathcal{V}_2 + \dots + \mathcal{V}_q$. For the corresponding innovation trajectory $r(t)$ we then have

$$r(t) \in C\mathcal{V}_1 + C\mathcal{V}_2 + \dots + C\mathcal{V}_q.$$

If the family $\{C\mathcal{V}_i\}_{i=1}^q$ is independent, then this is a direct sum, and $r(t)$ can be written uniquely as

$$r(t) = r_1(t) + r_2(t) + \dots + r_q(t) \quad (6)$$

with $r_i(t) \in C\mathcal{V}_i$ for all t . The unique representation (6) can be used to determine whether the i th fault occurs. Indeed in (6) $r_i \neq 0$ (i.e., not identically equal to 0) only if $f_i \neq 0$. To see this, note that $f_i(t) = 0$ for all t implies $e(t) \in \sum_{j \neq i} \mathcal{V}_j$, so $r(t) \in \sum_{j \neq i} C\mathcal{V}_j$, equivalently, $r_i(t) = 0$ for all t .

Let $\mathcal{S}_i^*$ be the smallest (C, A) -invariant subspace containing $\text{im } L_i$. In [2] it has been shown that the FDI problem for the system (3) is solvable if and only if the family $\{C\mathcal{S}_i^*\}_{i=1}^q$ is independent, i.e., the family $\{\mathcal{S}_i^*\}_{i=1}^q$ is output separable and $C\mathcal{S}_i^* \neq \{0\}$ for $i = 1, 2, \dots, q$.

C. Linear Structured Systems and Problem Formulation

Again, consider the LTI system (3). In many scenarios, the exact values of the entries in the system matrices are not known, but some entries are known to be always zero, some are nonzero, and the remaining entries are arbitrary real numbers. To describe such kind of matrices, the authors in [7] have introduced the definition of *pattern matrix* as follows.

A pattern matrix is a matrix with entries in the set of symbols $\{0, *, ?\}$. The set of all $r \times s$ pattern matrices is denoted

by $\{0, *, ?\}^{r \times s}$. For a given $r \times s$ pattern matrix $\mathcal{M}$, we define the *pattern class* of $\mathcal{M}$ as

$$\mathcal{P}(\mathcal{M}) := \{M \in \mathbb{R}^{r \times s} \mid M_{ij} = 0 \text{ if } \mathcal{M}_{ij} = 0, \\ M_{ij} \neq 0 \text{ if } \mathcal{M}_{ij} = *\}.$$

This means that for a matrix $M \in \mathcal{P}(\mathcal{M})$, the entry M_{ij} is either (i) *zero* if $\mathcal{M}_{ij} = 0$, (ii) *nonzero* if $\mathcal{M}_{ij} = *$, or (iii) *arbitrary* (zero or nonzero) if $\mathcal{M}_{ij} = ?$.

Let $\mathcal{A} \in \{0, *, ?\}^{n \times n}$, $\mathcal{L} \in \{0, *, ?\}^{n \times q}$ and $\mathcal{C} \in \{0, *, ?\}^{n \times p}$. The family of systems (A, L, C) with $A \in \mathcal{P}(\mathcal{A})$, $L \in \mathcal{P}(\mathcal{L})$ and $C \in \mathcal{P}(\mathcal{C})$ is called the *linear structured system associated with $\mathcal{A}$, $\mathcal{L}$, and $\mathcal{C}$* . Throughout this letter, we use $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ to represent this structured system, and we write $(A, L, C) \in (\mathcal{A}, \mathcal{L}, \mathcal{C})$ if $A \in \mathcal{P}(\mathcal{A})$, $L \in \mathcal{P}(\mathcal{L})$ and $C \in \mathcal{P}(\mathcal{C})$. Based on these notions and notations, we define the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ to be *solvable* if the FDI problem is solvable for every $(A, L, C) \in (\mathcal{A}, \mathcal{L}, \mathcal{C})$. The research problem of this letter is then formally stated as follows.

Problem 1: Given $(\mathcal{A}, \mathcal{L}, \mathcal{C})$, find conditions under which the FDI problem is solvable for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$.

