Off-pump hepatic to azygos connection via thoracotomy for relief of fistulas after a Kawashima procedure

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ABSTRACT

Objectives: An almost universal incidence of developing pulmonary arteriovenous fistulas after the Kawashima operation has been reported. Exclusion of the hepatic venous flow from the pulmonary circulation causes the development of these malformations. Redirection of hepatic venous flow to the pulmonary circulation mostly leads to the regression of the arteriovenous fistulas.

Methods: We analyzed 11 patients with arteriovenous fistulas that developed after the Kawashima operation. The hepatic-to-azygos shunts were performed with an off-pump technique through a lateral thoracotomy in all but one. Operative and postoperative data were retrospectively collected.

Results: No intraoperative complications occurred, and no patient died in the hospital. Up to 10-year follow-up showed a significant postoperative improvement of patients’ oxygen saturation and New York Heart Association class. Apart from 2 re-thoracotomies for bleeding in 1 patient, no complications occurred and no patient died during follow-up. Two other patients underwent reoperation for an undiagnosed additional hepatic vein. The improvement of patients’ oxygen saturation and New York Heart Association class persisted during the follow-up period.

Conclusions: The surgical connection can be performed safely with an off-pump technique that avoids the risks related to extracorporeal circulation and circulatory arrest. The results at 10 years follow-up confirmed the efficacy and safety of the surgical technique described. (J Thorac Cardiovasc Surg 2015;149:1524-30)

In 1984, Kawashima and colleagues described a quasi-total cavopulmonary connection in patients with univentricular atrioventricular connection, interrupted inferior caval vein, and azygos or hemiazygos continuation where the superior caval vein was connected to the right pulmonary artery, leaving the hepatic veins connected to the atrium. Kawashima and colleagues published their surgical technique 4 years before de Leval and colleagues’ first publication on the total cavopulmonary connection. The “Kawashima operation” is currently a recognized surgical technique to achieve a quasi-complete cavopulmonary connection.
connection in cases of interruption of the inferior caval vein, which usually occurs in the context of left atrial isomerism.\textsuperscript{3,4} However, the medium- and long-term follow-ups after the Kawashima operation show a high incidence of gradually reducing systemic oxygen saturation due to the formation of pulmonary arteriovenous fistulas.\textsuperscript{5,7} It was suggested that the exclusion of hepatic venous blood flow from the pulmonary circulation caused the development of arteriovenous fistulas.\textsuperscript{5-8} The reversibility of these arteriovenous fistulas after redirection of hepatic venous blood flow to the pulmonary circulation supported the concept that a lack of a hitherto unidentified hepatic factor in the pulmonary blood causes the development of the fistulas.\textsuperscript{7,10} Different techniques have been described to redirect the hepatic flow to the pulmonary circulation, and we described the current technique in 2008.\textsuperscript{9,11-14} In our study, we describe an off-pump technique to make a connection between the hepatic vein and the azygos or hemiazygos vein using a vascular prosthesis through a lateral thoracotomy. We report on the outcomes up to 10 years of 11 patients in whom this technique was used to redirect hepatic flow to the lungs.

**MATERIAL AND METHODS**

**Study Design: Patient Selection**

The Institutional Review Boards of the University Medical Center Groningen and Rikshospitalet Oslo University Hospital agreed to waive the need for an informed consent because data were collected as part of routine medical care and patients were not individually identifiable.

We selected from both institutional databases those patients who underwent a surgical connection of the hepatic to the azygos vein, hepatic to azygos shunt (HAS), because of the development of arteriovenous fistulas after a Kawashima operation. The operations were performed between June 2002 and August 2011; thus, the maximum follow-up is 10 years.

Inclusion criteria were a prior Kawashima operation with secondary hypoxia due to pulmonary arteriovenous fistulas. Twelve patients satisfied the inclusion criteria. One patient was excluded because of refusal until now of the surgical procedure. The presence of arteriovenous fistulas was suspected by a progressive decrease of oxygen saturation by pulse oximetry during follow-up compared with the oxygen saturation recorded immediately after the Kawashima operation. Three patients had similar oxygen saturation levels before HAS compared with the time of their Kawashima operation. However, these patients showed poor clinical condition and cyanosis at exercise. The presence of pulmonary arteriovenous fistulas demonstrated by contrast echocardiography and cardiac catheterization determined the indication to proceed with the HAS procedure.

Two-dimensional contrast echocardiography was used in all patients to confirm the presence of pulmonary arteriovenous fistulas. This was performed by injection of agitated saline solution through a peripheral vein with simultaneous echocardiographic imaging of the left atrium. Pulmonary arteriovenous fistulas were considered present when contrast could be visualized in the left atrium with a short delay (3-5 cardiac cycles or 2-4 seconds) after contrast injection. In 9 patients, in addition to contrast echocardiography, cardiac catheterization was performed to visualize the fistulas and reduced oxygenation of pulmonary venous blood established.

A computed tomography (CT) angiography examination was performed to define the anatomy with particular focus on the connection between the hepatic veins and the atrium. Contrast was injected in an antecubital vein, and after 40 seconds the scan was started so optimal hepatic vein enhancement was obtained. The CT data were reconstructed on a 3-dimensional workstation by using a multiplanar reformat and volume-rendering technique.

**Data Collection and Patients’ Characteristics**

Preoperative, operative, and postoperative data from all 11 patients were collected retrospectively. Baseline patient characteristics are listed in Table 1.

**Surgical Technique**

Ten HAS procedures were performed through a thoracotomy, and 1 HAS procedure was performed through a median re-sternotomy. The surgical approach was planned on the basis of the CT scan findings, where the thoracotomy was done on the same side as the major inferior systemic vein, thus, right in case of an azygos continuation and left in case of a hemiazygos continuation. The HAS procedures were performed through a left thoracotomy in 7 patients with a hemiazygos continuation and through a right thoracotomy in 3 patients with an azygos continuation. All operations were performed off pump, as previously described. Through a thoracotomy at the level of the seventh or eighth intercostal space, the hepatic vein and the azygos or hemiazygos vein could be identified easily. A polytetrafluoroethylene (Gore-Tex; WL Gore & Associates Inc, Flagstaff, Ariz) vascular prosthesis was used to make the connection. The diameter of the vascular prosthesis varied between 10 and 18 mm. The choice of the polytetrafluoroethylene (Gore-Tex) vascular prosthesis diameter depends on the diameter of the hepatic vein and the azygos or hemiazygos vein: The prosthesis diameter should be proportional to both veins.

The hepatic vein was partially closed by using a side-biting vascular clamp. An end-to-side anastomosis was performed between the polytetrafluoroethylene (Gore-Tex) vascular prosthesis and the hepatic vein. Afterward, an end-to-side anastomosis was performed between the polytetrafluoroethylene (Gore-Tex) vascular prosthesis and the azygos or hemiazygos vein by partial closure of the azygos or hemiazygos vein, again with a side-biting vascular clamp. After de-airing, the shunt was opened and started functioning (Figure 1).

The connection of the hepatic veins to the atrium was always closed by using a suture or staples. A division of the hepatic vein could be performed where there was enough space to place 2 vascular clamps or to use a combined stapler/cutting device. The division was performed in 2 patients. All patients received postoperative acetylsalicylic acid at a dosage of 100 mg daily per os in adult patients and at a weight-based dosage in pediatric patients. In the presence of atrial arrhythmia, previous thromboembolic events, and thrombophilia, such as factor V Leiden or protein C/S deficiency, patients received vitamin K antagonist instead of acetylsalicylic acid. The international normalized ratio target was between 2 and 3. It was recommended to continue lifelong antithrombotic or anticoagulation therapy. At follow-up, all patients were still receiving antithrombotic or anticoagulation treatment.