III. A NECESSARY AND SUFFICIENT CONDITION FOR SOLVABILITY OF THE FDI PROBLEM FOR (A, L, C)

In this section, we will establish a necessary and sufficient condition under which the FDI problem is solvable for a given LTI system (A, L, C) of the form (3). Recall that solvability of the FDI problem for (A, L, C) is equivalent to the independence of the family $\{C\mathcal{S}_i^*\}_{i=1}^q$, where $\mathcal{S}_i^*$ is the smallest (C, A) -invariant subspace containing $\text{im } L_i$ ($i = 1, 2, \dots, q$). Therefore, we will first provide a characterization of $\mathcal{S}_i^*$. Let d_i be a positive integer such that

$$CA^j L_i = 0 \text{ for } j = 0, 1, \dots, d_i - 2 \text{ and } CA^{d_i-1} L_i \neq 0.$$

Here and in the sequel, we define $A^0 := I$. It is obvious from the Cayley-Hamilton theorem that either $d_i \leq n$ or d_i does not exist. If this d_i exists, we then call it the *index* of (A, L_i, C) .

We are now ready to state a characterization of $C\mathcal{S}_i^*$ in the following lemma.

Lemma 1: Consider the system (A, L, C) of the form (3). Let $i \in \{1, 2, \dots, q\}$. Denote by $\mathcal{S}_i^*$ the smallest (C, A) -invariant subspace containing $\text{im } L_i$. Then, we have that

$$C\mathcal{S}_i^* = \begin{cases} \text{im } CA^{d_i-1} L_i & \text{if the index } d_i \text{ of } (A, L_i, C) \text{ exists,} \\ \{0\} & \text{otherwise.} \end{cases}$$

Proof: In this proof, we will employ the recurrence relation (2) to prove the statement. Let $\mathcal{S}_i^\ell$ be the sequence of subspaces given by

$$\begin{aligned} \mathcal{S}_i^0 &= \text{im } L_i, \\ \mathcal{S}_i^\ell &= \text{im } L_i + A(\mathcal{S}_i^{\ell-1} \cap \ker C) \text{ for } \ell = 1, 2, \dots \end{aligned} \quad (7)$$

We then distinguish two cases: (i) d_i exists, and (ii) d_i does not exist.

In case (i), we have that

$$CA^k L_i = 0 \text{ for } k = 0, 1, \dots, d_i - 2 \quad (8)$$

and

$$CA^{d_i-1} L_i \neq 0. \quad (9)$$

By combining (7) and (8), it can be verified directly that

$$\mathcal{S}_i^k = \text{im } [L_i \quad AL_i \quad \dots \quad A^k L_i] \text{ for } k = 0, 1, \dots, d_i - 1. \quad (10)$$

Now, we claim that:

- (a) $\mathcal{S}_i^{d_i-1} = \mathcal{S}_i^{d_i}$,
- (b) the dimension of $\mathcal{S}_i^{d_i-1}$ is strictly larger than that of $\mathcal{S}_i^{d_i-2}$.

If both claims (a) and (b) are true, then

$$\mathcal{S}_i^* = \mathcal{S}_i^{d_i-1} = \text{im } [L_i \quad AL_i \quad \dots \quad A^{d_i-1} L_i],$$

and hence $C\mathcal{S}_i^* = \text{im } CA^{d_i-1} L_i$. Note that (a) follows immediately from (9) and (10):

$$\begin{aligned} \mathcal{S}_i^{d_i-1} &\stackrel{(10)}{=} \text{im } [L_i \quad AL_i \quad \dots \quad A^{d_i-1} L_i] \\ \mathcal{S}_i^{d_i} &= \text{im } L_i + A(\mathcal{S}_i^{d_i-1} \cap \ker C) \\ &\stackrel{(9)}{=} \text{im } [L_i \quad AL_i \quad \dots \quad A^{d_i-1} L_i]. \end{aligned}$$

To prove (b), we assume that (b) is not true, i.e.,

$$\mathcal{S}_i^{d_i-1} = \mathcal{S}_i^{d_i-2} = \text{im } [L_i \quad AL_i \quad \dots \quad A^{d_i-2} L_i].$$

This implies

$$A^{d_i-1} L_i \in \text{im } [L_i \quad AL_i \quad \dots \quad A^{d_i-2} L_i] \subseteq \ker C,$$

which contradicts (9), and hence (b) is proved.

For case (ii), we have

$$CA^k L_i = 0 \text{ for } k = 0, 1, \dots, n - 1. \quad (11)$$

By combining (7) and (11), we obtain

$$\begin{aligned} \mathcal{S}_i^{n-1} &= \text{im } [L_i \quad AL_i \quad \dots \quad A^{n-1} L_i] \subseteq \ker C \\ \mathcal{S}_i^n &= \text{im } [L_i \quad AL_i \quad \dots \quad A^{n-1} L_i \quad A^n L_i]. \end{aligned}$$

It then follows from the Cayley-Hamilton theorem that

$$A^n L_i \in \mathcal{S}_i^{n-1},$$

i.e., $\mathcal{S}_i^{n-1} = \mathcal{S}_i^n$, and hence $\mathcal{S}_i^* = \mathcal{S}_i^{n-1} \subseteq \ker C$. Therefore, we have $C\mathcal{S}_i^* = \{0\}$. This completes the proof. ■

By the above lemma, the family $\{C\mathcal{S}_i^*\}_{i=1}^q$ of subspaces is independent if and only if the index d_i exist for $i = 1, 2, \dots, q$, and the vectors $\{CA^{d_i-1} L_i\}_{i=1}^q$ are linearly independent. Thus we arrive at the main result of this section which provides a necessary and sufficient condition under which the FDI problem for (A, L, C) is solvable.

Theorem 1: Consider the system (A, L, C) of the form (3). The FDI problem for (A, L, C) is solvable if and only if the index d_i exists for $i = 1, 2, \dots, q$, and the matrix R has full column rank, where R is defined by

$$R := [CA^{d_1-1} L_1 \quad CA^{d_2-1} L_2 \quad \dots \quad CA^{d_q-1} L_q]. \quad (12)$$

Proof: The proof follows immediately from Lemma 1 and is hence omitted. ■

TABLE I
ADDITION AND MULTIPLICATION WITHIN THE SET $\{0, *, ?\}$

+	0	*	?
0	0	*	?
*	*	?	?
?	?	?	?

·	0	*	?
0	0	0	0
*	0	*	?
?	0	?	?

IV. ALGEBRAIC CONDITIONS FOR SOLVABILITY OF THE FDI PROBLEM FOR $(\mathcal{A}, \mathcal{L}, \mathcal{C})$

In this section, we will establish a necessary condition and a sufficient condition that enables the FDI problem for a given structured system $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ to be solvable. Before presenting the results of this section, we first provide some background on operations on pattern matrices. More details can be found in [17]. Addition and multiplication within the set $\{0, *, ?\}$ are defined in Table I below.

Based on the operations in Table I, multiplication of pattern matrices is then defined as follows.

Definition 1: Let $\mathcal{M} \in \{0, *, ?\}^{r \times s}$ and $\mathcal{N} \in \{0, *, ?\}^{s \times t}$. The product of $\mathcal{M}$ and $\mathcal{N}$ is defined as $\mathcal{MN} \in \{0, *, ?\}^{r \times t}$ given by

$$(\mathcal{MN})_{ij} := \sum_{k=1}^q (\mathcal{M}_{ik} \cdot \mathcal{N}_{kj}) \quad i = 1, 2, \dots, r, \quad j = 1, 2, \dots, t. \quad (13)$$

It is easily seen that $MN \in \mathcal{P}(\mathcal{MN})$ for every pair of matrices $M \in \mathcal{P}(\mathcal{M})$ and $N \in \mathcal{P}(\mathcal{N})$. If $r = s$, we call $\mathcal{M}$ a square pattern matrix. For any given non-negative integer k , we define the k th power $\mathcal{M}^k$ recursively by

$$\mathcal{M}^0 = \mathcal{I}, \quad \mathcal{M}^i = \mathcal{M}^{i-1} \mathcal{M}, \quad i = 1, 2, \dots, k,$$

where $\mathcal{I}$ represents a square pattern matrix of appropriate dimensions with all diagonal entries equal to $*$ and all off-diagonal equal to 0. In the sequel, let $\mathcal{O}$ denote any pattern matrix of appropriate dimensions with all entries equal to 0.