**Follow-up**

The clinical follow-up was performed at regular outpatient visits. Physical examination, echocardiographic evaluation, laboratory tests, measurement of oxygen saturation by pulse oximetry, and evaluation of New York Heart Association (NYHA) class were routinely performed in all patients.
Data Management and Statistical Analysis

Statistical analysis was performed using IBM SPSS Statistics 22 (IBM Corp, New York, NY). Values are reported as mean, range, median, or percentages. A paired-samples test (t-test) was used to compare preoperative and postoperative oxygen saturation. A sign test was used to compare preoperative and postoperative NYHA class.

RESULTS

Figure 2 shows the variability of time interval between the Kawashima operation and the HAS operation in terms of patients’ age. The mean patients’ age at Kawashima operation was 4.5 years. The median age was 2 years and ranged from 5 months to 25 years.

The mean patients’ age at the HAS operation was 10.8 years. The median age was 11 years and ranged from 2 to 30 years. The median interval between Kawashima and HAS operation was 6 years and ranged from 2 to 14 years (Figure 2). Figure 3 shows the cumulative freedom from HAS reoperation after the Kawashima operation.

In-Hospital Course

No intraoperative complications occurred. In 1 patient, 2 re-thoracotomies were performed because of postoperative bleeding. No patient died in the hospital.

The median length of hospital stay was 12 days, with a range from 7 to 54 days. One patient had a prolonged ward stay (52 days) because of pleural effusion that required prolonged chest tube drainage. The median length of intensive care unit (ICU) stay was 1 day, with a range from 1 to 11 days. One patient remained in the ICU for 11 days because of logistic problems and was discharged on postoperative day 12. The median length of hospital and ICU stay is similar to those reported in other studies.

### TABLE 1. Patients’ preoperative characteristics

<table>
<thead>
<tr>
<th>Patient</th>
<th>Gender</th>
<th>Cardiac anomalies</th>
<th>IVC connection/cardiac position</th>
<th>Side of thoracotomy</th>
<th>Bilateral SVCs</th>
<th>Other noncardiac congenital anomalies</th>
<th>Previous palliative operation</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 (F)</td>
<td></td>
<td>Left atrial isomerism, double outlet right ventricle, AVSD, subvalvar pulmonary stenosis</td>
<td>Hemiazygos to L SVC/levocardia</td>
<td>Left</td>
<td>Yes</td>
<td>Situs inversus abdominis</td>
<td>None</td>
</tr>
<tr>
<td>2 (F)</td>
<td></td>
<td>Left atrial isomerism, pulmonary valve atresia, outlet VSD, ASD</td>
<td>Hemiazygos to L SVC/levocardia</td>
<td>Left</td>
<td>Yes</td>
<td></td>
<td>1. BT shunt left</td>
</tr>
<tr>
<td>3 (F)</td>
<td></td>
<td>Left atrial isomerism, double inlet left ventricle, AVSD, transposition great vessels, pulmonary valvar stenosis</td>
<td>Azygos to R SVC/levocardia</td>
<td>Right</td>
<td>No</td>
<td></td>
<td>2. BT shunt right</td>
</tr>
<tr>
<td>4 (F)</td>
<td></td>
<td>Left atrial isomerism, double outlet right ventricle, atrioventricular discordance, pulmonary valvar and pulmonary arterial stenosis</td>
<td>Azygos to R SVC/levocardia</td>
<td>Median</td>
<td>No</td>
<td></td>
<td>3. BT shunt right</td>
</tr>
<tr>
<td>5 (M)</td>
<td></td>
<td>Left atrial isomerism, double outlet right ventricle, AVSD, subvalvar and pulmonary valvar stenosis, VSD</td>
<td>Hemiazygos to L SVC/levocardia</td>
<td>Left</td>
<td>No</td>
<td>Situs inversus abdominis</td>
<td>BT shunt right</td>
</tr>
<tr>
<td>6 (M)</td>
<td></td>
<td>Left atrial isomerism, AVSD, Hypoplastic right ventricle, right SVC to coronary sinus</td>
<td>Hemiazygos to L SVC/dextrocardia</td>
<td>Left</td>
<td>No</td>
<td>Pulmonary artery banding</td>
<td></td>
</tr>
<tr>
<td>7 (M)</td>
<td></td>
<td>Left atrial isomerism, double outlet right ventricle, AVSD, right sided azygos vein</td>
<td>Azygos to R SVC/levocardia</td>
<td>Right</td>
<td>No</td>
<td>Pulmonary artery banding</td>
<td></td>
</tr>
<tr>
<td>8 (M)</td>
<td></td>
<td>Left atrial isomerism, AVSD, situs inversus, arch hypoplasia, aortic atresia</td>
<td>Hemiazygos to L SVC/levocardia</td>
<td>Left</td>
<td>Yes</td>
<td>Situs inversus abdominis</td>
<td>Norwood stage I with Sano shunt</td>
</tr>
<tr>
<td>9 (F)</td>
<td></td>
<td>Left atrial isomerism, double discordance, situs inversus, pulmonary atresia, VSD</td>
<td>Azygos to R SVC/levocardia</td>
<td>Right</td>
<td>No</td>
<td></td>
<td>Central shunt</td>
</tr>
<tr>
<td>10 (F)</td>
<td></td>
<td>Right atrial isomerism, situs ambiguus, hypoplastic right ventricle</td>
<td>Hemiazygos to L SVC/levocardia</td>
<td>Left</td>
<td>Yes</td>
<td>Situs inversus abdominis</td>
<td>None</td>
</tr>
<tr>
<td>11 (F)</td>
<td></td>
<td>Left atrial isomerism, mitral atresia</td>
<td>Hemiazygos to L SVC/levocardia</td>
<td>Left</td>
<td>No</td>
<td>Pulmonary artery banding</td>
<td></td>
</tr>
</tbody>
</table>