Next, consider the system $(\mathcal{A}, \mathcal{L}, \mathcal{C})$. Let $\mathcal{L}_i$ represent the i th column of $\mathcal{L}$ for $i = 1, 2, \dots, q$. Let η_i be a positive integer such that

$$\mathcal{C}\mathcal{A}^j\mathcal{L}_i = \mathcal{O} \text{ for } j = 0, 1, \dots, \eta_i - 2 \text{ and } \mathcal{C}\mathcal{A}^{\eta_i-1}\mathcal{L}_i \neq \mathcal{O}.$$

If η_i exists, then we call it the *index* of $(\mathcal{A}, \mathcal{L}_i, \mathcal{C})$. In the sequel, we will write $(A, L_i, C) \in (\mathcal{A}, \mathcal{L}_i, \mathcal{C})$ if $A \in \mathcal{P}(\mathcal{A})$, $L_i \in \mathcal{P}(\mathcal{L}_i)$ and $C \in \mathcal{P}(\mathcal{C})$. Before continuing to explore conditions for solvability of the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$, we first provide the following lemma which states the relationship between the index of $(\mathcal{A}, \mathcal{L}_i, \mathcal{C})$ and that of $(A, L_i, C) \in (\mathcal{A}, \mathcal{L}_i, \mathcal{C})$.

Lemma 2: Consider the pattern matrix triple $(\mathcal{A}, \mathcal{L}_i, \mathcal{C})$. Then the following holds:

- Let $(A, L_i, C) \in (\mathcal{A}, \mathcal{L}_i, \mathcal{C})$. If both the index η_i of $(\mathcal{A}, \mathcal{L}_i, \mathcal{C})$ and the index d_i of (A, L_i, C) exist, then $d_i \geq \eta_i$.
- Suppose that the index η_i of $(\mathcal{A}, \mathcal{L}_i, \mathcal{C})$ exists, and suppose further that at least one entry of $\mathcal{C}\mathcal{A}^{\eta_i-1}\mathcal{L}_i$ is equal to $*$. Let $(A, L_i, C) \in (\mathcal{A}, \mathcal{L}_i, \mathcal{C})$. Then, the index d_i of (A, L_i, C) exists and $d_i = \eta_i$.
- If the index of $(\mathcal{A}, \mathcal{L}_i, \mathcal{C})$ does not exist, then the index of (A, L_i, C) does not exist for any $(A, L_i, C) \in (\mathcal{A}, \mathcal{L}_i, \mathcal{C})$.

Proof: By Definition 1, it follows that the vector $CA^\ell L_i \in \mathcal{P}(\mathcal{C}\mathcal{A}^\ell \mathcal{L}_i)$ for $i = 0, 1, \dots$ and for all $(A, L_i, C) \in (\mathcal{A}, \mathcal{L}_i, \mathcal{C})$. In order to prove (i), suppose that both the index η_i of $(\mathcal{A}, \mathcal{L}_i, \mathcal{C})$ and the index d_i of (A, L_i, C) exist. By the definition of η_i we have that $\mathcal{C}\mathcal{A}^\ell \mathcal{L}_i = \mathcal{O}$ for $\ell = 0, 1, \dots, \eta_i - 2$, and by the definition of d_i it follows that $CA^{d_i-1}L_i \neq 0$. Therefore, we obtain $d_i \geq \eta_i$. Next, to prove (ii), we assume that $\mathcal{C}\mathcal{A}^{\eta_i-1}\mathcal{L}_i$ contains at least one $*$ entry, which implies that all the vectors in the pattern class $\mathcal{P}(\mathcal{C}\mathcal{A}^{\eta_i-1}\mathcal{L}_i)$ are unequal to 0. Let $(A, L_i, C) \in (\mathcal{A}, \mathcal{L}_i, \mathcal{C})$. Clearly, the vector $CA^{\eta_i-1}L_i \in \mathcal{P}(\mathcal{C}\mathcal{A}^{\eta_i-1}\mathcal{L}_i)$, and hence $CA^{\eta_i-1}L_i \neq 0$. By definition, the index d_i of (A, L_i, C) must exist and $d_i \leq \eta_i$. Recalling (i), we conclude that $d_i = \eta_i$. The proof of (iii) is trivial. Indeed, suppose that the index of $(\mathcal{A}, \mathcal{L}_i, \mathcal{C})$ does not exist. It then follows that

$$\mathcal{C}\mathcal{A}^\ell \mathcal{L}_i = \mathcal{O} \text{ for } \ell = 0, 1, \dots,$$

which implies that $CA^\ell L_i$ is equal to 0 for every $(A, L_i, C) \in (\mathcal{A}, \mathcal{L}_i, \mathcal{C})$. That is, the index of (A, L_i, C) does not exist for any $(A, L_i, C) \in (\mathcal{A}, \mathcal{L}_i, \mathcal{C})$. ■

To illustrate the above lemma, we now provide an example.

Example 1: Consider the system $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ with

$$\mathcal{A} = \begin{bmatrix} 0 & 0 & 0 \\ * & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \quad \mathcal{L} = \begin{bmatrix} * & 0 & 0 \\ 0 & * & 0 \\ 0 & * & * \end{bmatrix}, \quad \mathcal{C} = \begin{bmatrix} ? & * & 0 \\ 0 & * & 0 \end{bmatrix}. \quad (14)$$

Let $\mathcal{L}_1, \mathcal{L}_2$ and $\mathcal{L}_3$ denote the first, second and third column of $\mathcal{L}$. For $\mathcal{L}_1$ and $\mathcal{L}_2$ we compute

$$\mathcal{C}\mathcal{L}_1 = \begin{bmatrix} ? \\ 0 \end{bmatrix} \neq \mathcal{O} \text{ and } \mathcal{C}\mathcal{L}_2 = \begin{bmatrix} * \\ * \end{bmatrix} \neq \mathcal{O}.$$

This implies that $\eta_1 = \eta_2 = 1$, where η_i is the index of $(\mathcal{A}, \mathcal{L}_i, \mathcal{C})$ for $i = 1, 2$. In addition, for $\mathcal{L}_3$ we compute

$$\mathcal{C}\mathcal{A}^\ell \mathcal{L}_3 = \mathcal{O} \text{ for } i = 0, 1, 2, \dots$$

which implies that the index of $(\mathcal{A}, \mathcal{L}_3, \mathcal{C})$ does not exist. Next, we will show that for some $(A, L_1, C) \in (\mathcal{A}, \mathcal{L}_1, \mathcal{C})$ the index d_1 of (A, L_1, C) is larger than η_1 , for every $(A, L_2, C) \in (\mathcal{A}, \mathcal{L}_2, \mathcal{C})$ its index d_2 is equal to η_2 , and for every $(A, L_3, C) \in (\mathcal{A}, \mathcal{L}_3, \mathcal{C})$ its index does not exist. Indeed, for $A \in \mathcal{P}(\mathcal{A})$, $L \in \mathcal{P}(\mathcal{L})$ and $C \in \mathcal{P}(\mathcal{C})$ we have

$$A = \begin{bmatrix} 0 & 0 & 0 \\ c_1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \quad L = \begin{bmatrix} c_2 & 0 & 0 \\ 0 & c_3 & 0 \\ 0 & c_4 & c_5 \end{bmatrix}, \quad C = \begin{bmatrix} \lambda_1 & c_6 & 0 \\ 0 & c_7 & 0 \end{bmatrix}, \quad (15)$$

where $c_1, c_2, \dots, c_7$ are arbitrary nonzero real numbers, and λ_1 is an arbitrary real number. Next, we compute

$$[CL_1 \quad CL_2] = \begin{bmatrix} \lambda_1 c_2 & c_3 c_6 \\ 0 & c_3 c_7 \end{bmatrix} \text{ and } CAL_1 = \begin{bmatrix} c_1 c_2 c_6 \\ c_1 c_2 c_7 \end{bmatrix}. \quad (16)$$

Thus, for all choices of $c_1, c_2, \dots, c_7$ and λ_1 we have

$$d_2 = 1 = \eta_2,$$

while if $\lambda_1 = 0$ then $d_1 = 2 > \eta_1$ and otherwise $d_1 = 1 = \eta_1$. In addition, it is obvious that for all choices of $c_1, c_2, \dots, c_7$ and λ_1 we have

$$CA^\ell L_3 = 0 \text{ for } \ell = 0, 1, \dots$$

and hence the index of (A, L_3, C) does not exist.