ASD, Atrial septal defect; AVSD, atrial ventricle septal defect; BT, Blalock-Taussig; IVC, inferior vena cava; L SVC, left superior vena cava; R SVC, right superior vena cava; SVC, superior vena cava; VSD, ventricle septal defect.

FIGURE 1. Intraoperative exposition of the hepatic veins and azygos vein through a lateral thoracotomy.
All patients were extubated within the first postoperative day. One patient required inotropic support by means of dopamine during the first 12 postoperative hours. Prolonged pleural effusion (>3 days) was detected in 4 patients and was treated with prolonged chest drainage.

Follow-up

The first postoperative outpatient clinic controls were performed within the first year after surgery. One patient was readmitted to the hospital 4 months after the operation because of poor clinical condition and persistent low oxygen saturation. An angiography showed a residual ipsilateral connection between the hepatic veins and the atrium, which required a reoperation. The reoperation was performed through a left re-thoracotomy. The residual connection between 1 hepatic vein and the atrium was closed, resulting in an increase in oxygen saturation (up to 92%).

Figure 4 shows the course of the oxygen saturation of each patient. The graph shows the decreased oxygen saturations before the HAS if compared with the values after the Kawashima operation. After the HAS, the oxygen saturations increased again at least up to the value measured immediately after the Kawashima operation. There was a significant difference ($P = .0001$) between the mean oxygen saturation before and 3.5 months after the HAS operation: 78% versus 94%.

Figure 5 shows patients’ NYHA class evaluation before and after the HAS operation. There is a significant difference ($P < .001$) between the preoperative NYHA class (median class III) and the postoperative NYHA class (median class I). The mean follow-up time was 42 months and ranged from 6 months to 10 years.