Lemma 2 immediately yields a necessary condition for solvability of the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$.

Theorem 2: Consider the system $(\mathcal{A}, \mathcal{L}, \mathcal{C})$. Suppose that the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ is solvable. Then, the index η_i of $(\mathcal{A}, \mathcal{L}_i, \mathcal{C})$ exists for all $i = 1, 2, \dots, q$.

Proof: Since the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ is solvable, the FDI problem is solvable for all $(A, L, C) \in (\mathcal{A}, \mathcal{L}, \mathcal{C})$. Assume that for some $i \in \{1, 2, \dots, q\}$ the index η_i of $(\mathcal{A}, \mathcal{L}_i, \mathcal{C})$ does not exist. By statement (iii) of Lemma 2, it follows that the index d_i of (A, L_i, C) does not exist for any $(A, L_i, C) \in (\mathcal{A}, \mathcal{L}_i, \mathcal{C})$. It then follows from Theorem 1 that the FDI problem for (A, L, C) is not solvable for any $(A, L, C) \in (\mathcal{A}, \mathcal{L}, \mathcal{C})$. Therefore, we reach a contradiction and complete the proof. ■

By the above theorem, in the sequel we will assume that for all $i = 1, 2, \dots, q$ the indices η_i exist. Based on this assumption, we will continue to explore sufficient conditions for solvability of the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$. To do so, we first define the following pattern matrix associated with $(\mathcal{A}, \mathcal{L}, \mathcal{C})$:

$$\mathcal{R} := [\mathcal{C}\mathcal{A}^{\eta_1-1}\mathcal{L}_1 \quad \mathcal{C}\mathcal{A}^{\eta_2-1}\mathcal{L}_2 \quad \dots \quad \mathcal{C}\mathcal{A}^{\eta_q-1}\mathcal{L}_q], \quad (17)$$

where η_i is the index of $(\mathcal{A}, \mathcal{L}_i, \mathcal{C})$. We say that $\mathcal{R}$ has *full column rank* if all the matrices in the pattern class $\mathcal{P}(\mathcal{R})$ have full column rank. We are now ready to establish a sufficient condition for solvability of the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$.

Theorem 3: Consider the system $(\mathcal{A}, \mathcal{L}, \mathcal{C})$. Let $\mathcal{R}$ be the pattern matrix given by (17). The FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ is solvable if $\mathcal{R}$ has full column rank.

Proof: Since $\mathcal{R}$ has full column rank, each column of $\mathcal{R}$ contains at least one $*$ entry. Let $(A, L, C) \in (\mathcal{A}, \mathcal{L}, \mathcal{C})$. By (ii) of Lemma 2 it follows that $d_i = \eta_i$, where d_i is the index of (A, L_i, C) for $i = 1, 2, \dots, q$. This implies that the matrix R given by (12) is in $\mathcal{P}(\mathcal{R})$, and hence R has full column rank. It then follows from Theorem 1 that the FDI problem is solvable. Since (A, L, C) is an arbitrary system in $(\mathcal{A}, \mathcal{L}, \mathcal{C})$, we conclude that the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ is solvable and complete the proof. ■

Note that the condition given in Theorem 3 is sufficient but not necessary. To show this, we provide the following counterexample.

Example 2: Consider the system $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ with

$$\mathcal{A} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}, \quad \mathcal{L} = \begin{bmatrix} * & * \\ 0 & * \end{bmatrix}, \quad \mathcal{C} = \begin{bmatrix} * & * \\ * & 0 \end{bmatrix}.$$

Let $\mathcal{L}_1$ and $\mathcal{L}_2$ be the first and second column of $\mathcal{L}$. We compute

$$\mathcal{C}\mathcal{L}_1 = \begin{bmatrix} * \\ * \end{bmatrix} \text{ and } \mathcal{C}\mathcal{L}_2 = \begin{bmatrix} ? \\ * \end{bmatrix},$$

and, by (17), $\mathcal{R} = \begin{bmatrix} * & ? \\ * & * \end{bmatrix}$. Since $\begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} \in \mathcal{P}(\mathcal{R})$, $\mathcal{R}$ does not have full column rank. Next, we will show that, however, the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ is solvable. Due to Theorem 1, it suffices to show that for each $(A, L, C) \in (\mathcal{A}, \mathcal{L}, \mathcal{C})$ the associated matrix R has full column rank. Clearly, every $(A, L, C) \in (\mathcal{A}, \mathcal{L}, \mathcal{C})$ has the form