DISCUSSION

The almost universal incidence of pulmonary arteriovenous fistulas after the Kawashima operation has been described in several studies.5-7 Redirection of the hepatic flow to the pulmonary bed leads to progressive resolution of the arteriovenous fistulas, supporting their reversible nature.7-12 The progressive resolution of the arteriovenous fistulas generally occurs within the first year after the operation.11,12

We have described a successful off-pump technique through a lateral thoracotomy, allowing for adequate distribution of the elusive hepatic factor to both lungs. The operation generally is performed through a median sternotomy; the drawbacks are that much of the heart then needs to be dissected, cardiopulmonary bypass is needed, and even deep hypothermic circulatory arrest is often reported.11,12,15 Both techniques involve risks. Pediatric cardiac surgery with cardiopulmonary bypass can be associated with neurodevelopmental impairment.16,17 The increasing duration of deep hypothermic circulatory arrest is directly associated
with adverse long-term neurodevelopmental sequelae.\textsuperscript{18} A further complicating factor in patients with cyanotic heart disease, including our cohort of patients, is the effect of the aortopulmonary collateral circulation on flow distribution during cardiopulmonary bypass. This collateral pulmonary circulation can divert a variable portion of the systemic circulating blood, sometimes making it difficult to maintain an adequate flow and perfusion pressure.\textsuperscript{19} Also, the collateral pulmonary circulation can negatively influence the cerebral oxygenation during hypothermic cardiopulmonary bypass.\textsuperscript{19} The risks of a repeat median sternotomy in the congenital population are mainly related to possible reentry injuries.\textsuperscript{20,21} Although the use of pericardial substitutes can reduce retrosternal adhesions, the risk of injuries is not negligible.

Furthermore, the duration of the dissection maneuvers can influence the resource use and the related cost-effectiveness of the procedure.\textsuperscript{20} In our study, we perform the surgical connection of the hepatic to the azygos vein with an off-pump technique through a lateral thoracotomy. In our series, there was no need for conversion to cardiopulmonary bypass or median sternotomy. A lateral thoracotomy allows an optimal exposition of both the hepatic veins and the azygos vein (Figure 1). No intraoperative complications occurred, and all patients survived the operation. Therefore, we argue that this off-pump technique of the hepatic to the azygos vein through a lateral thoracotomy is a safe technique that eliminates the risks related to the use of cardiopulmonary bypass or circulatory arrest. Moreover, the approach through a lateral thoracotomy avoids the risks of reentry injuries related to a repeat sternotomy and allows a satisfied surgical exposure.

A further advantage of the extracardiac HAS is the apparently adequate flow distribution of the hepatic blood to both lungs. The intracardiac hepatic venous baffle may lead to an unbalanced hepatic flow distribution to the left and right lungs, and in turn to unilateral pulmonary arteriovenous fistulas.\textsuperscript{22,23} The results of 1-year follow-up showed a significant postoperative improvement of patients’ oxygen saturation (94\% vs 78\%) and a significant postoperative improvement of patients’ NYHA class (III vs I). This clinical improvement in our patients after the redirection of the hepatic flow to the pulmonary circulation supports the notion of reversibility of arteriovenous fistulas.

At the end of follow-up, the mean oxygen saturation in our patient group was 95\%, and all patients had a functional NYHA class I or II. No patient died during the follow-up. These follow-up results further support the safety and long-lasting efficacy of the HAS at medium term.

Although the incidence of arteriovenous fistulas after the Kawashima operation is almost universal, the time interval between the Kawashima operation and the development of these fistulas is highly variable, as confirmed in our study (Figure 5). Therefore, the timing to perform the redirection of the hepatic flow to the pulmonary bed remains arguable.

Some authors suggested redirecting the hepatic flow very soon after the Kawashima operation to prevent the development of the arteriovenous fistulas, rather than cure them at a later stage.\textsuperscript{6,15} Amodeo and colleagues\textsuperscript{24} described a Kawashima operation combined with the direct hepatic to azygos
connection. Sathe and colleagues performed a banding of the pulmonary trunk to allow a limited antegrade flow to prevent the development of fistulas. We have to consider that in the setting of patients after the Kawashima operation, the HAS corresponds to the Fontan completion and therefore to the gradual attrition of the circulatory reserve. This physiologic course can result in many systemic complications, such as hepatic dysfunction, protein-losing enteropathy, plastic bronchitis, and arrhythmias.