$$A = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}, \quad L = \begin{bmatrix} c_1 & c_2 \\ 0 & c_3 \end{bmatrix}, \quad C = \begin{bmatrix} c_4 & c_5 \\ c_6 & 0 \end{bmatrix},$$

where $c_1, c_2, \dots, c_6$ are arbitrary nonzero real numbers. By (12), we obtain

$$R = CL = \begin{bmatrix} c_1c_4 & c_2c_4 + c_3c_5 \\ c_1c_6 & c_2c_6 \end{bmatrix}.$$

It turns out that R has full column rank. Indeed, the determinant of R is equal to $-c_1c_3c_5c_6$ which is always nonzero. Consequently, the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ is solvable. This provides a counterexample for the necessity of the condition in Theorem 3.

V. A GRAPH-THEORETIC CONDITION FOR SOLVABILITY OF THE FDI PROBLEM

So far, we have provided a sufficient condition for solvability of the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ in terms of the full column rank property of its associated matrix $\mathcal{R}$. However, given such a matrix $\mathcal{R}$, it is not clear how to check its full column rank property. Hence, in this section, we will provide a graph-theoretic condition under which a given pattern matrix $\mathcal{R}$ has full column rank. Clearly, by Theorem 3 this will immediately lead to a graph-theoretic condition for solvability of the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$.

We will now first review the concept of graph associated with a given pattern matrix, and the color change rule that acts on this graph. For more details, see [7].

For a given pattern matrix $\mathcal{M} \in \{0, *, ?\}^{r \times s}$ with $r \leq s$, the graph $G(\mathcal{M}) = (V, E)$ associated with $\mathcal{M}$ is defined as follows. Take as node set $V = \{1, 2, \dots, r\}$ and define the edge set $E \subseteq V \times V$ such that $(j, i) \in E$ if and only if $\mathcal{M}_{ij} = *$ or $\mathcal{M}_{ij} = ?$. Also, in order to distinguish between $*$ and $?$ entries in $\mathcal{M}$, we define two subsets E_* and $E_?$ of the edge set E as follows: $(j, i) \in E_*$ if and only if $\mathcal{M}_{ij} = *$ and $(j, i) \in E_?$ if and only if $\mathcal{M}_{ij} = ?$. Then, obviously, $E = E_* \cup E_?$ and $E_* \cap E_? = \emptyset$. To visualize this, solid and dashed arrows are used to represent edges in E_* and $E_?$, respectively. We say that $\mathcal{M}$ has *full row rank* if the matrix M has full row rank for all $M \in \mathcal{P}(\mathcal{M})$. Next, we introduce a so-called *color change rule* which is defined as follows.

- (1) Initially, color all nodes in $G(\mathcal{M})$ white.
- (2) If a node i has exactly one white neighbor j and $(i, j) \in E_*$, change the color of j to black.
- (3) Repeat step 2 until no more nodes can be colored black.

The graph $G(\mathcal{M})$ is called *colorable* if the nodes $1, 2, \dots, r$ are colored black following the procedure above. Note that the remaining nodes $r+1, r+2, \dots, s$ can never be colored black since they have no incoming edges. A criterion for the full row rank property of $\mathcal{M}$ is then given by the following proposition.

Proof [7, Th. 11]: Let $\mathcal{M} \in \{0, *, ?\}^{r \times s}$ be a pattern matrix with $r \leq s$. Then $\mathcal{M}$ has full row rank if and only if $G(\mathcal{M})$ is colorable. ■

Define the *transpose* of $\mathcal{R}$ as the pattern matrix

$$\mathcal{R}^\top \in \{0, *, ?\}^{s \times r} \text{ with } (\mathcal{R}^\top)_{ij} = \mathcal{R}_{ji}$$

for $i = 1, 2, \dots, s$ and $j = 1, 2, \dots, r$. We then obtain the following obvious fact:

Lemma 3: Consider the system $(\mathcal{A}, \mathcal{L}, \mathcal{C})$. Let $\mathcal{R}$ be the pattern matrix given by (17) and $\mathcal{R}^\top$ be its transpose. Then $\mathcal{R}$ has full column rank if and only if $G(\mathcal{R}^\top)$ is colorable.