Moreover, the prosthetic material used for the connection between the hepatic vein and the azygos vein can increase the risk for thromboembolic events by promoting thrombus formation. Therefore, our policy is not to perform the HAS shortly after the cavopulmonary connection in the absence of arteriovenous fistulas. Our institutional policy consists of periodical outpatient follow-up evaluations after the Kawashima operation. The timing of the HAS is established on the basis of initial signs of development of arteriovenous fistulas: oxygen saturation lower than 85%, presence of symptoms, and decreased exercise capacity. Regular outpatient controls allow the timely recognition of the development of malformations. Furthermore, the reversibility of the malformations after the HAS does not necessitate a preventive operation at short term.

To reduce the risk for thromboembolic events by thrombus formation promoted by the prosthetic material used for the HAS connection, our institutional policy consists of antithrombotic treatment and if indicated anticoagulation treatment in all patients after the HAS operation. Thromboembolic events occurred in none of the patients at follow-up.

For the preoperative diagnosis, it is essential to know in detail all the anatomic connections because the pattern of hepatic vein drainage may vary, and this information is needed for the success of the procedure. The timing of the scan after the injection of the contrast is essential to optimize the hepatic vein enhancement. The hepatic drainage in hearts with isomerism can be isolated or through independent channels: It can be bilateral on both sides of the vertebrae or follow another pattern, such as a partial drainage through the coronary sinus. In our study, it was necessary to perform a reoperation twice in the same patient because of the presence of bilateral hepatic vein drainage that was not recognized by the first HAS operation. If this had been recognized before the first operation, it would have had implications on the surgical technique. In case of a contralateral connection of the hepatic vein, it is necessary to create an intra-atrial tunnel to connect the bilateral hepatic veins. We used this technique in 1 of the patients with a recognized contralateral connection. However, this patient was aged 5 years at the time of HAS, whereas the other patient was aged 25 years at the time of the operation. We can argue that in adult patients, it could be more difficult to reach the hepatic vein to create the tunnel through a contralateral thoracotomy. Therefore, in adult patients, it could be easier to perform a median sternotomy or to connect the bilateral hepatic veins by using a vascular prosthesis.

**Study Limitations**

The main limitation of our study is the limited number of patients. The small number of patients may have influenced the statistical significance of the Cox regression analysis.

**CONCLUSIONS**

Our results show that the off-pump hepatic to azygos connection through a lateral thoracotomy is a safe surgical technique for the completion of a total cavopulmonary connection in the setting of a previous Kawashima procedure. This technique avoids the risks related to the extra corporeal circulation and circulatory arrest and demonstrates excellent clinical results at short- and medium-term follow-ups.

**Conflict of Interest Statement**

Authors have nothing to disclose with regard to commercial support.

**References**


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When is palliation permanent?

Peter B. Manning, MD

I recall from early in my training a mentor routinely referring to staged reconstruction for single ventricle cardiac defects as “permanent palliation,” implying that although complete cavopulmonary connection may afford a physiologic correction with separation of the systemic and pulmonary circulations, there remained long-term liability inherent with the lack of a pulmonary ventricle. In 1984, Kawashima and associates described an experience of 4 patients with “presently uncorrectable cyanotic cardiac anomalies.” Patients with heterotaxia and anomalies of systemic venous drainage were at the time thought to be impossible to manage in the manner that was being proposed for other single ventricle anomalies. They described a “total cavopulmonary shunt operation” for patients with azygos or hemiazygos continuation of the absent inferior vena cava that became eponymously named the “Kawashima” operation. Although they recognized this operation did not constitute a complete diversion of systemic venous return to the pulmonary arteries, leaving the “hepatocardiopulmonary venous and coronary sinus flow” to continue to bypass the lungs, they anticipated that this would result in a

Key Words: Fontan procedure, congenital heart defect, collateral circulation, thoracotomy

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