Fig. 1. The graph $G(\mathcal{R}^T)$ is colorable.

This then immediately yields the main result of this section which provides a graph-theoretic condition under which the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ is solvable.

Theorem 4: Consider the system $(\mathcal{A}, \mathcal{L}, \mathcal{C})$. Suppose that the indices η_i exists for $i = 1, 2, \dots, q$. Let $\mathcal{R}$ be the pattern matrix given by (17). Then, the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ is solvable if $G(\mathcal{R}^T)$ is colorable.

Proof: The proof follows immediately from Theorem 3 and Lemma 3. ■

To conclude this section, we will provide an example.

Example 3: Consider the system $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ with

$$\mathcal{A} = \begin{bmatrix} * & 0 & 0 & 0 & 0 \\ * & ? & 0 & ? & 0 \\ 0 & * & * & ? & 0 \\ * & 0 & 0 & ? & * \\ 0 & 0 & * & 0 & * \end{bmatrix}, \quad \mathcal{L} = \begin{bmatrix} * & 0 \\ ? & * \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{bmatrix}, \quad \mathcal{C} = \begin{bmatrix} 0 & 0 & 0 & * & 0 \\ 0 & 0 & 0 & ? & ? \\ 0 & 0 & 0 & * & * \end{bmatrix}.$$

By multiplying the pattern matrices, we obtain that

$$[\mathcal{C}\mathcal{L}_1 \quad \mathcal{C}\mathcal{A}\mathcal{L}_1] = \begin{bmatrix} 0 & * \\ 0 & ? \\ 0 & * \end{bmatrix}$$

and

$$[\mathcal{C}\mathcal{L}_2 \quad \mathcal{C}\mathcal{A}\mathcal{L}_2 \quad \mathcal{C}\mathcal{A}^2\mathcal{L}_2] = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & ? \\ 0 & 0 & * \end{bmatrix},$$

where $\mathcal{L}_i$ is the i th column of $\mathcal{L}$. By (17), it follows that the associated matrix $\mathcal{R}$ and its transpose $\mathcal{R}^T$ are given by

$$\mathcal{R} = [\mathcal{C}\mathcal{A}\mathcal{L}_1 \quad \mathcal{C}\mathcal{A}^2\mathcal{L}_2] = \begin{bmatrix} * & 0 \\ ? & ? \\ * & * \end{bmatrix}$$

and

$$\mathcal{R}^T = \begin{bmatrix} * & ? & * \\ 0 & ? & * \end{bmatrix}.$$

As depicted in Fig. 1 $G(\mathcal{R}^T)$ is colorable. Indeed, initially let all nodes in $G(\mathcal{R}^T)$ be colored white as shown in Fig. 1(a). Node 1 then colors itself black as depicted in Fig. 1(b), and finally node 3 colors 2 to black as in Fig. 1(c). Therefore, by Theorem 4, the FDI problem for $(\mathcal{A}, \mathcal{L}, \mathcal{C})$ is solvable.

VI. CONCLUSION

In this letter, we have studied the FDI problem for linear structured systems. We have established a necessary and sufficient condition for solvability of the FDI problem for a given particular LTI system. Based on this, we have established a necessary condition under which the FDI problem for structured systems is solvable. Moreover, we have developed a sufficient condition for solvability of the FDI problem in terms of a rank test on a pattern matrix associated with the structured system. Next, we have provided a counterexample

to show that this condition is not necessary. Finally, we have developed a graph-theoretic condition for solvability of the FDI problem using the concept of colorability of a graph.

This letter has only established a necessary condition and sufficient conditions for solvability of the FDI problem for structured systems. Finding necessary and sufficient conditions for solvability of the FDI problem is still an open problem. In addition, as we have mentioned in Section II-B, this letter does not consider the stability of the unknown input observers. Therefore, another possible future research direction is to establish conditions under which stable unknown input observers exist for linear structured systems. Furthermore, investigating solvability of FDI for structured systems with constraints, such as allowing dependencies on some nonzero and arbitrary entries [18]–[20], is also a possibility for future research.

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